

# Perturbation theory degenerate

Read McIntyre 10.6

PH451/551

# Example: Stark effect in H

1. Dipole energy:

$$\begin{aligned}H' &= -\mathbf{d} \cdot \mathbf{E} \\ &= -(-e\mathbf{r}) \cdot E\hat{\mathbf{z}} \\ &= eEz \\ &= eEr \cos\theta\end{aligned}$$

2. States:

$$|n\ell m^{(0)}\rangle \doteq R_{n\ell}(r)Y_{\ell m}(\theta, \phi)$$

3. Perturbation:  $\langle n\ell m^{(0)} | H' | n\ell m^{(0)} \rangle?$

# Matrix elements, $n = 2$

1. Dipole energy:  $H' = eEr \cos\theta$
2. States:  $|2\ell m^{(0)}\rangle \doteq R_{2\ell}(r)Y_{\ell m}(\theta, \phi) = R_{2\ell}(r)P_{\ell}(\cos\theta)e^{im\phi}$
3. Perturbation:  $\langle 2\ell m^{(0)} | H' | 2\ell m^{(0)} \rangle?$
4. In groups – zero or non-zero?

$$\langle 200^{(0)} | H' | 2\ell m^{(0)} \rangle$$

$$\langle 211^{(0)} | H' | 2\ell m^{(0)} \rangle$$

$$\langle 210^{(0)} | H' | 2\ell m^{(0)} \rangle$$

$$\langle 21-1^{(0)} | H' | 2\ell m^{(0)} \rangle$$

# Example: Stark effect in Hydrogen

1. Perturbation ( $n=2$  subspace): **need different  $l$** , **need same  $m$**

$$H' \doteq \begin{pmatrix} 0 & -3eEa_0 & 0 & 0 \\ -3eEa_0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{matrix} 200 \\ 210 \\ 211 \\ 21,-1 \end{matrix}$$

2. States:

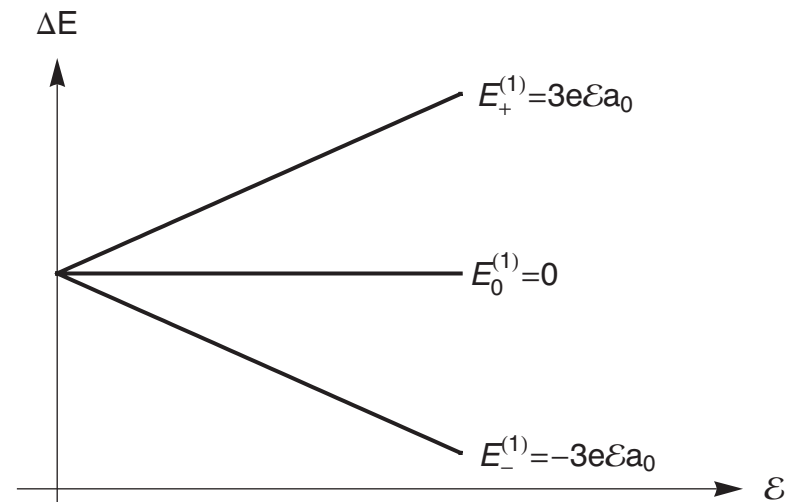
$$E = +3eEa_0, -3eEa_0, 0, 0$$

$$|\psi_+\rangle = \frac{1}{\sqrt{2}} [ |200\rangle - |210\rangle ]$$

$$|\psi_-\rangle = \frac{1}{\sqrt{2}} [ |200\rangle + |210\rangle ]$$

$$|\psi_3\rangle = |211\rangle$$

$$|\psi_4\rangle = |21,-1\rangle$$



# Degenerate perturbation theory

1. Divergence of denominator!
2. Comes about because of “wrong basis”
3. If we can find a linear combination that makes  $H'$  diagonal, then we can use 1<sup>st</sup> order perturbation theory and avoid the divergence.
4. **Diagonalize the perturbation Hamiltonian in the degenerate subspace**

$$\text{non degen} \left( H_0 - E_n^{(0)} \right) \left| n^{(1)} \right\rangle = \left( E_n^{(1)} - H' \right) \left| n^{(0)} \right\rangle$$

$$\bullet \text{ LHS} \quad \left( H_0 - E_3^{(0)} \right) \left| 3^{(1)} \right\rangle \doteq \begin{pmatrix} E_1^{(0)} - E_3^{(0)} & 0 & 0 & 0 & \dots \\ 0 & E_2^{(0)} - E_3^{(0)} & 0 & 0 & \dots \\ 0 & 0 & \boxed{0} & 0 & \dots \\ 0 & 0 & 0 & E_4^{(0)} - E_3^{(0)} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} c_{31}^{(1)} \\ c_{32}^{(1)} \\ c_{33}^{(1)} \\ c_{34}^{(1)} \\ \vdots \end{pmatrix}$$

$$\bullet \quad \doteq \begin{pmatrix} (E_1^{(0)} - E_3^{(0)}) c_{31}^{(1)} \\ (E_2^{(0)} - E_3^{(0)}) c_{32}^{(1)} \\ \boxed{0} \\ (E_4^{(0)} - E_3^{(0)}) c_{34}^{(1)} \\ \vdots \end{pmatrix}$$

Eqs. 10.36, 10.43

$$\text{non degen} \left( H_0 - E_n^{(0)} \right) \left| n^{(1)} \right\rangle = \left( E_n^{(1)} - H' \right) \left| n^{(0)} \right\rangle$$

• RHS

$$\left( E_3^{(1)} - H' \right) \left| 3^{(0)} \right\rangle \doteq \begin{pmatrix} E_3^{(1)} - H'_{11} & -H'_{12} & -H'_{13} & -H'_{14} & \cdots \\ -H'_{21} & E_3^{(1)} - H'_{22} & -H'_{23} & -H'_{24} & \cdots \\ -H'_{31} & -H'_{32} & \boxed{E_3^{(1)} - H'_{33}} & -H'_{34} & \cdots \\ -H'_{41} & -H'_{42} & -H'_{43} & E_3^{(1)} - H'_{44} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix}$$

•

$$\doteq \begin{pmatrix} -H'_{13} \\ -H'_{23} \\ \boxed{E_3^{(1)} - H'_{33}} \\ -H'_{43} \\ \vdots \end{pmatrix}$$

Eq. 10.36, 10.43

$$\langle 3^{(0)} | (H_0 - E_n^{(0)}) | 3^{(1)} \rangle = \langle 3^{(0)} | (E_n^{(1)} - H') | 3^{(0)} \rangle$$

- LHS=RHS : the 3<sup>rd</sup> element gives the first order correction to the 3<sup>rd</sup> state energy

$$0 = E_3^{(1)} - H'_{33} \Rightarrow$$

$$E_3^{(1)} = H'_{33} = \langle 3^{(0)} | H' | 3^{(0)} \rangle$$



$$\langle 2^{(0)} | (H_0 - E_n^{(0)}) | 3^{(1)} \rangle = \langle 2^{(0)} | (E_n^{(1)} - H') | 3^{(0)} \rangle$$

- LHS=RHS : all the other elements give the first order correction **to** the 3<sup>rd</sup> state ket/wave function by another state (say state **2**)

$$(E_2^{(0)} - E_3^{(0)}) c_{32}^{(1)} = -H'_{23} \Rightarrow$$

$$c_{32}^{(1)} = \frac{H'_{23}}{(E_3^{(0)} - E_2^{(0)})}$$

# Degenerate example $E_2^{(0)} = E_3^{(0)}$

1. First order equation:

$$\left( H_0 - E_n^{(0)} \right) |n^{(1)}\rangle = \left( E_n^{(1)} - H' \right) |n^{(0)}\rangle$$

2. LHS

$$\left( H_0 - E_2^{(0)} \right) \doteq \begin{pmatrix} E_1^{(0)} - E_2^{(0)} & 0 & 0 & 0 & \dots \\ 0 & \boxed{0} & \boxed{0} & 0 & \dots \\ 0 & \boxed{0} & \boxed{0} & 0 & \dots \\ 0 & 0 & 0 & E_4^{(0)} - E_2^{(0)} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

# Degenerate example $E_2^{(0)} = E_3^{(0)}$

LHS

$$\left( H_0 - E_2^{(0)} \right) \left| 2^{(1)} \right\rangle \doteq \begin{pmatrix} E_1^{(0)} - E_2^{(0)} & 0 & 0 & 0 & \dots \\ 0 & \boxed{0} & \boxed{0} & 0 & \dots \\ 0 & \boxed{0} & \boxed{0} & 0 & \dots \\ 0 & 0 & 0 & E_4^{(0)} - E_2^{(0)} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} c_{21}^{(1)} \\ c_{22}^{(1)} \\ c_{23}^{(1)} \\ c_{24}^{(1)} \\ \vdots \end{pmatrix}$$

Eq. 10.98

$$\doteq \begin{pmatrix} (E_1^{(0)} - E_2^{(0)}) c_{21}^{(1)} \\ \boxed{0} \\ \boxed{0} \\ (E_4^{(0)} - E_2^{(0)}) c_{24}^{(1)} \\ \vdots \end{pmatrix}$$

# Degenerate example $E_2^{(0)} = E_3^{(0)}$

First order equation:

$$\left( H_0 - E_2^{(0)} \right) |2^{(1)}\rangle = \left( E_2^{(1)} - H' \right) |2^{(0)}\rangle \quad ?$$

RHS

Eq.10.99

$$(E_2^{(1)} - H') \doteq \begin{pmatrix} E_2^{(1)} - H'_{11} & -H'_{12} & -H'_{13} & -H'_{14} & \dots \\ -H'_{21} & \boxed{E_2^{(1)} - H'_{22} \quad -H'_{23}} & -H'_{24} & \dots \\ -H'_{31} & -H'_{32} & \boxed{E_2^{(1)} - H'_{33}} & -H'_{34} & \dots \\ -H'_{41} & -H'_{42} & -H'_{43} & E_2^{(1)} - H'_{44} & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

# State 2 or state 3? Or linear combo?

1. Unpert. states...

$$|2^{(0)}\rangle \doteq \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ \vdots \end{pmatrix}, \quad |3^{(0)}\rangle \doteq \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \end{pmatrix}$$

2. Or

$$\alpha|2^{(0)}\rangle + \beta|3^{(0)}\rangle \doteq \begin{pmatrix} 0 \\ \alpha \\ \beta \\ 0 \\ \vdots \end{pmatrix}$$

# Degenerate example $E_2^{(0)} = E_3^{(0)}$

RHS

10.104

$$\begin{aligned}
 (E_2^{(1)} - H') \Big| 2_{new}^{(0)} \rangle &\doteq \begin{pmatrix} E_2^{(1)} - H'_{11} & -H'_{12} & -H'_{13} & -H'_{14} & \cdots \\ -H'_{21} & \boxed{E_2^{(1)} - H'_{22} & -H'_{23}} & -H'_{24} & \cdots \\ -H'_{31} & -H'_{32} & \boxed{E_2^{(1)} - H'_{33}} & -H'_{34} & \cdots \\ -H'_{41} & -H'_{42} & -H'_{43} & E_2^{(1)} - H'_{44} & \cdots \\ \vdots & & \vdots & \vdots & \ddots \end{pmatrix} \begin{pmatrix} 0 \\ \alpha \\ \beta \\ 0 \\ \vdots \end{pmatrix} \\
 &\doteq \begin{pmatrix} -H'_{12}\alpha - H'_{13}\beta \\ \boxed{(E_2^{(1)} - H'_{22})\alpha - H'_{23}\beta} \\ -H'_{32}\alpha + (E_2^{(1)} - H'_{33})\beta \\ -H'_{42}\alpha - H'_{43}\beta \\ \vdots \end{pmatrix}
 \end{aligned}$$

degenerate example  $E_2^{(0)} = E_3^{(0)}$

$$\left(E_2^{(1)} - H'_{22}\right)\alpha - H'_{23}\beta = 0$$

$$-H'_{32}\alpha + \left(E_2^{(1)} - H'_{33}\right)\beta = 0$$

$$\begin{pmatrix} H'_{22} & H'_{23} \\ H'_{32} & H'_{33} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = E_2^{(1)} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

**Diagonalize the perturbation Hamiltonian  
in the degenerate subspace!**

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2. States:

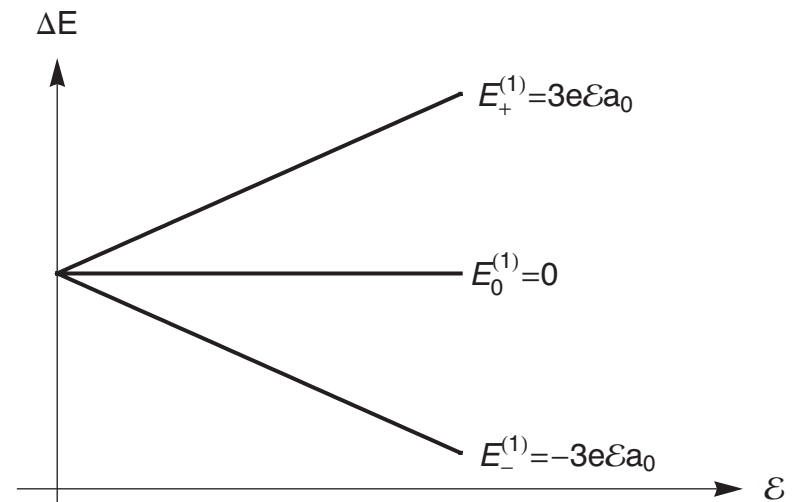
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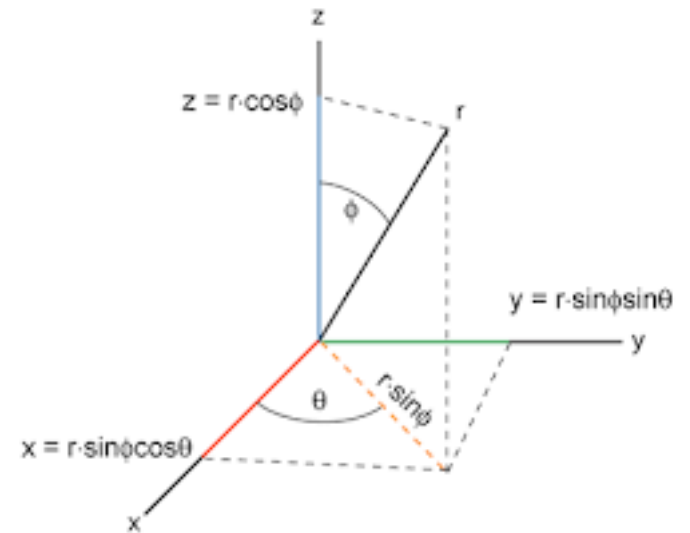


# Parity – even or odd?

1. Even parity means a function remains the same if  $\vec{r} \rightarrow -\vec{r}$   
 Odd parity means the function changes sign.

$$\vec{r} \rightarrow -\vec{r}$$

$$\begin{cases} x \rightarrow -x \\ y \rightarrow -y \\ z \rightarrow -z \end{cases} \quad \begin{cases} r \rightarrow r \\ \theta \rightarrow \pi - \theta \\ \phi \rightarrow \phi + \pi \end{cases}$$



2. Example: 
$$\int_{\Omega} d\Omega Y_{lm_l}^*(\theta, \phi) Y_{l'm_l'}(\theta, \phi) = \delta_{ll'} \delta_{m_l m_l'}$$

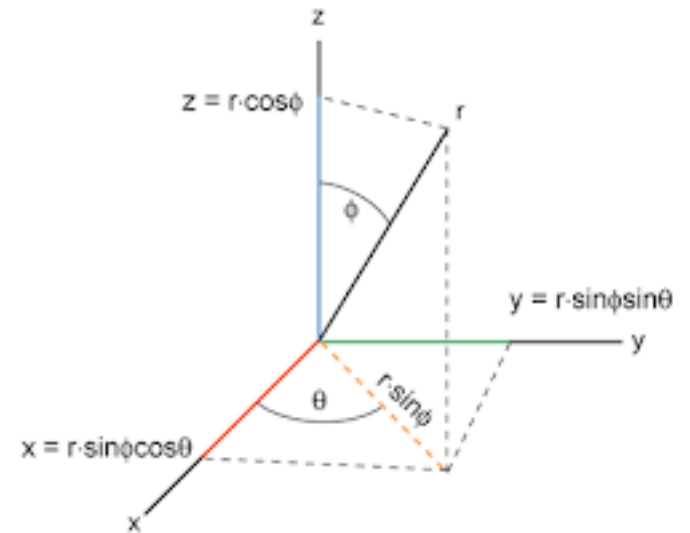
# Parity – even or odd?

1. We can exploit parity!

$$\cos\theta = \sqrt{\frac{4\pi}{3}} Y_{1,0}$$

$$\vec{r} \rightarrow -\vec{r}$$

$$\begin{cases} x \rightarrow -x \\ y \rightarrow -y \\ z \rightarrow -z \end{cases} \quad \begin{cases} r \rightarrow r \\ \theta \rightarrow \pi - \theta \\ \phi \rightarrow \phi + \pi \end{cases}$$



2. New integral:

$$\int_{\Omega} d\Omega Y_{\ell m_{\ell}}^*(\theta, \phi) Y_{1,0}(\theta, \phi) Y_{\ell' m_{\ell}'}(\theta, \phi) = ?$$