Operations Manual

for the

Filmetrics F20

Thin-Film Measurement System

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CE Mandated Warnings



Please read the following instructions carefully to prevent potential shock or fire hazards. This manual should be retained for future use.



Bitte lesen Sie die nachstehende Anleitung sorgfältig durch um Stromschlag und Feuergefahr zu vermeiden. Diese Betriebsanleitung sollte für späteren Gebrauch sorgfältig aufbewahrt werden.



Preghiamo di leggere accuratamente, le sequenti Instruzioni, per evitare Prossiomi Incendi e Correnti.



Shock Hazard – Do Not Enter Achtung Hochspannung – Nicht Berühren Attenzione Corrent-Forte – Prego non toccare

2.

1.

The front panel's switch is not the power disconnect device. The power cord should be removed after use.

Der Kippschalter an der Vorderseite unterbricht nicht die Stromzuführung. Das Stromkabel sollte nach Gebrauch aus dem Gerät herausgezogen werden.

L'interutore nella parete frontale non blocca le Corrente. La Corrente viene.

3.

Never expose the unit to water or liquids. Avoid direct sun.

Bringe das Gerät nicht mit Wasser oder einer anderen Flüssigkeiten in Berührung. Vermeide direkte Sonneneinstrahlung.

Evitare contatto con acqua oppure liquidi Infiammabili al Macchineggio. Auche entrate di Sole.



4.

Do not insert any objects into the unit.

Keinen Gegenstand in das Gerät einbringen.Do non inseriamo any obietta into gli unità.

Non mettere ogetti dentro la Macchina.



Do not use near open flame or heat.

Das Gerät nicht in der Nähe einer offenen Flamme oder Hitze benutzen. Non mettere la Macchina vicino a fuochi oppure Riscaldamenti.



5.

The unit should never be enclosed or blocked.

Das Gerät darf nicht eingeschlossen oder blockiert werden.

La Macchina non chinderla per nessun motivo.



7.

Connect unit only to a properly measured supply. Use only three wire cord which is provided with the unit.

Schließen Sie das Gerät nur an eine ordnungsgemäss vermessene Stromsversorgung an. Verwende nur ein dreiadriges Kabel, wie es auch mit dem Gerät ausgeliefert wird.

Montare solo con misura normata. Adoperando solo 3 cavi elettrici così come e fornita la Macchina.

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Chapter 1 Introduction

What is the F20 used for?

The Filmetrics F20 is used to measure the thickness and optical constants (*n* and *k*) of transparent and semi-transparent thin films. Measured films must be optically smooth and between 100Å and 50 microns thick. Commonly measured films include semiconductor process films such as oxides, nitrides, resists, and polysilicon, optical coatings such as hardness and anti-reflection coatings, flat panel display films such as polyimides, resist, and cell gaps, and the various coatings used in CD and DVD manufacture. Films that can not be measured include very rough films and opaque films.

Who should read this manual?

Chapter 2 should be read by anyone setting up a system for the first time. First time users should also familiarize themselves with the FILMeasure software by reading Chapter 3, and then learn how to make measurements by following an example in Chapter 4. More detailed instructions on how to perform various functions are found in Chapter 5. Those interested in operation details can read the appendices, which provide background on measurement theory and hardware operation.

Chapter 2 Installation

The following steps assume that the F20 system, cables, and accessories have been unpacked and that you have a Pentium-based (100 MHz or greater) computer with a Windows 95/98/NT operating system and an available parallel port.

Step #1: Connect the F20 Spectrophotometer to the Computer

With the computer power off and the power cord to the F20 spectrophotometer unplugged, connect the F20 to the parallel port of the computer with the supplied cable.

Step #2: Connect power to the F20



Plug the F20 spectrophotometer into a 100-240 VAC \sim outlet with the supplied cable. Power to the light source is controlled by the front panel switch.

Step #3: Install software

If a computer was not supplied with the system then the software needs to be installed. Using the supplied installation disks, follow the instructions on Disk 1 of the installation disk to install the software on the computer.

Step #4: Test software

From the "Start" menu on the taskbar, select "Programs" and then select "FILMeasure" to begin program execution. If the program executes normally, proceed to step 5. If a "No Spectrometer Detected" message is issued and the power cord and interface cable are connected correctly, perform the following actions:

Perform the following actions only if the "No Spectrometer Detected" message is issued:

- a) Restart the computer and enter the CMOS setup. For a desktop computer system this is typically done by pressing the Delete key immediately after power up. For a notebook computer the setup is often entered by pressing F2 or F10.
- b) Find the part of the CMOS setup which deals with the parallel port (often this is found in a section called "Integrated Peripherals" or "Chipset Features"). Change the mode of the parallel port to ECP (other modes which may work are sometimes called SPP, BPP, EPP,

PS/2, or bi-directional). If there is an option dealing with whether the parallel port is reconfigurable or locked, select locked. The parallel port I/O base address must be one of the following: 378, 3BC, or 278. Save the changes and exit setup. Now try executing FILMeasure again.

- c) If the "No Spectrometer Detected" message reappears, try changing to one of the other modes listed above and executing FILMeasure again.
- d) If the "No Spectrometer Detected" message still appears after trying the above listed modes, the computer hardware is not compatible with the F20. If the computer is a desktop PC a plug-in parallel port card should be added. Plug the parallel port card into an open slot. After installing the parallel port card, try executing FILMeasure again.
- e) If none of these steps helps, contact Filmetrics for assistance.

Step #5: Connect Fiber-Optic Cable to the F20

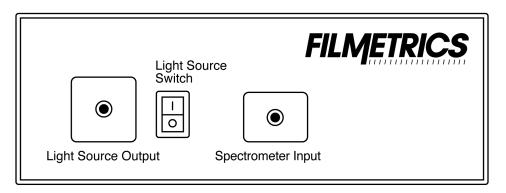


Figure 2.1: F20 Spectrometer/Light Source front panel

Follow the instructions on the following pages for setting up your particular measurement hardware:

If using fixed (SS-1) or rotating (WS-8, WS-12) sample stage:

Connect the fiber-optic reflection probe between the F20 front panel (illustrated in Fig. 2.1) and the stage as shown in Fig. 2.2. The reflection probe should be fully inserted into the arm on the stage. The screw on the end of the stage arm is actually a spring-loaded ball plunger, and does not require tightening.

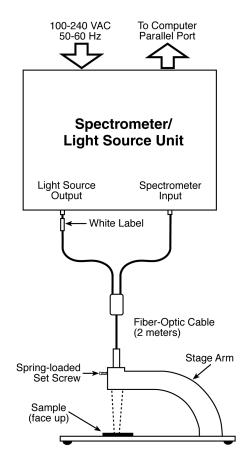


Figure 2.2: Setup Configuration for stage.

If using Contact Probe (CP-NIR):

Connect the Contact Probe to the F20 front panel (illustrated in Fig. 2.1) as shown in Fig. 2.3. The two fiber ends leading from the Contact Probe are identical, so they can each be attached to either the light source or spectrometer.

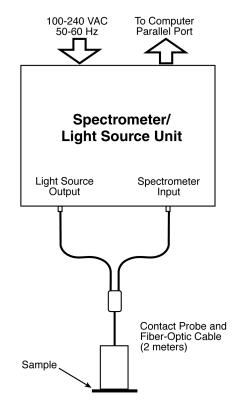


Figure 2.3: Setup Configuration for Contact Probe.

If using Contact Stage (SS-1 with CS-1 option):

Connect the Fiber Optic Contact Probe to the F20 front panel (illustrated in Fig. 2.1) as shown in Fig. 2.4. The two fiber ends leading from the Contact Probe are identical, so they can each be attached to either the light source or spectrometer. Connect the four 3" legs to the bottom of the SS-1 Sample Stage. Remove the tilted mirror insert in the SS-1 stage using a 1/16" hex socket driver. Screw the Fiber Optic Contact Probe common end into the black contact adapter, and then fully insert the contact adapter into the bottom hole in the SS-1 stage, fastening it with the 1/16" hex driver.

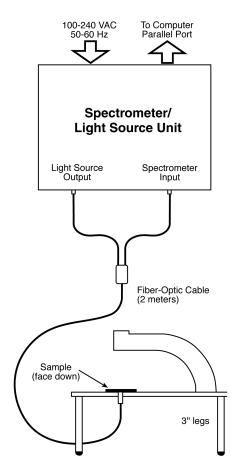


Figure 2.4: Setup Configuration for Contact Stage.

If using Transmission Option (SS-1 with T-1 option):

Connect the fiber-optic reflection probe between the F20 front panel (illustrated in Fig. 2.1) and the stage as shown in Fig. 2.5. The reflection probe should be fully inserted into the arm on the stage. The screw on the end of the stage arm is actually a spring-loaded ball plunger, and does not require tightening. Connect the four 3" legs to the bottom of the SS-1 Sample Stage. Remove the tilted mirror insert in the SS-1 stage using a 1/16" hex socket driver. Screw one end of the single fiber optic cable into the black adapter, and then fully insert the adapter into the bottom hole in the SS-1 stage, fastening it with the 1/16" hex driver. Before taking a baseline measurement, be sure to set the mode of operation to transmission. See Section 4.2, Example #6 for more information on Transmission measurements.

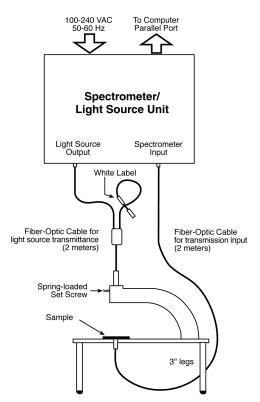


Figure 2.5: Setup configuration for T-1 Transmission option.

If using Reduced Kinematic Mount with lens assembly (LA1-RKM):

Step #1: Connect Fiber-Optic Cable to the F20

Connect the fiber-optic reflection probe between the F20 front panel (illustrated in Fig. 2.1) and the LA1-RKM as shown in Fig. 2.6. Slide the reflection probe part way into the lens assembly. The spring-loaded setscrew should be adjusted so that the reflection probe is held in place, yet it may be moved in and out for focus adjustments.

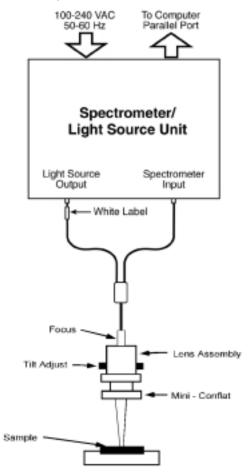


Figure 2.6: Setup configuration for LA1-RKM lens assembly.

Step #2: Adjust the lens assembly:

This step involves adjusting the focus and tilt of the lens assembly for maximum signal and assumes that the lens assembly has been mounted on a fixture approximately 6 inches above the sample surface, and the "read" return fiber from the lens assembly to be adjusted is connected to the spectrometer input. The idea here is to adjust the tilt and focus for maximum signal. To do this,

a) Turn on the light source with the front panel switch and start the FILMeasure program

- b) Go to the "Data Acquisition" dialog box under the "Set Up" menu. Set the integration time for 100 milliseconds, and make sure "Subtract Dark" and "Use Reference" are deselected.
- c) Select "Start Continuous Acquire" under the "Acquisition" menu.
- d) The reflection probe contains 7 fibers a single detection fiber surrounded by 6 illumination fibers. Do a rough focus adjustment by placing a diffuse surface (a business card works well) at the sample position and then focus the light beam on the sample position by sliding the reflection probe inside the lens tube. (The diffuse reflector will allow you to see the beam.) When 6 individual spots can be seen, slide the reflection probe farther into the LA1-RKM until the spots merge into the center of the illuminated area.
- e) Place a reflecting sample, such as a silicon wafer, on the sample stage, under the lens assembly. You should now see a trace on the screen. Turn the tilt adjustment screws to maximize the signal. If the signal saturates, reduce the integration time (see step b).
- f) Optimize the focus to maximize the measured signal by adjusting the reflection probe position in the lens assembly. Focus the light beam on the sample by sliding the reflection probe inside the lens tube. To see the beam, it may be necessary to place a diffuse surface, such as a business card, over the sample. Ideally, the best focus adjustment is when the beam is just under focused. To achieve this, focus the beam on the reflecting sample, and then move the reflection probe slightly down in the lens tube until maximum signal is obtained. Always avoid the over-focus condition (see Figure 2.7). When finished, tighten the socket head set screw that secures the fiber. Once again, if saturation occurs, it may be necessary to reduce the integration time.
- g) Repeat steps e) and f) to make certain the adjustments are optimal.
- h) The set up is now complete. Now select "Stop Continuous Acquire" under the "Acquisition" menu.

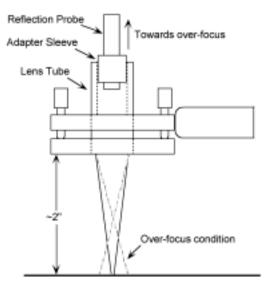


Figure 2.7: Focus Control for LA1-RKM lens assembly.

How to Adjust Spot Size:

The reflection probe contains 7 fibers – a single detection fiber surrounded by 6 illumination fibers. The measurement spot size is not the illuminated area, but rather the intersection of the illuminated area with the projected image of the detection fiber. When focused for maximum signal, the measurement spot size is roughly half the diameter of the illuminated area. Adjustments to the fiber and sample locations according to the following equation affect the focus of the measurement spot area.

$$\frac{1}{f} = \frac{1}{d_o} + \frac{1}{d_i}$$

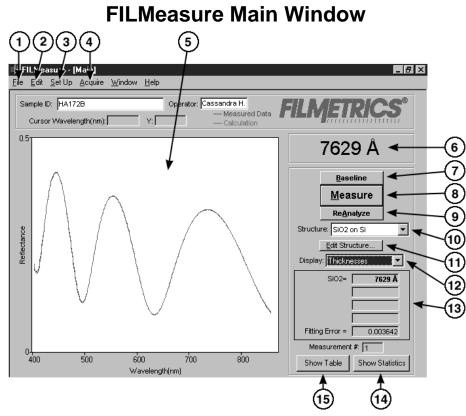
where f is the focal length of the lens, fixed at 15 mm. d_0 is the distance between the lens and the fiber, and d_i is the distance between the lens and the sample.

By moving the fiber in the stage arm and/or adjusting the height of the sample, the measurement spot size changes. If the sample is raised up off the stage surface 30-40 mm and focused for maximum signal, the spot size is approximately 0.5 mm. An image of the measured spot can be viewed by connecting the single fiber to the light source.

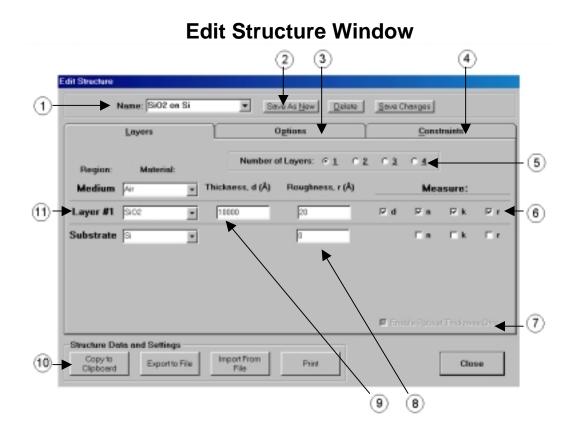
Chapter 3

System Overview

The way that light reflects off of a thin film is determined by the characteristics of the film, such as its thickness, optical constants, and roughness. The F20 is able to determine thin-film characteristics by first carefully measuring the amount of light reflected from the thin film over a range of wavelengths (i.e., by measuring the reflectance spectrum), and then analyzing this data by comparing it to a series of calculated reflectance spectra. Most of the features of the FILMeasure software that runs the F20 can be divided into reflectance acquisition and reflectance analysis functions. The following pages outline the main features of the FILMeasure software.



- 1. Standard Windows File menu for saving and retrieving data, printing, etc.
- 2. The Edit menu is used for copying measured spectra and measurement results, as well as selecting thickness units and editing the material library.
- 3. Used to setup reflectance acquisition parameters and the graphic display.
- 4. For starting and stopping continuous reflectance acquisition. Convenient for setting up hardware.
- 5. Graphical display for measured and calculated reflectance, as well as measured optical constants. Change display limits by double-clicking in the display area. A click of the right mouse button while the curser is within the graphical display activates a blue line (one click for measured curve) and red line (2 clicks for calculated curve) for easy reading of cursor values in the Main Window. Keyboard up/down and right/left arrows move the line to a desired location.
- 6. The measured film thickness is displayed here.
- 7. The baseline measurement sequence, which is required before measurements are made, is initiated by pressing the Baseline button.
- 8. This button causes a reflectance spectrum to be acquired and then analyzed in one step.
- 9. Analysis only on the displayed reflectance spectrum. Usually used when trying different analysis settings on a previously acquired spectrum.
- 10. Used to select the film structure that is to be measured.
- 11. This is where the selected film structure is described, and the analysis parameters are set.
- 12. Used to select the information displayed in the Results Box below.
- 13. The Results Box summarizes the most recent measurement results.
- 14. Statistical tabulation of all measurement results are accessed by pushing this button.
- 15. Complete results of the most recent measurement are accessed by pushing this button.



A structure defines the film to be measured, the substrate and any underlying films, the approximate thickness of the film, and the quantities to be measured:

- 1. The name of the film structure is listed/edited here.
- 2. The structure can be saved as a new structure, deleted, or changes can be saved.
- 3. Advanced measurement parameters can be accessed by clicking on the Options tab.
- 4. Constraints on the possible measured values are selected here.
- 5. The number of film layers is chosen here.
- 6. The values to be measured are selected by checking the appropriate boxes.
- 7. A very robust thickness measurement routine is enabled by selecting this box.
- 8. Known film roughness for films not being measured, and the initial guess for the film(s) being measured are entered in this column.
- 9. Known film thicknesses for films not being measured, and the initial guess for the film(s) being measured are entered here.
- 10. All of the Edit Structure parameters can be copied, printed, imported or exported. This is convenient for remembering temporary setups, setting up multiple F20s, or sending measurement parameters to Filmetrics for troubleshooting.
- 11. The film layers are listed here. Common films can be selected from the pull-down menus.

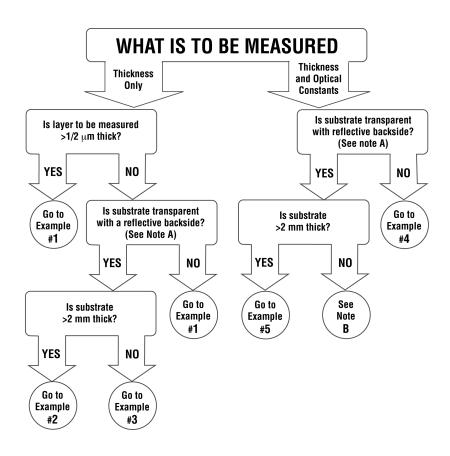
Chapter 4 Making Measurements

4.1 Types of Measurements

Accurate measurements with the F20 rely on using the proper measurement setup. The type of setup that is used depends upon whether both thickness and optical constants are being measured, or just thickness alone. Also important are the thickness of the film and the type of substrate.

The basic steps for any F20 measurement are: 1) selecting and editing the type of film to be measured, 2) taking a baseline measurement, and 3) clicking on the "Measure" button to make the measurement. Each example below will take you through this sequence of steps. In each example it is assumed that the hardware has been set up as described in Chapter 2, and that you have first read through Chapter 3 to familiarize yourself with the basic controls. The Measurement Assumptions, Hints, and Troubleshooting sections describe techniques that should be followed for the most accurate measurements.

Examples that describe the most common measurement setups are described in this section. Use Figure 4.1 to find the proper example for your application.



Note A: Transparent substrates are considered to have a reflective backside unless the backside is specially prepared to absorb light incident from the frontside. Note B: These samples may require a special fixture in order to be measured. Call Filmetrics for details.

Figure 4.1: Selection tree for identifying the example for specific measurements.

Example 1: Thickness of Films Greater Than ¹/₂ Micron Thick: SiO₂ on Silicon

For this example we will demonstrate only the measurement of SiO_2 on silicon (the SiO_2 on silicon Test Sample provided with the F20 may be used), but this type of measurement has an extremely broad range of applications, including hardcoats, polysilicon, and LCD cell gaps, to name just a few.

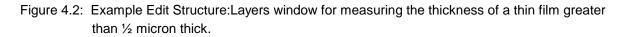
Hardware: If the sample to be measured is flat, any standard or rotating sample stage will work fine. If the sample is curved, or is transparent with films on its backside (such as eyeglass lens applications), a hand-held contact probe measurement device (e.g., Part# CP-NIR) may be necessary.

Step 1: Select the film structure

Select the film structure to be measured, in this case "SiO2 on Si", from the "Structure:" selection box on the main screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2.)

1	Name: S/02 on S	a		eserver in the	siete	Seve	7henges		
	Layers			Ogions	Υ		Cons	traints	
Region:	Material		Number	of Layers: @ 1	C 2	C 1	° 1		
Medium	Air	• Thick	cness, d (Å)	Roughness, r	(Å)		Ме	asure:	
Layer #1	Si02	• 50	00	20		R d		∏ k	Er
Substrate	5	•		D			Γ n	Γk	E 1
						F En	sble Robus	il Thicknes	is Only
Copyrts Clipboard	ite and Settings Export to Ri	le in	ipat Fran File	Print				Clo	se

Step 2: Edit the film structure



To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2.) Also enter your best guess for the thickness of the film to be measured, and check that only the SiO_2 layer thickness is being measured.

Step 3: Take a Baseline Measurement

Take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected, and choose the Baseline Sample that will be used (Si in this case). Then put the Baseline sample on the measurement stage and click on "Take Baseline". Then remove the Baseline sample from the stage and click "Take Dark". (In the case of a contact probe, place the probe on the Baseline sample and click on "Take Baseline", and then remove the probe from the sample and click "Take Dark".)

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage (or the contact probe on your sample) and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the minima and the maxima of the calculated reflectance (the red line on the graph) will coincide in wavelength with the minima and the maxima of the measured reflectance (the blue line on the graph.) In most cases they will not overlap, but will be separated in amplitude.

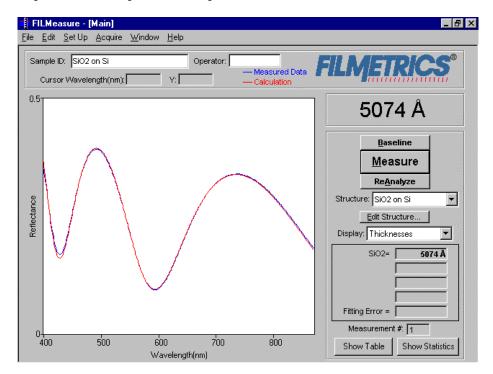


Figure 4.3: Measured and calculated reflectance spectra when measuring the thickness of SiO₂ on silicon.

If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section.

Example 2: Thickness of Films Less Than $\frac{1}{2}$ Micron Thick on Thick Transparent Substrates: TiO₂ on Glass

This example demonstrates the measurement of TiO_2 on glass. This type of measurement has a broad range of other applications, most commonly optical coating and flat-panel display process films.

Hardware: If the sample to be measured is flat, the contact sample stage should be used. If the sample is curved, the hand-held contact probe (e.g., Part# CP-NIR) will probably be necessary.

Step 1: Select the film structure

Select the film structure to be measured, in this case "TiO2 on Glass", from the "Structure:" selection box on the main screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2.)

Step 2: Edit the film structure

it Structure	Name: TiO2 on	Glass	Slide 💌 🕬	u As Kirw Delete	Save C	hanges		
	Layers			ptions			traints	
Region:	Material:		Number of	Layers: @ 1 C 2	e 1	0 <u>4</u>		
Medium	Air	٠	Thickness. d (pm)	Roughness, r (pm)		Me	asure:	
Layer #1	TiO2	*	0.4	1.002	₽d	E n	Γk	
Substrate	BSG	•		0		E n	E k	-
					F Ene	ible Robus	n Thicknes	is Only
Copy to	its and Setting:	-	Import From	Print			Clo	
Clipboard	Export to	110	File	PTIM			CIO	50

Figure 4.4: Example Edit Structure:Layers window for measuring the thickness of a film less than approximately ½ micron thick on a thick transparent.

To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2.) Also enter your best guess for the thickness of the film to be measured, and check that only the TiO_2 layer thickness is being measured.

Step 3: Take a Baseline Measurement

Take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected, and choose the Baseline Sample that will be used (Si in this case). Then put the Silicon Baseline sample on the measurement stage and click on "Take Baseline". Then remove the Baseline sample from the stage and click "Take Dark". (In the case of a contact probe, place the probe on the Baseline sample and click "Take Baseline", and then remove the probe from the sample and click "Take Dark".)

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage (or the contact probe on your sample) and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the minima and the maxima of the calculated reflectance (the red line on the graph) will coincide in wavelength with the minima and the maxima of the measured reflectance (the blue line on the graph.) In most cases they will not overlap, but will be separated in amplitude.

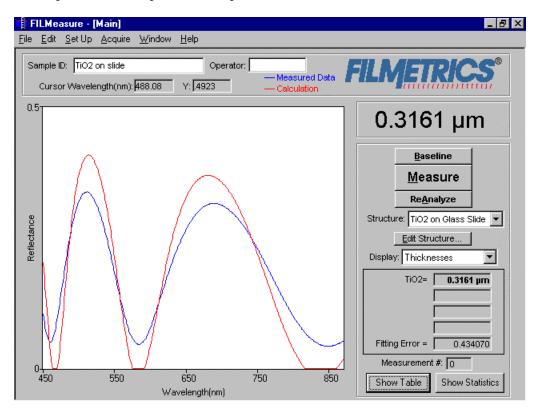


Figure 4.5: Measured and calculated reflectance spectra when measuring the thickness of TiO₂ on a glass slide.

If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section.

Example 3: Thickness of Films Less Than $\frac{1}{2}$ Micron on Thin Transparent Substrates: MgF₂ on Glass slide

In this example we will demonstrate the measurement of MgF₂ on a glass slide.

Hardware: For this type of measurement the sample must be flat, with the front and backsides parallel. The standard SS-1 sample stage is required.

Step 1: Select the film structure

Select the film structure to be measured, in this case "MgF2 on Glass", from the "Structure:" selection box on the main screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2.)

Step 2: Edit the film structure

	Name: MgF2 or	n Glar	vs Slide 💌 🔤	sian Dek	ete	Seve C	hanges		
	Levers		0	ptions	η		Cons	traints	
Region:	Material:		Number of	Leyens: C 1	9 <u>2</u>	C 3	C 4		
Medium	Air	-	Thickness, d (Jim)	Roughness, r ()	µm)		Me	asure:	
Layer #1	MgF2	٠	0.15	0		₽d	E n	Πk	П
Layer #2	BSG	۷	2000	p		□ d	E n	E k	Г
Substrate	Air	٠		p			E a	E k	г
						F Ena	ble Robu	at Thicknes	is Only
Structure De	ta and Settings				1				

Figure 4.6: Example Edit Structure:Layers window for measuring the thickness of films less than approximately ½ micron thick on a thin transparent substrate.

To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2.) Also enter your best guess for the thickness of the film to be measured, and check that only the MgF_2 layer thickness is being measured.

Leyers	Ogtions	Constraints
Solving Details P Grid search for thickness C Eourier search for thickness Account for Back Partiections	Convergence Criteria Piting Error Less Than: 0.001 Maximum Berations 400	Display Details Wavelength for n and k display:
nk Model Løyer 1: Cauchy <u>v</u> Løyer 2: Cauchy <u>v</u>	 Simultaneous Ref./Trans. 	632.8 nm Analyzed Data IF Displayed Data IF Displayed Data From
Sabshela: Constant y	holdertengle degrees Polarization FTE CTM	215 nm 1030 nm

Figure 4.7: Example Edit Structure:Options window for measuring the thickness of films less than approximately ½ micron thick on thin transparent substrate.

Step 3: Take a Baseline Measurement

Take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected, and choose the Baseline Sample that will be used (Si in this case). Then put the Silicon Baseline sample on the measurement stage and click on "Take Baseline". Then remove the Baseline sample from the stage and click "Take Dark". (In the case of a contact probe, place the probe on the Baseline sample and click "Take Baseline", and then remove the probe from the sample and click "Take Dark".)

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage (or the contact probe on your sample) and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the minima and the maxima of the calculated reflectance (the red line on the graph) will coincide in wavelength with the minima and the maxima of the measured reflectance (the blue line on the graph.) In most cases they will not overlap, but will be separated in amplitude.

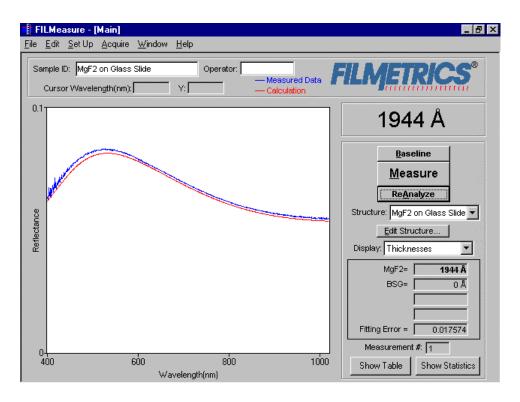


Figure 4.8: Measured and calculated reflectance spectra when measuring the thickness of MgF₂ on a glass slide.

If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section.

Example 4: Thickness and Optical Constants of Films on Opaque Substrates: SiO_2 on Silicon

For this example we will demonstrate only the measurement of SiO_2 on silicon (the SiO_2 on silicon Test Sample provided with the F20 may be used,) but this type of measurement has an extremely broad range of applications, including the measurement of nitrides, polysilicon, and optical coatings.

Hardware: Any standard or rotating sample stage should work fine. The sample frontside must be flat. If the sample backside is not flat and parallel with the frontside, then the contact stage must be used.

Step 1: Select the film structure

Select the film structure to be measured, in this case "SiO2 on Si", from the "Structure:" selection box on the main screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2.)

Step 2: Edit the film structure

	Name: SiO2 on	51	• 5a	ve As New Delete	Seve C	hannanal		
	Layers			Designs	2010 0		Iraints	
Region:	Material:			nfLayers: ∞1 ⊂2	C 1			
Medium	Air	•	Thickness, d (Å)	Roughness, r (Å)		Mea	isure:	
Layer #1	Sice		10000	20	Ρd	R n	Rk	R
iubstrate	3	*		1		E n	Γk	-
Structure De	ita and Settings				E End	ric Robin	"THSINE	3 D ()

Figure 4.9: Example Edit Structure:Layers window for measuring the thickness, *n*, and *k* of films on an opaque substrate.

For this example the film structure will probably not require editing, unless a different film is being measured. To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2.) Also enter your best guess for the thickness of the film to be measured. Also check that thickness, n, and k of the SiO₂ layer are selected to be measured.

Layers	Options	Constraints
Solving Details	Convergence Criteria Fiting Error Lass Therx [1:001 Maximum Iterations: 410 Measurement Type © Beflectence © Transmittence © Simultaneous Refl./Trans.	Display Details Wavelength for n and k display: 632.8 nm Analyzed Data © Displayed Data © Displayed Data © Fixed Range
	Incident angle: 0 degrees Polerization P TE C TM	710m 215 mm To 1020 mm

Figure 4.10: Example Edit Structure:Options window for measuring the thickness, *n*, and *k* of films on an opaque substrate.

Step 3: Take a Baseline Measurement

Take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected, and choose the Baseline Sample that will be used (Si in this case). Then put the Silicon Baseline sample on the measurement stage and click on "Take Baseline". Then remove the Baseline sample from the stage and click "Take Dark". (In the case of a contact probe, place the probe on the Baseline sample and click on "Take Baseline", and then remove the probe from the sample and click "Take Dark".)

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage (or the contact probe on your sample) and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the calculated reflectance (the red line on the graph) will coincide with the measured reflectance (the blue line on the graph.)

If the measured and calculated spectra do not fall on top of each other, the resulting thickness, n, and k values are incorrect. If the mismatch between measured data and calculation is only slight, the results reported will only be off by a small amount. If the measured and calculated spectra match, but the results are implausible, there may be a problem with the sample positioning and light collection. Causes and corrective actions to improve the measurements are listed in cases #5 and #6 in the troubleshooting section.

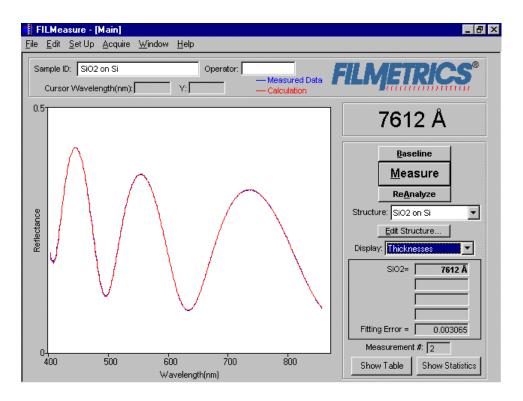


Figure 4.11: Measured and calculated reflectance spectra when measuring the thickness, n, k, and roughness of SiO₂ on silicon.

If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section.

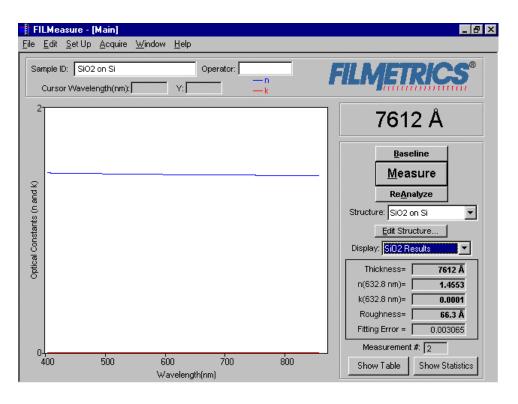


Figure 4.12: Calculated *n* and *k* spectra when measuring the thickness, *n*, *k*, and roughness of SiO₂ on silicon.

Example 5: Thickness and Optical Constants of Films on Thick Transparent Substrates: MgF₂ on BK7

This type of measurement is typically applied to optical coatings on glass substrates, as well as antireflection coatings on various transparent substrates.

Hardware: The contact stage must be used when measuring the thickness and optical constants of films on a thick transparent substrate (see Chapter 2 for setup of the contact stage.) Use of the contact stage means that the frontside of the sample must be flat.

Step 1: Select the film structure

Select the film structure to be measured, in this case "Mg2 on BK7", from the "Structure:" selection box on the main screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2.)

Step 2: Edit the film structure

	Name: MgF2 o	n BK7	▼ Se	we As New Delete	Seve C	hanges		
	Levers			Options		Cons	traints	
Region:	Material		Number	of Leyens: @ 1 @ 2	C 2	° 4		
Medium	Air .	٣	Thickness, d (Å)	Roughness, r (Å)		Mea	asure:	
Layer #1	MgF2	٠	2000	20	₽d	Ra	Rk	Πġ
Substrate	EK7	٠		þ		Γ.	E k	E
					E Ens	die Ruben	(Trishna	o Griy
Structure Do Copy to	sta and Setting	s	Import From					

Figure 4.13: Example Edit Structure:Layers window for measuring the thickness, *n*, and *k* of films on a thick transparent substrate.

For this example the film structure will probably not require editing, unless a different film is being measured. To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2.) Also enter your best guess for the thickness of the film to be measured.

Layers	Options	Constraints
	Convergence Criteria Piting Error Less Than: 0.001 Maximum feretions: 400 Measurement Type © Befectance © Denomitance © Simultaneous Ref./Tiens.	Display Details Wavelength for n and k display: 512.8 nm Analyzed Data © Displayed Data C Fixed Range
ucture Data and Settings	Polarization © TE C TM	From 215 nm To 1030 nm

Figure 4.14: Example Edit Structure:Options window for measuring the thickness, *n*, and *k* of films on a thick transparent substrate.

Step 3: Take a Baseline Measurement

Take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected, and choose the Baseline Sample that will be used (Si in this case). Then put the Silicon Baseline sample on the measurement stage and click on "Take Baseline". Then remove the Baseline sample from the stage and click "Take Dark". (In the case of a contact probe, place the probe on the Baseline sample and click on "Take Baseline", and then remove the probe from the sample and click "Take Dark".)

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage (or the contact probe on your sample) and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the minima and the maxima of the calculated reflectance (the red line on the graph) will coincide in wavelength with the minima and the maxima of the measured reflectance (the blue line on the graph.) In most cases they will not overlap, but will be separated in amplitude.

If the measured and calculated spectra do not fall on top of each other, the resulting thickness, n, and k values are incorrect. If the mismatch between measured data and calculation is only slight, the results reported will only be off by a small amount. If the measured and calculated spectra match, but the results are implausible there may be a problem with the sample positioning and light collection. Causes and corrective actions to improve the measurements are listed in cases #5 and #6 in the troubleshooting section.

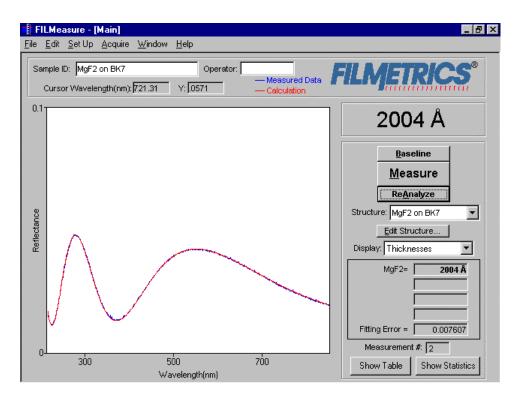


Figure 4.15: Measured and calculated reflectance spectra when measuring the thickness, *n*, *k*, and roughness of MgF₂ on BK7 glass.

If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section.

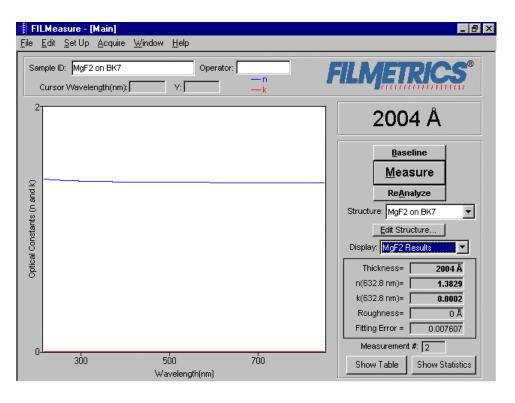


Figure 4.16: Calculated *n* and *k* spectra when measuring the thickness, *n*, *k*, and roughness of MgF_2 on BK7 glass.

Example 6: Transmission Measurements: Polymer Film on Acrylic

For this example we will demonstrate the measurement of a thin polymer layer on acrylic.

Hardware: For this type of measurement the sample must be flat, with the front and backsides parallel. The standard sample stage equipped with the T-1, Transmission option is required. When measuring very thin films, use of a vacuum plugged into the vacuum port is recommended.

Step 1: Select the film structure

Select the film structure to be measured, in this case "Transmission", from the "Structure:" selection box on the mail screen. If the structure to be measured does not exist, a new structure must be defined (see Section 5.2).

Edit Structure								
,	Name: Transm	nsian	* 5m	ne As New Delete	Save D	hanges		
	Lapers		0	gionz		Const	aints	
Begion:	Material		Number o	Hayens C 1 @ 2	0.1	e 4		
Medium	Air	• 1	Thickness, d (µm)	Roughness, r (µn)		Mee	sure:	
Layer #1	Generic, n=1.6		3	0	R d	E n	E k	$\Box \in$
Layer #2	Δοηθο	٠	2000	0	Πđ	E e	E k	$\Box \in$
Substrate	Åå .	*		jù		E a	∏ k	$\Box =$
					E En	sble Robus	t Thickner	is Dirily
Structure D Copy to Clipboard	ate and Setting Export to	1	Inpart From File	Print			Clos	•

Figure 4.17: Example Edit Structure:Layers window for measuring transmission.

Step 2: Edit the film structure

To edit the structure, click on "Edit Structure" to open the dialog box. Check to see that the film sequence matches that of the actual sample. If not, different films can be selected (see Section 5.2). Also, enter your best guess for the thickness of the film to be measured, and check that only Layer #1 thickness is being measured. Verify that the Transmittance Measurement Type is selected under the Options Tab and "Enable Robust Thickness Only" is deselected.

	Name: Transmi	ission		• Sav	e As <u>B</u> lew	Delete	Savel	Tranges		
	Lagers		1	0	pions			Const	treintz	
Region:	Material		•	iumber ol	Layers:	C1 6	2 0 2	C 4		
Medium	Air	•	Thicknes	s. d (µm)	Roughn	ess, r (µm)		Mee	ssure:	
Layer #1	Genesic, n=1.6	-	3	_	D		₽ d	E a	∏ k	E
Layer #2	Acele	٠	2000		0	_	E d	E e	E k	E
Substrate	Ai	٠			jù -	_		E e	∏ k	E
							E to	able Robu	ut Thickne	os Dinky
Structure D	ata and Setting	ga -								

Figure 4.18: Example Edit Structure:Options window for measuring transmission.

Step 3: Take a Baseline Measurement

Select Set Up from the Main Menu and activate Transmittance Mode. Then take a Baseline measurement by first clicking on the Baseline button on the main screen. On the Take Baseline dialog box, make certain the "Autoscale Integration Time" option is selected. In the drop-down box, choose the Baseline Sample that will be used. See below for instructions on how to generate this file. Place the bare substrate on the stage in the light beam and click on "Take Baseline". [If measuring layers on a very thin substrate (less than 1 mm), select "Enter Constant…" and enter "1" to use air as the reference. Then click on "Take Baseline" while the beam is unblocked.] Finally, place an opaque object on the stage to block the beam and click "Take Dark". Note: Most glass and plastics absorb UV light below 350 nm, displayed as a sudden drop in transmittance at those wavelengths.

Step 4: Make the Measurement

Make the measurement by placing your sample on the stage and click on the "Measure" button. FILMeasure will then acquire the reflectance spectrum and calculate the corresponding thickness. If the measurement was successful, the minima and the maxima of the calculated transmittance (the red line on the graph) will coincide in wavelength with the minima and the maxima of the measured transmittance (the blue line on the graph.) In most cases they will not overlap, but will be separated in amplitude. If the calculated (red) and measured (blue) minima and maxima do not coincide, then the measurement was not successful. There are several possible causes of an unsuccessful measurement. The most common for this type of measurement are described in cases #1, #2, #3, and #4 in the troubleshooting section. In the case of thin metal layers on a clear substrate: Minima and maxima in the blue (measured transmittance) and red curves (calculated transmittance) may not occur for thin metal layers and the curves may not overlap. In most cases the measurement was successful if the average transmittance value of both curves coincide.

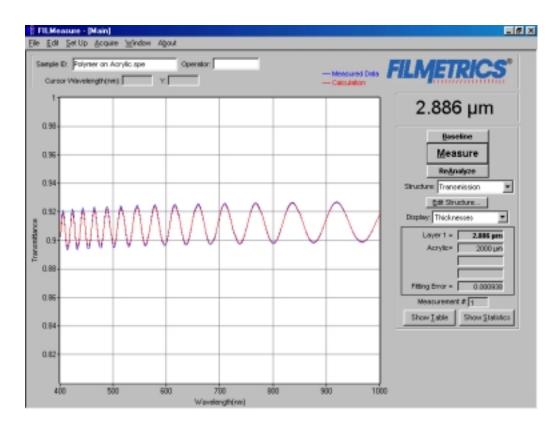


Figure 4.19: Measured and calculated reflectance spectra when measuring the transmission of a polymer film on acrylic.

How to Create a Transmission Reference File:

Reference files for specific materials are used when taking baseline measurements. The reference files sent with the F20 are for reflectance measurements only. A transmission reference file may be easily created by setting up the software to solve for theoretical transmittance of a bare substrate based on a known material in the material library. No actual measurement is needed.

- 1. Open any data file.
- 2. Set the graphical display for the full wavelength range of the system.
- 3. Click on the Edit Structure button to open the Structure setup area.
 - a) Set the structure to indicate the Medium and Substrate as air. Select the reference material from the layer drop-down box. This material must have an index file in the library. For example, transmission measurements of a film on a BSG slide require a baseline measurement of a BSG slide reference sample in transmission mode. To generate the reference file for this reference sample the structure must be set to Air (Medium) on BSG (Layer #1) on Air (Substrate).
 - b) Enter the approximate thickness for the reference sample. In this example, the BSG slide is 2 mm thick.

	Layers	_	1	piora		Const		
Region:	Material			Lapar F1 C2	 a 			
Medium	pia .	v	Thickness, d (µm)	Roughness, r (µm)		Mee	sere:	
Layer #1	256	¥	2000	l.	ΠR	E n	E k	Г
Subotrate	jan (٠		0		F •	.	
					⊡ Ere	ble Rebus	t Thickner	ro Driĝ

Figure 4.20: Example Edit Structure:Layers window for generating transmission reference file.

- c) Under the Options tab, select the Measurement Type: Transmittance.
- d) Uncheck all Measure boxes. By deselecting the measurement boxes, the calculated curve represents the theoretical transmittance spectrum for the reference sample.
- e) Close the Edit Structure box.
- 4. Use the reanalyze button to calculate the transmission spectrum.
- 5. Select File | Save Calculated Spectrum As... and save the file in the Materials directory.
- 6. In Windows Explorer, rename this file with the extension ".rrr".
- 7. In a text editor, change the headers generated by the program to one line reading "Wavelength (nm), Transmittance".

This new file will be listed in the reference materials drop-down box found in the Baseline procedures.

4.3 Measurement Assumptions

The following assumptions must be valid if accurate measurements are to be made with the F20:

- Every film present in the structure is specified in the "Edit Structure" dialog box. This includes every film present in the sample including so-called adhesion films, oxide films (unless they are less than 20 Å or greater than 150 microns thick), and films on the bottom surface of the substrate if the substrate is transparent.
- 2) The thickness of the measured film is uniform over the spot being measured.

In addition, the following assumptions are made if optical constants and/or very thin films (<500 Å) are to be measured :

- 3) Each film in the structure is homogeneous and uniform (i.e. the refractive index and extinction coefficient are constant as a function of depth and constant over the entire spot being measured).
- 4) No significant scattering of the light incident on the sample is occurring, unless it is caused be slight surface roughness that is being accounted for in the Structure definition.
- 5) The top surface of the sample under test is at the same height as the reference sample that was used to make the reference calibration measurement.
- 6) The sample is flat (the vacuum chuck may help in this case when samples are warped.).
- 7) No changes to the measurement system (such as fibers moved) or light source have occurred since the acquisition of the most recent baseline.
- 8) The light source has been allowed to warm up for approximately 15 minutes.
- 9) No significant changes in room temperature (> 10 degrees F) have occurred since acquisition of the most recent baseline.

If any of the above assumptions are not true, it may still be possible to make a measurement, but accuracy may be degraded.

4.4 Hints for Improved Accuracy

Roughness

Slight amounts of surface or interface roughness may be present that will decrease the accuracy of the measurement of optical constants. Entering a value for or solving for roughness can account for this roughness, so that optical constants can be measured. Generally, roughness is only present when the sample surface looks hazy at the measurement spot. The fact that this haze can be seen means

that there is scattered light. (A perfectly smooth surface will scatter no light, and thus the measured spot will not be visible.) Usually roughness less than 25 Å will not be visible and will have little effect on measurement results. Roughness greater than about 200 Å will be extremely hazy to the point accurate measurement of optical constants will not be possible.

Restricting the wavelength range of the analyzed reflectance spectrum

Occasionally the reflectance spectra from measured films is adversely affected by factors such as absorbing dyes, birefringence, or non-uniformity, none of which can be properly modeled. It may be possible to still make accurate thickness measurements of these films by analyzing only the portions of the spectra that contain valid, uncorrupted thickness information (i.e., oscillations.) The portion of the reflectance spectrum that is used to calculate film properties is determined by the graphic display, i.e., only the data shown on the screen is used in the calculations. Therefore, to measure values using a restricted wavelength range, simply select a narrower range by double-clicking on the screen to bring up the "Graph Options" dialog box. An example is shown in Figure 4.17 and 4.18. Restricting the wavelength range can also be helpful in optical constant measurements if n and k are not accurately described over the whole wavelength range by a single model.

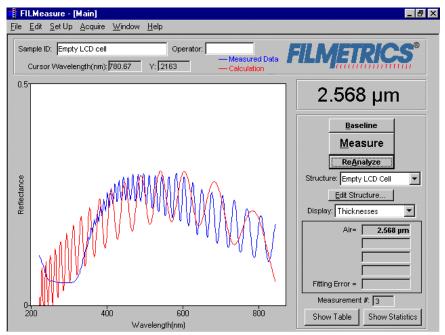


Figure 4.21: Example of non-ideal film (spectrum with no oscillations at lower wavelengths) that requires reduced wavelength range for accurate thickness measurement.

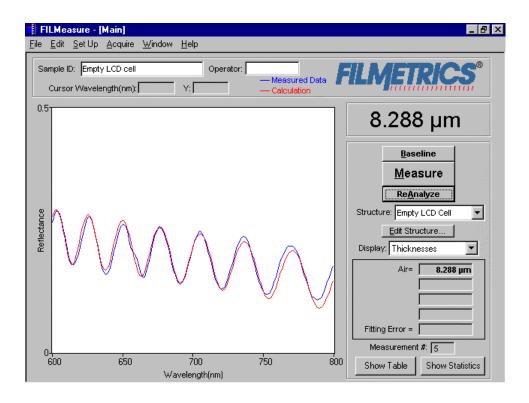


Figure 4.22: Example of reduced wavelength range for measuring thickness of non-ideal films.

Measuring thickness when the approximate thickness is not well known

Unless otherwise specified, FILMeasure will determine a film's thickness by finding the best answer within about 1000 Å of the initial (user supplied) value. If the approximate thickness of the film is not known to better than about 1000 Å, then the Grid method or the Fourier Transform method of determining approximate thickness may be applied. See Chapter 5 for more details.

Measuring photosensitive films

For layers such as unexposed photoresist that can not be exposed to short-wavelength light, a filter may be inserted in the slot on the light source.

4.5 Troubleshooting

Incorrect or unreasonable measurement results can be caused by a number of different factors. Below are listed some of the more common symptoms and solutions for poor measurement results. In all cases it has been assumed that the user has read the Measurement Assumptions section listed above, and that each criteria has been met.

Case #1: No oscillations, or portions of oscillations, are present in the measured reflectance spectrum. There can be several causes of this. The simplest is that the film may just be very thin (<200 Å.) Also, if the film is very rough, non-uniform in thickness over the measurement area, or has a graded interface, it may not support coherent optical interference, and thus it may not be able to

be measured. Another cause of no oscillations can be an improper baseline measurement, so usually the first step when no oscillations are seen is to carefully retake the baseline.

Case #2: The measured reflectance spectrum has periodic oscillations across the entire screen, but its minima and maxima do not match up with the calculated reflectance. The most common cause is that the initial thickness guess was considerably different than the actual film thickness, and due to the constraints on possible thicknesses, FILMeasure was not able to look for an answer in the region of the actual thickness. To understand this, it helps to know that the number of oscillations on the screen is proportional to the film thickness. For example, if the measured spectrum has roughly twice the number of the oscillations that the calculated spectrum has, then the measured film is roughly twice the thickness of the calculated thickness. Using this information, the initial thickness guess and the thickness constraints can be set more appropriately in the Edit Structure dialog box. Another possible cause is found in case #3 (incorrect dispersion.)

Case #3: Two or more different, but nearly the same, thickness readings are obtainable from the same measurement location. When this occurs usually the measured and calculated spectra match somewhat, but not very well across the entire spectra (usually, the measured and calculated spectra match only over a small wavelength range and then gradually walk off one another outside this wavelength range.) When thickness is the only value being measured, this is normally caused by the refractive index of the measured layer not matching that used by FILMeasure, especially when the film is greater than one micron thick. Unless more accurate index values can be obtained, the best way to solve this problem is to restrict the wavelength range used in the analysis.

Case #4: Not all of the measured spectrum displays oscillations, some regions of the spectrum are strangely curved (thickness greater than 1 micron.) This can be a symptom of non-uniformity in thicker films. In this case simply restrict the wavelength range used in the analysis.

Case #5: Poor matches between the measured and calculated reflectance spectra when measuring thickness and optical constants. There can be many causes of this problem, including those listed in Case #1 and Case #2 above. Most commonly when a poor fit between the spectra occurs, it is because 1) the components of the film structure are not all included in the Edit Structure > Layers dialog box, 2) very inaccurate initial guesses for the film thickness(es) have been listed, 3) the optical constants listed in the Edit Structure > Layers dialog box are far from the actual optical constants in the material, or 4) the film being measured has properties that are not taken into account by FILMeasure. Examples of these properties are graded interfaces, non-uniform films, and voids.

Case #6: Several different answers, or one unreasonable answer, are found when measuring thickness and optical constants, although a good match is found between the measured and calculated reflectance spectra. This normally occurs when a large number of properties are being measured on a very thin film. In general, the thinner a film is, the less unique information that can be obtained from it. To understand this, read Measurement Theory in Appendix C. To solve the problem, one must usually reduce the number of properties being measured.

The basic steps for any F20 measurement are selecting and editing the film structure, taking a baseline measurement, and then making and evaluating the measurement. The details of each of these steps are explained below, followed by descriptions of other FILMeasure functions.

5.1 Taking a Baseline

The baseline measurement allows the FILMeasure software to take into account the response inherent to the F20 reflectance measurement hardware. It does this by measuring a reference sample and by taking a "dark" reading. If optical constants or very thin films are to be measured, the light source should be allowed to stabilize at least ten minutes before the baseline is taken, and then periodically re-taken every 20-30 minutes. A baseline measurement is taken by clicking on the Baseline button on the main screen and following the prompts. The steps for taking the baseline are explained in detail here.

The first step is to take a reference spectrum. This done by placing the reference sample (usually silicon or BK7 glass) on the sample stage and clicking OK when prompted. The reference material that is used should be selected in the Reference list. If the film structure to be measured has already been selected from the "Structure:" list, then Autoscale Integration Time can be selected. In some instances this will not be possible and the instructions for setting the integration time with the Setup > Data Acquisition box will have to be followed.

The dark scan should be taken with no sample on the stage and the light turned on (so that the light output remains stable). Most stages have a built-in tilted mirror or other reflector that is used to redirect the incident light away from the collection optics. If you are using a movable stage, move this reflector under the light beam. Click on "OK" to take the dark reading.

After acquiring a baseline the F20 is ready to begin making measurements. To verify that the system is working properly, you may select Acquire > Do Single Acquisition with the reference sample in place. You should see the reflectance spectrum of the reference material.

5.2 Editing Film Structures

The film characteristics that are to be measured, and the description of the sample film structure are specified in the "Edit Structure" dialog box. Dozens of different film structures and their measurement specifications may be saved using the "Edit Structure" dialog box.

The "Edit Structure" dialog box is accessed with the "Edit Structure" button on the right-hand side of the main screen. The "Edit Structure" dialog box lists an initial guess at the specifications of the film structure to be measured. These specifications include the name of the film structure (which identifies it in the "Structure:" list), the number of films in the structure, the specifications of individual films, and the quantities to be measured.

Edit Structure > Adding, Changing, or Deleting a Structure

When the "Edit Structure" dialog box is opened, it shows the stored specifications of the structure selected from the "Structure:" list, along with any changes made since the program was started. Changes to the structure selected can be permanently stored by making the desired changes and then clicking on "Save Changes". New structures may be added to the "Structure:" list by opening the "Edit Structure" dialog box, entering the name of the new structure in the "Name:" field, setting the desired specifications, and then clicking on "Save As New". Similarly, a structure may be deleted (removed from the "Structure:" list) by clicking on the "Delete" button.

dit Structure								
	Name: Puly/Si	02 on Si	· Se	ve Ao New Delete	Seve C	hanges		
	Leyers		(ptions	T	Cons	traints	
Region:	Material:		Number o	(Layers: C1 G	2 0 2	0.4		
Medium	Air	v Th	ickness, d (Å)	Roughness, r (Å)		Mea	isure:	
Layer #1	SPoly	•	2000		E d	₽ n	₩ k	₽ r
Layer #2	Si02	٠	500	0	₽d	E n	Ek	P r
Substrate	51	*		p		Γn	Γk	R r
					R Fra	ble Fisher	t Thicknes	e Oriy
Structure Do Copy to Clipboard	Lin and Settings Export to		ImportFrom File	Piet			Clas	

Figure 5.1: Edit Structure > Layers window

Edit Structure > Layers: Setting Up a Film Structure

When solving for a parameter, the specifications (d, n, k, roughness) of the known films (including the incident medium and substrate) must be entered into the proper fields in the "Edit Structure" dialog box, as well as initial guesses for the values to be measured. The refractive index (n) and extinction coefficient (k) values for common materials can be selected automatically with the pull-down menus on the left-hand side of the "Edit Structure" dialog box.

If a material is being measured which is not present in the pop-up material list there are three possible approaches: a) choose a material in the list which is similar, b) if the material is transparent (an insulator), select "Enter Refractive Index Value" from the material list and enter a value for the refractive index (n will automatically be varied by FILMeasure, with the entered value being n at 632

nm), or c) in the Edit > Edit Material Library dialog box, enter the refractive index values for n and k as a function of wavelength and save the files so that they may be selected as in a).

Edit Structure > Layers: Choosing Film Properties to be Measured

To measure a film property, check the appropriate box on the right-hand side of the "Layers" field in the Edit Structure dialog box. In general, thickness values can be measured independently if the n and k of the layer are specified. However, unless the thickness is extremely well-known, n and k can not be measured without also measuring thickness. Also, unless the material is a dielectric (k=0), n and k must be measured together.

As with any measurement, the accuracy of the measured data decreases as the number of measured values increases. This is especially true here, as changes in d, n, and k can often affect the measured reflectance spectrum in similar ways. Thus it is best to provide as much information about the film structure as possible.

Edit Structure > Layers: "Robust Thickness Only" Mode

If thickness is the only property to be measured and the film to be measured is greater than 5000 Å thick, the "Robust Thickness Only" mode may be used. This mode can oftentimes allow for successful measurements to be made when the reflectance data is affected by non-ideal film properties, such as non-uniformities and birefringence. In this mode, if the thickness entry field is empty, FILMeasure will search for the best thickness fit between approximately 20 Å and 100 μ m (Caution: Measuring thickness in this mode may take a considerable amount of time.) If a thickness value is entered, the range of thicknesses tested is constrained by the limits set in the "Constraints" field.

Edit Structure > Options

Correct setting of the following options will help insure accurate measurements. Many of the options are set automatically when film information is supplied in the "Edit Structure" dialog box, and all of them can be saved so that subsequent measurements can be made as quickly and easily as possible.

Most of the options are self-explanatory: measurements may be made in reflection or transmission mode; the angle of the incident light may be varied, with normal incidence defined as zero degrees; and transverse electric and magnetic polarization may be selected when the incident angle is not zero.

Leyers	Options	Constraints
Solving Details	Convergence Criteria	Display Details Wavelength for
Count for Back Reflections	Moximum Renations: 400	n and k display:
ak Model Leyer 1: Couchy	Measurement Type G Befectance C Transmittance G Simultaneous Ref./Trans.	Analyzed Data F Displayed Data C Fixed Range From
Leyer 3 Cauchy	Polarcation © TE C TM	215 nm To 1030 nm

Figure 5.2: Edit Structure > Options window

Edit Structure > Options: Grid Search for Thickness

There are a number of methods that FILMeasure can use to determine thickness, *n*, and *k*. None is perfect–each is a different trade-off between speed, accuracy, and robustness (i.e., the ability to find the best solution among many that are nearly as good.) Because thickness can vary over many orders of magnitude and many near-solutions exist, it is often best to use a very robust method to get close to the best solution, and then let a more accurate method take over. One very robust method is the "Grid" method, which can be activated by selecting "Grid Search for Thickness." The Grid method searches the entire allowed thickness range (as defined by the initial guess and the constraints) to find the best initial thickness. However, on some very complex spectra, it is possible for the Grid method to become confused and give the wrong answer. In such cases it is best to measure without the Grid method by using accurate initial guesses to get close to the final solution.

Edit Structure > Options: Fourier Search for Thickness

The Fourier Transform method is an alternative option to let FILMeasure choose an initial thickness for analysis. The Fourier Transform method analyzes the oscillations present in the spectrum and determines the film thicknesses based on the periodicity of those oscillations. It is somewhat less robust than the Grid method, but is better at finding the correct thickness in cases where the shape of the initial theoretical spectrum is different than the measured data (i.e., the reflectance spectrum is non-ideal in some way) or in cases where there is more than one film thickness is being measured.

Edit Structure > Options: Convergence Criteria

This sets the maximum error between the measured and calculated spectra that is attained before the measurement routines consider the solution final. In most cases a value of 0.005 is sufficient (for how this is calculated, see Fitting Error in Section 5.3.) For cases where the desired Fitting Error is

not attainable, the value in "Maximum Iterations:" limits the number of iterations performed by the analysis routine.

Edit Structure > Options: Models for *n* and *k*

When measuring n and k for a film, the general dependence of these values upon wavelength must be specified. This dependence is determined by the type of material to be measured. For example, insulators, semiconductors, and metals all have a unique type of n and k wavelength dependence. Dozens of models for these different dependencies have been proposed and used over the years. FILMeasure uses a few of the most versatile and accepted of these models. For insulators, the Cauchy model is used, for semiconductors, either the Amorphous or Bridge-Lorentzian model, and for metals, the Drude model. These models are selected automatically when a material is chosen from the Material lists on the "Edit Structure..." dialog box. Other models may also be specified by selecting them from the "nk Model" box in the "Options..." dialog box.

Edit Structure > Constraints

By setting constraints, the user can limit the possible values of the measured film properties. The constraints are set in conjunction with the values entered in the Edit Structure > Layers dialog box. For example, if the initial guess of the measured thickness of a film is 1000 Å and the thickness constraint is set at +/- 50%, FILMeasure will only consider possible thicknesses in the range 500 Å to 1500 Å. Constraining the measurement range can speed up the measurements and can also help exclude non-physical solutions.

5.3 Understanding and Evaluating Measurement Results

Display of Measured Data

Once film structure and measurement information have been entered and baseline spectra taken, measurements may be made by clicking on the "Measure" button. After measurement, the measured and calculated reflectance spectra are displayed on the graph. The thickness of the films are listed in the boxes labeled "Layer 1:", "Layer 2:", etc. (actual material names are used if entered in the index box for each film layer). If any of the thickness values were measured, they are displayed in bold numbers.

The values of the calculated reflectance versus wavelength are listed in the grid that is viewed by clicking on "Show Table" at the lower right-hand corner of the screen The calculated n and k values may be viewed by scrolling through the grid. Clicking on a column containing measured data will cause it to be plotted on the graph.

Selected data from the results grid may be copied to the clipboard by clicking on "Copy Selected Data". The wavelength data is copied along with the selected reflectance, n, and/or k data.

Fitting Error

The accuracy of a calculation fit, and thus the reliability of the measurement, can be judged by the match between the measured and calculated spectra, which is quantified by the Fitting Error value. The Fitting Error is a normalized average RMS difference between the measured and calculated

spectra points. Of course, the smaller the error value, the better the fit, and the more reliable the measured values. The actual value of the Fitting Error is quite dependent upon the type of measurement being made, but, as a general guideline, a good measurement of thickness and optical constants occurs when it is in the neighborhood of 0.01 or less.

5.4 The File Menu

File > Open Reflectance Spectrum...

This command is used to open stored reflectance spectra, which are then displayed and can be analyzed for film properties.

File > Save Measured Spectrum...

This command is used to save measured reflectance spectrum for export or later analysis. All data is saved along with the corresponding wavelength data in comma-delimited format.

File > Save Calculated Spectrum...

This command is used to save the calculated reflectance spectrum for export or later analysis. All data is saved along with the corresponding wavelength data in comma-delimited format.

5.5 The Edit Menu

Edit > Copy Measured Spectrum...

This command is used to copy the Measured reflectance spectrum and corresponding wavelength data in comma-delimited format.

Edit > Copy Calculated Spectrum...

This command is used to copy the calculated reflectance spectrum and corresponding wavelength data in comma-delimited format.

Edit > Material Library...

The files that FILMeasure uses to describe n and k of different materials can be edited by selecting Edit > Material Library... The files that are used to describe n and the material type (e.g., dielectric, semiconductor, metal) are designated index files and have the extension ".nnn". The files that describe k have the extension ".kkk".

File Type		Wavelength(nm)	n .
© n	►	206.6	1.543
O k		226.7	1.52276
		248.3	1.50841
Material Type		265.2	1.50004
C <u>M</u> etal		280.3	1.49404
C Semiconductor		302.2	1.48719
🙆 Insulator		330.3	1.48053
C Photoresist		361.1	1.47512
C Other		404.7	1.46961
-	8	435.8	1.46669
Model		467.8	1.46429
Default		508.6	1.46187
C Custom		546.1	1.46008
		577	1.45885
Model		589.3	1.45841
		1643.8	1.45671
Strip Points		New	Save

Figure 5.3: The Edit > Material Library dialog box

A new material may be entered into the material library using the following steps:

- 1. Manually: If refractive index (*n*) and extinction coefficient (*k*) are known as a function of wavelength for the new material, they may be manually added to the library. For each material there is a file that contains index values as a function of wavelength. This file is named material.nnn. Optionally, a .kkk file may be created. If no .kkk file is created, *k* is assumed to equal zero over the entire spectrum.
 - a) Under the "Edit" menu item, select "Material Library". The "Edit Material" dialog box will appear.
 - b) Click on the "New" button to create a new material.
 - c) Select the type of file you are ready to create (.nnn or .kkk).
 - d) Optimize the functionality of the file by entering index values over the entire wavelength spectrum of the system. The wavelength unit is nanometers. Enter index information every 20 to 50 nm, depending on the complexity of the data. In areas where n or k are quickly changing, more densely spaced the points should be entered.
- 2. Automatically: Measured refractive index (n) and extinction coefficient (k) as a function of wavelength may also be saved directly to the material library.
 - a) Follow the instructions for measuring optical constants, solving for refractive index and, if non-zero, extinction coefficient (see Section 4.3 and Section 4.4 for suggestions).
 - b) Once a good fit is achieved, save the information by selecting "Save n and k to files..." under the "File" menu. This will save the refractive index (n) and extinction coefficient (k) as a function of wavelength to their respective files with extensions ".nnn" and ".kkk". Name the material as you would like it to appear in the drop down boxes in the Edit Structure.

Edit > Units...

The thickness (length) units used to describe structures and display results are chosen here. The choices include nanometers (nm, $10e^{-9}$ m), microns (μ m, $10e^{-6}$ m), angstroms (Å, $10e^{-10}$ m), and kiloangstroms (kÅ, $10e^{-7}$ m).

5.6 The Setup Menu

Г	Acquisition: Spectromet	
	Auto Integrati	ion Time: 🗖
and a second	Integration Time ((ms): 100
14	Reference Mate	erial: Si 🗾
L.		
	Take <u>D</u> ark	Take Reference
	<u>Subtract Dark</u>	<u>Use Reference</u>
	ОК	Cancel

Figure 5.4: The Setup > Data Acquisition dialog box

Setup > Data Acquisition: Integration Time

This control is used when manually setting the integration time (i.e., when not using Automatic Integration Time.) To set the integration time, make sure that "Use Background" and "Use Reference" are not checked and enter a time into the "Integration Time" field and click OK. A value of 100 ms is a good starting point. To facilitate rapid adjustment of the integration time, select Acquire > Start Continuous Acquisition. FILMeasure will now be continuously acquiring and displaying scans. If the scan is off-scale select Setup > Graph Options... and "AutoScale Y axis" to rescale the axes. Now turn the light source on and insert a test sample. The vertical scale of the spectrum extends from zero to 4095 (since the A-to-D conversion uses 12 bits). Thus, a good working level is when the peak height of the sample being measured, or the reference sample, which ever is greater, is 3000-3500 counts (Note: if the reference measurement saturates, spurious final measurements will result). If the maximum signal is too low, increase the integration time.

Setup > Data Acquisition: Reference Material

Enter here the reflectance (or transmissivity if in transmission measurement mode) value of the reference sample used. For transmission measurements, enter 1.0. For reflectance measurements, the reflector should have known reflectance characteristics over the region of interest. The reflectance versus wavelength values should be entered into a text file with the extension ".rrr" (stored in the "Material" subfolder). Materials that already have ".rrr" extensions are available by selecting from the list in the "Reference Material" field.

Setup > Data Acquisition: Take Dark

This button allows the dark portion of the baseline measurement to be taken without having to re-take the reference measurement.

Setup > Data Acquisition: Take Reference

This button allows the reference portion of the baseline measurement to be taken without having to re-take the dark measurement.

Setup > Data Acquisition: Subtract Dark

This feature allows the dark subtraction portion of the baseline correction to be disabled.

Setup > Data Acquisition: Use Reference

This feature allows the reference portion of the baseline correction to be disabled..

Setup > Data Analysis: Data Smoothing

This is essentially a function that averages together a number of data points on either side of each measured data point. For example, if the "Smoothing Points" is set to 5 then each data point displayed on the screen is actually an average of five data points (the data point plus the two data points on either side). This function can be used to reduce noise when reflectance signals are very weak.

Setup > Data Analysis: Scans to Average

In order to get the low noise spectrum it is occasionally necessary to acquire a number of reflectance spectra and display their average. This is readily accomplished by setting the "Scans to Average" field.

Setup > Access Control...

The software for the F20 incorporates password protection to limit access to the measurement software and settings. When the software is initially installed the access control is turned off. The software will automatically boot up with Engineer level access which enables access to all features of the program except turning on and off access control and adding and deleting users.

The access control options are accessed from the Set Up menu. Turning on access control requires Supervisor level access. The software is delivered with one user, a supervisor, in the list of authorized users as shown below:

UserID: filmsuper Password: filmetricsfff

To turn on access control, log in as filmsuper using the password shown above. The "Activate Access Control" check box should now be enabled. By placing a check mark in the Activate Access Control check box access control will be active.

You should also create some users at this time. To add an operator level user, select operator from the access level list box, type a user name and type an initial password for that user. Then click the Add User button. To add an engineer or a supervisor user follow the same procedure, but pick the appropriate access level from the list box before pressing the Add User button.

To Delete a user, enter the UserID and press the Delete User button.

Operator and Engineer level users can change their passwords when they are logged in by entering their password into the password box and pressing the change button. Supervisor-level users can change their password or the password of any other user by selecting the appropriate access level,

entering the appropriate UserID and the new password and pressing Add User. If the program finds that a user already exists, it will delete the old entry for that user and create a new entry.

We recommend that you create a new supervisor level user and delete the filmsuper user for maximum security. If all supervisor level users forget their passwords, it will be necessary to re-install the software and add all the users again.

Setup > Graph Options: Axis Fields

The "Graph Options" dialog box may also be accessed by double-clicking on the graph display. The Horizontal Axis Minimum and Maximum fields are used to control the wavelength range displayed on the screen. The selected range also controls the range of wavelengths that FILMeasure analyzes when determining film properties. Use the Vertical Axis Minimum and Maximum fields to control the vertical-axis display. Check the Autoscale Y axis box to activate y-axis autoscaling. The lower value is always 0 for autoscaling, the maximum y-axis upper limit is 5000.

Setup > Graph Options: Display *n* and *k* on One Graph

Check this box to cause n and k to be graphed together on the same plot. If this option is not checked n will be graphed along with the initial guess for n and k with the initial guess for k. Scroll the spreadsheet displaying wavelength, n and k left or right to plot n or k on the graph.

Setup > Reflection Mode (Transmission Mode)

Choose the correct mode of operation before beginning a baseline measurement. The measurement settings are stored for each of the two possibilities. After taking a baseline in reference and in transmission, this feature allows for switching between reflection and transmission with out taking any other baselines.

Chapter 6 HOW

How to Contact Us

We welcome suggestions from our users on ways to improve our software and hardware. Please send us any suggestions you may have for improvements in the manual or new features you would like to see in the software.

We may be reached by phone at 858-573-9300, by fax at 858-573-9400, or by e-mail at support@filmetrics.com.

Appendix A **Performance Specifications**

Thickness Measurement Range1F20150 Å to 50 μm 2F20UV30 Å to 20 μm

Optical Constant Measurement Range F20 1000 Å to 5 μm F20-UV 500 Å to 5 μm

Spot Size Adjustable 500 µm to 1 cm

Thickness Accuracy³ ± 1 nm at 500 nm thickness

Precision⁴ 0.1 nm

Repeatability⁵ 0.07 nm

¹ Typical Values

 $^{^2}$ Custom configuration available to measure thickness up to 100 $\mu m.$

³ Thermally grown SiO₂ on Si.

⁴ Standard deviation of 100 thickness readings of 500 nm SiO_2 film on silicon substrate. Value is average of standard deviations measured over twenty successive days.

⁵ Two sigma based on daily average of 100 readings of 500 nm SiO_2 film on silicon, measured over twenty successive days.

Appendix B Application Note: Silicon Nitride

Step-by-step instructions for measuring silicon nitride on Si:

- 1. Start FILMeasure and click the Edit Structure button.
- 2. Where it says "Number of Layers:" click on 1.
- 3. Set the material for the Medium to Air.
- 4. Set the material for Layer #1 to Si3N4.
- 5. Enter a guess for the layer thickness of the silicon nitride into the thickness box for layer #1.
- 6. Put check marks in the d, n, and k check boxes for layer #1.
- 7. Set the material for the Substrate to Si.

	Name: Si3N4 or	n Si	▼ Sαv	e As New Delete	Save C	hanges		
	Layers		0	ptions		Const	Iraints	
Region: Medium	Material:			Lanyuna: ≪ <u>1</u> ⊂ <u>2</u> Roughness.r(jim)	° 1		isure:	
Layer #1	Si3N4		0.5	D	₽d	P n	Rk	E
Substrate	Si	•		0		E n	∏ k	E r
					F Ere			
Copy F	hint					Γ	<u>OK</u>	

Fig. B.1 Settings for steps 1-7

- 8. Click on the Options tab.
- 9. Click on "Grid search for thickness" under Solving Details.
- 10. Make sure there is no check mark for "Account for Back Reflections."

- 11. Under Convergence Criteria, set the number of iterations to 600 and set "Fitting Error less than:" to 0.001
- 12. Under Analyzed data select "Fixed Range" and enter "From:" 200 and "To:" 1100
- 13. Under "Measurement Type" select "Reflectivity."

Name: Si3N4 on Si	Save As New Delete Save	Changes
Layers	Options	Constraints
Solving Details Solving Details Substate: Si3N4 Substate: Bridge Lorentzian-31 em	Convergence Criteria Fitting Error Less Than: 0.001 Maximum Resoftons: 600 Measurement Type © Beflectivity © Iransmission © Simultaneous Rell/Transmission Incident angle: 0 degrees Polarization: © TE © TM	Display Details Wavelength for n and k display: 632.0 nm Analyzed Data C Displayed Data C Displayed Data C Fixed Range From 200 nm To 1100 nm
ev Print		OK

Fig. B.2 Settings for steps 8-13

- 14. Click on the constraints tab.
- 15. Set the constraint for d to 75%.
- 16. Set the constraint for n to 5 and the constraint for k to 5.

Layers		Options		Constraints
		search range (+ the measured qu		ue) of
Region: Medium	Material:	*/- %Thickness	*/- Index	•/- k
1	[50N4	76 %	5	5
Substrate	ßi	J	1.0	0.1

Fig. B.3 Settings for steps 14-16

- 17. In the name field at the top of the Edit Structure Dialog box enter a name for this structure.
- 18. Click the "Save As New" button.
- 19. Click the OK button.
- 20. Follow the instructions in the manual for measuring dielectric layers.

Discussion

Silicon nitride is a dielectric material commonly used in semiconductor fabrication processes. The refractive index of stoichiometric Silicon Nitride is 2.05 at 633 nm. However, in practice the refractive index of deposited films can vary from 1.7 or less (films containing excess hydrogen or oxygen) to as high as 2.5 or more (Si rich films). The measurement of n and k is complicated by the fact that this refractive index range (1.7 to 2.5) spans a critical range for films deposited on Si substrates. In order to get an unambiguous result for measurement of n, the structure description should be set by choosing Si3N4 as the material for the silicon nitride layer. To confirm that the proper model is chosen, go to the options tab and note that the model displayed is Si3N4. If the Si3N4 model is not displayed in the nk model list and Si3N4 is not in the list of models, then newer software is required. It is important that both n and k be measured simultaneously (put a check mark for n and for k in the Edit Structure dialog box). When using the Si3N4 model the constraints for n and k should be set to large values (at least 5). If the film is very thin (less than 50 nm) or if the user has an infrared spectrometer (e.g. F20-NIR) it may be necessary to set the material to Si3N4 (k=0) instead of Si3N4 to get reproducible results, but this should be used as a last resort.

Theoretical Underpinnings of Si3N4 n and k Measurement

The refractive index of a single layer film is related primarily to the depth of the minima found in the measured reflectance. The reflectivity at the interference fringe minima of a film deposited onto Si is zero when the refractive index is near 1.97. The reflectivity at the fringe minima for a film with a refractive index of 2.00 is the same as that for a film with a refractive index of 1.94. Therefore, it can be difficult to unambiguously determine the refractive index from a reflectance measurement. However, for most films the user knows that the refractive index is either always greater than or always less than 1.97 so there is no uncertainty. Silicon nitride is one of the few rare films whose refractive index spans this critical range. Fortunately, there is a solution for silicon nitride that allows us to determine which of the two possible refractive index values is correct. This is done by measuring both n and k for silicon nitride and by realizing that silicon nitride with a refractive index larger than 1.97 has measurable absorption (non-zero k) at wavelengths less than 420 nm. Thus, to get good results for n the widest possible wavelength range should be used (especially going down to short wavelengths) and the user should simultaneously measure both n and k.

Appendix C Theory of Operation

Measurement Theory

The F20 measures thin-film characteristics by either reflecting or transmitting light through the sample, and then analyzing this light over a range of wavelengths. Because of its wave-like properties, light reflected from the top and bottom interfaces of a thin-film can be in-phase so that reflections add, or out-of-phase so that reflections subtract. Whether the reflections are in- or out-of-phase (or somewhere in between) depends on the wavelength of the light, as well as the thickness and properties of the film (e.g., reflections are in-phase when $\lambda = (2*n*d)/i$, where λ is the wavelength, *n* is the refractive index, d is the film thickness, and i is an integer.) The result is characteristic intensity oscillations in the reflectance spectrum (see Fig. C.1.) In general, the thickness the film, the more oscillations there are in a given wavelength range.

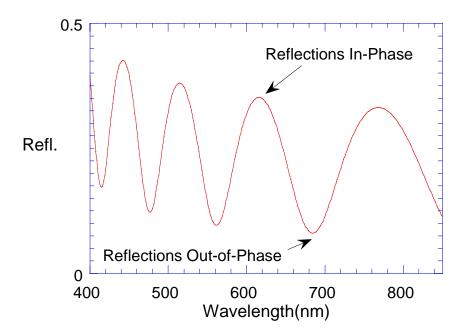


Figure C.1: Example of Reflectance Spectrum with Oscillations

The amplitude of the oscillations is determined by the refractive index and extinction coefficient of the films and substrate. Therefore, by analyzing the period and amplitude of these oscillations, the F20 can determine thickness and optical properties (n and k) of multiple thin-films.

Thickness Measurement Details

Optical thin-film thickness measurements require the successful completion of two tasks: acquisition and then analysis of an accurate reflectance spectrum. To determine film thickness, FILMeasure calculates a reflectance spectrum that matches as closely as possible the measured spectrum. FILMeasure begins with an initial guess for what the reflectance spectrum should look like theoretically, based on the user's input of a film structure for the sample. Then FILMeasure varies the parameters it is solving for until the calculated reflectance spectrum matches the measured data. Mathematically, this procedure is complicated by the fact that as the thickness of the films in the calculation is varied there can be many near matches. Therefore, an approach that simply homes in on a solution by finding successively better approximations will not work unless the starting guess for optical thickness is within approximately 1000 Å if the actual thickness.

In measuring thickness only, FILMeasure avoids homing in on a false solution by searching the entire acceptable thickness range to determine the thickness which gives the best possible match between the measured and calculated spectra. The thickness range searched is determined by the initial guess for thickness together with the thickness constraint. If no thickness is entered for an initial guess, FILMeasure will search the entire thickness range from 0 to 100 microns. Since the time to find a solution is proportional to the range of thicknesses being searched it is beneficial to provide an initial guess for the thickness of the films to be measured.

Optical Constant (n and k) Measurement Details

Measurement of optical constants (refractive index and extinction coefficient, also called n and k) requires a simultaneous determination of film thickness. The exception to this is for measurement of a bare substrate. The approach used by FILMeasure to determine film thickness and optical constants is the same as that used to measure thickness alone, except that now n and k are also varied to optimize the match between calculated and measured reflectance spectra.

Since n and k vary as a function of wavelength, it is necessary to solve for them at every wavelength. For a completely unknown material it is not possible to do this using a reflection spectrum only since at each wavelength only one know parameter (reflectance) is known and there are two unknowns (nand k). However, n and k are not independent, but are related to each other via what is known as the Kramers-Kronig relation. If n were known at all wavelengths, k could be computed solely from n. Since it is only possible to measure n over a finite wavelength range, k cannot be computed directly from n.

In reality, however, there is plenty of data present from a single reflection spectrum to determine both n and k simultaneously. This is due to the fact that the refractive index at any given wavelength is not independent of the refractive index at adjacent wavelengths, but follows a smooth dependency that can be accurately modeled by a theoretical equation. Furthermore, if there is an equation for refractive index it can be transformed using the Kramers-Kronig relations to yield k. Thus, if the equation for refractive index has three adjustable parameters it is only necessary to adjust these three parameters, plus the thickness in order to match the calculated and measured spectra. Therefore, the measurement of n and k has been reduced to choosing an appropriate model for n and k as a function of wavelength and then adjusting the parameters in the model to obtain a good fit to the measured data. FILMeasure uses different models depending on the type of material being measured.

The parameter space being searched to find a solution is potentially quite large since it involves thickness plus the adjustable parameters of the model. Furthermore, since there are many nearmatches as the thickness in the model is varied, it is important to reduce the parameter space being searched. This is done by homing in on the match closest to the initial guess. This will only be the correct solution if the initial guess for thickness is within about $\frac{1}{2}$ wavelength of the correct value. To permit more robust solution FILMeasure can search the entire constrained thickness range to determine the correct thickness before it begins solving for *n* and *k*. This initial search feature is enabled by checking one of the appropriate search mechanisms in the "Edit Structure" options dialog box.

F20 Hardware Operation

The F20 performs two distinct functions: data acquisition and data analysis. Data analysis specifics are discussed in Chapter 5. In this section we describe how the F20 acquires accurate spectral reflectance data.

Light is supplied by a tungsten-halogen bulb that generates light from approximately 400 nm to 3000 nm. This light is delivered to and collected from the sample through a fiber-optic cable bundle and a lens. The intensity of the reflected light is measured at 512 different wavelengths with a spectrometer. The F20 spectrometer uses a diffraction grating to disperse the light and a linear photodiode array to measure the light at the different wavelengths. The photodiode array operates by integrating the current generated by light falling on each of the 512 pixels. After a user-selectable integration time, the accumulated charge in each photodiode is read by the computer. Because a longer integration time results in a larger charge, it is the integration time that determines the sensitivity of the spectrometer. Adjustment of the integration time is used to obtain the proper signal level. Too short an integration time results in a weak, noisy signal, while too long of an integration time results in a saturated signal.

The Baseline Measurement

The baseline measurement allows the FILMeasure software to take into account the response inherent to the F20 reflectance measurement hardware. It does this by measuring a reference sample and by taking a "dark" reading. In any optical system there are many components whose characteristics vary with wavelength (e.g., the output of the light source and the sensitivity of the spectrometer). However, when reflectance measurements are made, only variations in reflectance vs. wavelength due to the sample under test are of interest. Therefore, FILMeasure must perform a calibration to determine the spectral response of the system. This is done by making a measurement of a "reference" sample that has known reflectance characteristics. Note that it is not necessary for the reference sample to be the same as the substrate upon which films to be measured reside. The only purpose served by the reference sample is to permit calibration of the optical system. For example, it is possible to use a Si wafer as the reference sample and then measure films on GaAs, InP, glass, plastic, etc.

After the reference measurement is made a dark reading is taken. A non-zero dark level is due to current leakage inherent to photodiodes, which causes each photodiode in the array slowly charges up even when no light enters the spectrometer. Thus, in order to make an accurate measurement of the light entering the spectrometer, it is necessary to subtract this "dark" current contribution. This is the purpose of the "dark" reading, which measures the magnitude of the dark current for a given integration time. When a "dark" measurement is made, a spectrum is measured that represents the signal generated by the spectrometer when a sample of zero reflectance is measured. To simulate a sample with zero reflectance during a "dark" measurement, a specularly reflecting sample can be held at an angle with the light source turned on, or in many cases the light source may simply be turned off momentarily during the dark measurement.

Due to drift in the light source and temperature of the spectrometer electronics, it is a good idea to take a baseline periodically.

Optional Features

D.1 Measuring Optoelectronic Devices

VCSEL cavity resonance dips and distributed Bragg reflectors (DBRs) may be measured by selecting "Opto Values" from the "Measure:" list. To measure laser cavity resonance dips, select "Cavity Dips" from the "Find:" list. This routine works by finding the wavelength that corresponds to the minimum reflectance in a pre-determined wavelength range. The wavelength range that is searched can be restricted in one of two ways, which are selectable in the Cavity Dip "Setup" dialog box. The simplest way to restrict the wavelength range is to manually specify the range. The second is to restrict the search to the range between the lowest wavelength above a given reflectance value and the highest wavelength above a second (usually identical) reflectance value. The upper and lower limits of the search are displayed on the screen, along with the cavity dip location.

The location of the center wavelength and the bounding reflectance minima of a DBR may be measured by selecting "DBR Center" from the "Find:" list. The reflectance threshold for finding the mirror minima can be specified with the DBR Center Setup box. This is especially useful when measuring multiple and convoluted DBR structures.

D.2 Measuring Filters

The cut-off wavelengths of high-pass, low-pass, band-pass, and notch filters may be measured by selecting "Filters" from the "Measure:" list. Filter measurements are made by taking appropriate reference and dark readings as described in Section 6.1, and clicking on "Measure". Measurement parameters are set on the main screen. The wavelength range searched is restricted to that displayed on the screen, which is determined by the "Graph Limits" dialog box, which is found under the "Setup" menu.

Appendix E Light Bulb Replacement



Warning: Hazardous voltages are present within the unit. Never attempt any maintenance without disconnecting the power cord.

Step by step:

1. Exit FILMeasure program and turn off your computer.



2. Disconnect power cable from the F20/30/40/50, and the DB25 signal cable from the back of the unit.



- 3. Disconnect fiber optic cables from the front of the unit.
- 4. Turn the unit over and remove the four recessed screws opening the two halves of the enclosure.



Warning: Caution, avoid touching any interior components, other than the lamp, lamp base and housing.

- 5. Loosen lamp set screw (counter clockwise to loosen).
- 6. Insert new lamp fully into lamp housing. Do not touch the lamp glass.
- 7. Secure lamp with set screw (clockwise). Do not over tighten.
- 8. Plug new lamp into the lamp power connector. Do not test lamp with lid removed.
- 9. Replace the unit's top cover and screws. Insert cord into wall supply and test light.

Appendix F Automation and Data

Measurements can be automated and additional data analysis can be performed by user-supplied software that communicates with FILMeasure via Dynamic Data Exchange (DDE). An example program illustrating how to use DDE with FILMeasure is located in the "Client" folder, which is inside the "FILMeasure" folder. Although the example program is written in Visual Basic, DDE-based automation software can be written in any language that supports DDE. A single data entry is linked and is used to return information from FILMeasure to the client application. Once the link is set up, FILMeasure will also execute commands specified by the client application. To set up a link to FILMeasure set up a DDE conversation as follows:

LinkTopic = "FILMeasure|FILMeasureDDE"

LinkItem = "DDESourceBox"

Although all commands are listed below, commands that refer to measurement options not included with a particular system will not be available. For example, the commands dealing with OptoValues measurements are only available in FILMeasure versions containing the "Measure: OptoValues" option. The commands understood by FILMeasure are as follows:

ActiveSpectrometer(<arg>) – Sets the current active spectrometer used for data acquisition to <arg>.

AnalyzeLayer – Equivalent to pushing the thickness analyze button. This command can be useful for testing DDE applications.

AnalyzeOpto – Equivalent to pushing the OptoValues analyze button. This command can be useful for testing DDE applications.

CopySpectrum – Copies currently displayed spectrum onto the clipboard.

GetRMSError – Updates the linked data to be the RMSError resulting from the last measurement.

GetThickness(<arg>) – Updates the linked data to be the measured thickness of the layer specified by <arg>.

MeasureLayer – Equivalent to pushing the thickness Measure button. Updates the linked data to be the measured thickness of layer 1 when finished measuring.

MeasureOpto – Equivalent to pushing the OptoValues Measure button. Updates the linked data to be the measured position of DBR or FP parameter specified.

MeasureSpectrum – Equivalent to pushing the Spectrum Measure button. Copies measured spectrum to the clipboard.

OptoDBRThreshold(<arg1>) – Sets the percentage threshold for DBR measurements to the value specified by <arg1>.

OptoDBRThresholdMode(<arg1>) – use 0 in <arg1> to specify percentage of absolute reflectivity, use 1 to specify percentage of maximum reflectivity.

OptoFPMeasurementMode(<arg1>) – use 0 in <arg1> to specify measuring based on a percentage of the maximum or absolute reflectivity, use 1 to specify measuring restricted by wavelength.

OptoFPReflectivityLimits(<arg1>,<arg2>) – use <arg1> and <arg2> to specify the lower and upper reflectivity limits.

OptoFPReflectivityThresholdMode(<arg1>) – use 0 in <arg1> to use the Absolute option, use 1 to use the Maximum option.

OptoFPWavelengthLimits(<arg1>,<arg2>) – used to set the lower and upper wavelength limits.

OptoMeasurementMode(<arg1>) – use 0 to select cavity dip, use 1 to select DBR peak.

PasteSpectrum – Paste spectrum from clipboard and graph it.

PasteSpectrumRaw – Paste spectrum from clipboard, use reference and dark if selected, and graph it.

SaveCalculation(<arg1>) – Save calculated spectrum to file specified in <arg1>.

SetIntegrationTime(<arg1>) – Sets the integration time to <arg1> milliseconds.

SetMat(<arg1>,<arg2>) – Set material for layer <arg1> to material <arg2>.

SetMatMedium(<arg1>) – Set material for incident medium to material <arg1>.

SetMatSubstrate(<arg1>) – Set material for substrate to material <arg1>.

SetNA(<arg1>) – Set numerical aperture for measurement optics (only used in F40 systems).

SetStartWavelength(<arg1>) – Set starting wavelength for data analysis to <arg1>.

SetStructure(<arg1>) – Set structure to <arg1>. Note Arg1 must exactly match the name of an existing structure in the list of structures. Valid structure names cannot contain parentheses.

SetStopWavelength(<arg1>) – Set stop wavelength for data analysis to <arg1>.

SetThickness(<arg1>,<arg2>) – Sets the initial guess thickness of the layer specified by <arg1> to the thickness value specified by <arg2>. Updates the linked data to be the thickness value given in <arg2>.

TakeDark – Take a dark measurement.

TakeReference – Take a reference measurement.

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