

Figure 1: The root diagram of $\mathfrak{su}(2)$.

7 Representations of $\mathfrak{su}(2)$

We have the following basis elements for $\mathfrak{sl}(2, \mathbb{R}) \cong \mathfrak{su}(2, \mathbb{C}) \cong \mathfrak{so}(2, 1)$, a real form of $\mathfrak{su}(2)$:

$$\sigma_0 = \frac{1}{2}\sigma_z, \quad \sigma_{\pm} = \frac{1}{2}(\sigma_x \mp s_y) = \frac{1}{2}(\sigma_x \pm i\sigma_y), \quad (133)$$

with commutation relations

$$[\sigma_0, \sigma_{\pm}] = \pm\sigma_{\pm}, \quad [\sigma_+, \sigma_-] = 2\sigma_0. \quad (134)$$

These basis elements also form a basis of the *complexified* Lie algebra $\mathfrak{su}(2) \otimes \mathbb{C}$.

We can thus represent $\mathfrak{sl}(2, \mathbb{R})$ graphically as the points $0, \pm 1 \in \mathbb{R}$, representing σ_z acting on itself and σ_{\pm} , respectively, connected by oriented arrows representing the action of σ_{\pm} , as shown in Figure 1. This diagram fully captures the algebraic description $\mathfrak{sl}(2, \mathbb{R})$ acting on itself, the so-called *adjoint representation* of $\mathfrak{sl}(2, \mathbb{R})$. Each of these statements can be reinterpreted as being about $\mathfrak{su}(2) \otimes \mathbb{C}$; Figure 1 is normally called the *root diagram of $\mathfrak{su}(2)$* .

We can now ask about more general representations of $\mathfrak{su}(2)$, with $\rho(\mathfrak{su}(2))$ acting on some vector space V . The commutation relations (134) show that σ_0 is diagonal in the given basis. It turns out that $L_z = \rho(\sigma_0)$ is diagonalizable in *any* representation ρ ,⁴ so we can choose a basis for V consisting entirely of eigenvectors of L_z . If $w \neq 0$ is one such eigenvector, we have

$$L_z w = \lambda w \quad (135)$$

for some $w \in \mathbb{C}$. Letting $L_{\pm} = \rho(\sigma_{\pm})$, we have

$$L_z L_{\pm} w = [L_z, L_{\pm}] w + L_{\pm} L_z w = \pm L_{\pm} w + L_{\pm} \lambda w = (\lambda \pm 1) L_{\pm} w \quad (136)$$

Thus, $L_{\pm} w$ is also an eigenvector of L_z , with eigenvalue $\lambda \pm 1$.

We want V to be an *irreducible* representation of $\mathfrak{su}(2)$, by which we mean that there should be no (nonzero, proper) subrepresentations of $\mathfrak{su}(2)$ in V . Thus, acting repeatedly on w with L_{\pm} must generate a basis for V , as any vector not contained in the resulting span would itself generate a disjoint subrepresentation.

⁴This property holds for any *semisimple* Lie algebra, one for which the Killing form B is nondegenerate, but is not true in general.

We also want V to be *finite*. Since we are changing the eigenvalue at each step, this can only happen if there is a “biggest” eigenvalue. That is, we can assume without loss of generality that

$$L_+w = 0 \tag{137}$$

and that the remaining basis vectors are obtained by repeated action of L_- .

We now compute

$$L_+L_-w = [L_+, L_-]w + L_-L_+w = 2L_zw = 2\lambda w \tag{138}$$

$$\begin{aligned} L_+L_-L_-w &= [L_+, L_-]L_-w + L_-L_+L_-w \\ &= 2L_zL_-w + 2\lambda L_-w = 2(2\lambda - 1)L_w \end{aligned} \tag{139}$$

$$\vdots = \vdots$$

$$L_+(L_-)^kw = \dots = (2k\lambda - k(k-1))(L_-)^{k-1}w \tag{140}$$

But for V to be finite, $(L_-)^kw$ must be zero for some positive integer k . Assume that k is the smallest such integer. Then $(L_-)^{k-1}$ is *not* zero, and therefore

$$2k\lambda - k(k-1) = 0 \tag{141}$$

by (140). Since $k \neq 0$, we conclude first of all that

$$\lambda = \frac{k-1}{2} \tag{142}$$

is an integer or half-integer, so that there are $k = 2\lambda + 1$ basis vectors, with eigenvalues

$$\lambda, \lambda - 1, \dots, \lambda - 2\lambda = -\lambda. \tag{143}$$

We conclude that there is exactly one (irreducible) representation of $\mathfrak{su}(2)$ for each dimension $k \geq 2$, with eigenvalues $\{-\frac{k-1}{2}, \dots, \frac{k-1}{2}\}$. Put differently, we can reproduce the commutation relations (134) using $n \times n$ matrices for any $n \geq 2$, and can do so in essentially just one way (up to change of basis).

8 $\mathfrak{su}(3)$

The unitary group $SU(3)$ consists of all 3×3 unitary matrices with determinant 1, that is

$$SU(3) = \{M \in \mathbb{C}^{3 \times 3} : M^\dagger M = 1, |M| = 1\}. \quad (144)$$

The group $SU(3)$ is the smallest of the unitary groups to be unrelated to the orthogonal groups; it's something new. As is the case for $\mathfrak{su}(2)$, the Lie algebra $\mathfrak{su}(3)$ consists of all 3×3 tracefree, anti-Hermitian matrices, that is

$$\mathfrak{su}(3) = \{A \in \mathbb{C}^{3 \times 3} : A^\dagger + A = 0, \text{tr}(A) = 0\}. \quad (145)$$

The standard basis for the *complexified* Lie algebra $\mathfrak{su}(3) \otimes \mathbb{C}$ consists of the *Gell-Mann matrices*⁵

$$\begin{aligned} \lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \\ \lambda_5 &= \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}, & \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \\ \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}. \end{aligned} \quad (146)$$

As with the Pauli matrices, the Gell-Mann matrices are Hermitian; unlike the Pauli matrices, they do not square to ± 1 . However, they are again orthonormal with respect to the Killing form (with overall normalization 2). We can obtain an anti-Hermitian basis of $\mathfrak{su}(3)$ itself (that is, not complexified) by using the matrices

$$\mu_m = -i\lambda_m \quad (147)$$

Alternatively, we can work with the real subset of these matrices, and study the real form $\mathfrak{sl}(3, \mathbb{R})$ of $\mathfrak{su}(3)$, that is

$$\mathfrak{sl}(3, \mathbb{R}) = \langle \lambda_1, \mu_2, \lambda_3, \lambda_4, \mu_5, \lambda_6, \mu_7, \lambda_8 \rangle \quad (148)$$

where $\langle \dots \rangle$ denotes the *span* of the given elements, that is, the set of all linear combinations of these elements. By inspection, $\mathfrak{sl}(3, \mathbb{R})$ contains 5 boosts and 3 rotations.

⁵Our definition of λ_5 differs by an overall minus sign from the standard definition, in order to correct a minor but annoying lack of cyclic symmetry in the original definition.

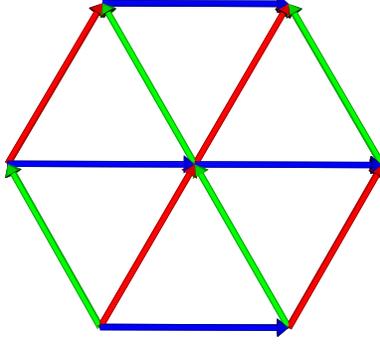


Figure 2: The root diagram of $\mathfrak{su}(3)$.

The given form of these matrices makes clear that λ_3 and λ_8 commute with each other, and that no larger set of basis elements will do so. The advantage of working with $\mathfrak{sl}(3, \mathbb{R})$ is that these real symmetric matrices have real eigenvalues; at the Lie algebra level, their real eigenvectors will lie inside the algebra, without the need for complexification.

Explicitly, we have the commutation relations

$$\begin{aligned}
 [\lambda_3, \lambda_8] &= 0, \\
 \left[\lambda_3, \frac{1}{2}(\lambda_1 \mp \mu_2) \right] &= \pm \frac{2}{2}(\lambda_1 \mp \mu_2), & \left[\lambda_8, \frac{1}{2}(\lambda_1 \mp \mu_2) \right] &= 0, \\
 \left[\lambda_3, \frac{1}{2}(\lambda_4 \mp \mu_5) \right] &= \mp \frac{1}{2}(\lambda_4 \mp \mu_5), & \left[\lambda_8, \frac{1}{2}(\lambda_4 \mp \mu_5) \right] &= \mp \frac{\sqrt{3}}{2}(\lambda_4 \mp \mu_5), \\
 \left[\lambda_3, \frac{1}{2}(\lambda_6 \mp \mu_7) \right] &= \mp \frac{1}{2}(\lambda_6 \mp \mu_7), & \left[\lambda_8, \frac{1}{2}(\lambda_6 \mp \mu_7) \right] &= \pm \frac{\sqrt{3}}{2}(\lambda_6 \mp \mu_7). \quad (149)
 \end{aligned}$$

Regarding the eigenvalues as vectors in \mathbb{R}^2 , we can identify our basis elements with their eigenvalues, as follows:

$$\begin{aligned}
 \frac{1}{2}(\lambda_1 \mp \mu_2) &\longleftrightarrow (\pm 2, 0), \\
 \frac{1}{2}(\lambda_4 \mp \mu_5) &\longleftrightarrow (\mp 1, \mp 3), \\
 \frac{1}{2}(\lambda_6 \mp \mu_7) &\longleftrightarrow (\mp 1, \pm 3), \quad (150)
 \end{aligned}$$

and both λ_3 and λ_8 correspond to $(0, 0)$. As with $\mathfrak{su}(2)$, we recover almost all of the structure of the Lie algebra by plotting these points. The result is shown in Figure 2, and is called the *root diagram of $\mathfrak{su}(3)$* . Each family of parallel lines represents the action of one of the three pairs of eigenvectors on the other eigenvectors; again, the eigenvectors can be thought of as raising and lowering operators. It is a useful exercise to work out all the commutators, and to compare the result with the root diagram.