

A note on adiabatic theorem  
for Markov chains and  
adiabatic quantum  
computation

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## **Introduction**

Max Born and Vladimir Fock in 1928: “a physical system remains in its instantaneous eigenstate if a given perturbation is acting on it slowly enough and if there is a gap between the eigenvalue and the rest of the Hamiltonian’s spectrum” (see Wikipedia page on adiabatic theorem).

## **Introduction**

A way of expressing Hamiltonians via reversible Markov chains  $\Rightarrow$  Corresponding theorem for Markov chains.

## Quantum adiabatic theorem

Given two Hamiltonians,  $H_{initial}$  and  $H_{final}$ , acting on a quantum system. Let

$$H(s) = (1 - s)H_{initial} + sH_{final}$$

System evolves according to  $H(t/T)$  on  $t : 0 \rightarrow T$  (*adiabatic evolution*).

## Quantum adiabatic theorem

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Adiabatic thm of quantum mechanics: For  $T$  large enough, the final state of the system will be close to the ground state of  $H_{final}$ , i.e.  $\epsilon$  close in  $l_2$  norm whenever  $T \geq \frac{C}{\epsilon\beta^3}$ , where  $\beta$  is the least spectral gap of  $H(s)$  over all  $s \in [0, 1]$ .

## Mixing and relaxation times

**Definition.** If  $\mu$  and  $\nu$  are two probability distributions over  $\Omega$ , the *total variation distance* is

$$\|\mu - \nu\|_{TV} = \frac{1}{2} \sum_{x \in \Omega} |\mu(x) - \nu(x)| = \sup_{A \subset \Omega} |\mu(A) - \nu(A)|$$

Observe that the total variation distance measures the coincidence between the distributions on a scale from zero to one.

## Mixing and relaxation times

**Definition.** Suppose  $P$  is an irreducible and aperiodic Markov chain with stationary distribution  $\pi$ , i.e.  $\pi P = \pi$ . Given an  $\epsilon > 0$ , the mixing time  $t_{mix}(\epsilon)$  is defined as

$$t_{mix}(\epsilon) = \inf \left\{ t : \|\nu P^t - \pi\|_{TV} \leq \epsilon, \quad \text{for all } \nu \right\}$$

## Mixing and relaxation times

Suppose  $P = \left( p(x, y) \right)_{x, y}$  is *reversible*:

$$\pi(x)p(x, y) = \pi(y)p(y, x) \quad x, y \in \Omega$$

$P$  is reversible  $\Rightarrow P$  is self-adjoint w.r.t.  $\pi$   
 $\Rightarrow$  All real eigenvalues.

$\beta = 1 - |\lambda_2|$  is the *spectral gap* of  $P$ .

The *relaxation time* is defined as

$$\tau_{rlx} = \frac{1}{\beta}$$



## Mixing and relaxation times

**Theorem.** If  $P$  is a reversible, irreducible and aperiodic Markov chain. Then

$$(\tau_{rlx} - 1) \log(2\epsilon)^{-1} \leq t_{mix}(\epsilon) \leq \tau_{rlx} \log(\epsilon \min_{x \in \Omega} \pi(x))^{-1}$$

**Adiabatic time** Given  $P_{initial}$  and  $P_{final}$ , where  $P_{final}$  is irreducible and aperiodic. Let

$$P_s = (1 - s)P_{initial} + sP_{final}$$

$\pi_f$  is the stationary distribution for  $P_{final}$ .

**Definition.** Given  $\epsilon > 0$ , a time  $T_\epsilon$  is called the *adiabatic time* if it is the least  $T$  such that

$$\max_{\nu} \left\| \nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{T-1}{T}} P_1 - \pi_f \right\|_{TV} \leq \epsilon,$$

where the maximum is taken over all probability distributions  $\nu$  over  $\Omega$ .

## Adiabatic theorem for Markov chains

**Theorem A** (K.2008) Let  $t_{mix}$  denote the mixing time for  $P_{final}$ . Then the adiabatic time

$$T_\epsilon = O\left(\frac{t_{mix}(\epsilon/2)^2}{\epsilon}\right)$$

## Proof:

$$\nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{T-1}{T}} P_1 = \frac{T!}{N! T^{T-N}} u_N P_{final}^{T-N} + \mathcal{E},$$

where  $u_N = \nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{N}{T}}$  and  $\mathcal{E}$  is the rest of the terms.

Hence, by triangle inequality,

$$\begin{aligned} \max_{\nu} \left\| \nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{T-1}{T}} P_1 - \pi_f \right\|_{TV} \\ \leq \max_{\nu} \left\| \nu P_{final}^{T-N} - \pi_f \right\|_{TV} \cdot \frac{T!}{N! T^{T-N}} + S_N, \end{aligned}$$

where  $0 \leq S_N \leq 1 - \frac{T!}{N! T^{T-N}}$ .

## Proof:

Let  $T = Kt_{mix}(\epsilon/2)$  and  $N = (K-1)t_{mix}(\epsilon/2)$

Then

$$\max_{\nu} \|\nu P_{final}^{T-N} - \pi_f\|_{TV} \leq \epsilon/2$$

and

$$e \int_N^T \log x dx + (T-N) \log T \leq \frac{T!}{N!T^{T-N}}$$

simplifies to

$$\left( \frac{\left(1 + \frac{1}{K-1}\right)^{K-1}}{e} \right)^{t_{mix}(\epsilon/2)} \leq \frac{T!}{N!T^{T-N}} \leq 1$$

**Proof:**

$$\max_{\nu} \left\| \nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{T-1}{T}} P_1 - \pi_f \right\|_{TV}$$

$$\leq \max_{\nu} \left\| \nu P_{final}^{T-N} - \pi_f \right\|_{TV} \cdot \frac{T!}{N! T^{T-N}} + S_N,$$

where  $0 \leq S_N \leq 1 - \frac{T!}{N! T^{T-N}}$  and

$$0 \leq S_N \leq 1 - \frac{T!}{N! T^{T-N}} \leq 1 - \left( \frac{\left(1 + \frac{1}{K-1}\right)^{K-1}}{e} \right)^{t_{mix}(\epsilon/2)}$$

## Proof:

Need the least  $K$  such that

$$1 - \left( \frac{\left(1 + \frac{1}{K-1}\right)^{K-1}}{e} \right)^{t_{mix}(\epsilon/2)} \leq \epsilon/2$$

and since  $\log(1+x) = x - \frac{x^2}{2} + O(x^3)$ , the least such  $K$  is approximated as follows

$$K \approx \frac{t_{mix}(\epsilon/2)}{-2 \log(1 - \epsilon/2)} \approx \frac{t_{mix}(\epsilon/2)}{\epsilon}$$

Thus for  $T = K t_{mix}(\epsilon/2) \approx \frac{t_{mix}(\epsilon/2)^2}{\epsilon}$ ,

$$\max_{\nu} \left\| \nu P_{\frac{1}{T}} P_{\frac{2}{T}} \cdots P_{\frac{T-1}{T}} P_1 - \pi_f \right\|_{TV} \leq \epsilon$$

## Mixing and relaxation times

**Theorem.** If  $P$  is a reversible, irreducible and aperiodic Markov chain. Then

$$(\tau_{rlx}-1) \log(2\epsilon)^{-1} \leq t_{mix}(\epsilon) \leq \tau_{rlx} \log(\epsilon \min_{x \in \Omega} \pi(x))^{-1}$$

**Theorem A** (K.2008) Let  $t_{mix}$  denote the mixing time for  $P_{final}$ . Then the adiabatic time

$$T_\epsilon = O\left(\frac{t_{mix}(\epsilon/2)^2}{\epsilon}\right)$$



## A Corollary

If  $P_{final}$  is reversible, irreducible and aperiodic with its spectral gap  $\beta > 0$ , then

$$T_\epsilon = O\left(\frac{\log \frac{2}{\epsilon} + \log \frac{1}{\min_{x \in \Omega} \pi_f(x)}}{\epsilon \beta^2}\right)$$

## Continuous time Markov processes

Suppose  $Q$  is a bounded Markov generator for a continuous time Markov chain  $P(t)$ , and

$$\lambda \geq \max_{i \in \Omega} \sum_{j: j \neq i} q(i, j)$$

*Uniformization:*

$$P(t) = \sum_{n=0}^{\infty} \frac{(\lambda t)^n}{n!} e^{-\lambda t} P_{\lambda}^n, \quad \text{where } P_{\lambda} = I + \frac{1}{\lambda} Q$$

## Mixing times: continuous time

**Definition.**  $P(t)$  is an irreducible continuous time Markov chain with stationary distribution  $\pi$ . Given an  $\epsilon > 0$ , the mixing time  $t_{mix}(\epsilon)$  is defined as

$$t_{mix}(\epsilon) = \inf \{t : \|\nu P(t) - \pi\|_{TV} \leq \epsilon, \quad \text{all } \nu\}$$

## Continuous time

Suppose  $Q_{initial}$  and  $Q_{final}$  are bounded Markov generators, and  $\pi_f$  is the only stationary distribution for  $Q_{final}$ . Let

$$Q[s] = (1 - s)Q_{initial} + sQ_{final}$$

be a time non-homogeneous generator.

Given  $T > 0$ , let  $P_T(s, t)$  ( $0 \leq s \leq t \leq T$ ) denote a matrix of transition probabilities of a Markov process generated by  $Q \left[ \frac{t}{T} \right]$ .

## Adiabatic time: continuous time

**Definition.** Given  $\epsilon > 0$ , a time  $T_\epsilon$  is called the *adiabatic time* if it is the least  $T$  such that

$$\max_{\nu} \|\nu P_T(0, T) - \pi_f\|_{TV} \leq \epsilon,$$

where the maximum is taken over all probability distributions  $\nu$  over  $\Omega$ .

## Adiabatic theorem for Markov processes.

Let

$$\lambda \geq \max_{i \in \Omega} \sum_{j: j \neq i} q_{initial}(i, j)$$

and

$$\lambda \geq \max_{i \in \Omega} \sum_{j: j \neq i} q_{final}(i, j)$$

$q_{initial}(i, j)$  and  $q_{final}(i, j)$  are the rates in  $Q_{initial}$  and  $Q_{final}$  respectively.

## Adiabatic theorem for Markov processes.

$$\lambda \geq \max_{i \in \Omega} \sum_{j: j \neq i} q_{initial}(i, j), \lambda, \max_{i \in \Omega} \sum_{j: j \neq i} q_{final}(i, j)$$

Then

**Theorem B.**(K. 2008) Let  $t_{mix}$  denote the mixing time for  $Q_{final}$ . Then adiabatic time

$$T_\epsilon \leq \frac{\lambda t_{mix} (\epsilon/2)^2}{\epsilon}$$

**Proof:**

$\lambda \geq \max_{i \in \Omega} \sum_{j: j \neq i} q_t(i, j)$ , where  $q_t(i, j)$  are rates in  $Q \left[ \frac{t}{T} \right]$  ( $0 \leq t \leq T$ ).

Let  $T = K t_{mix}(\epsilon/2)$  and  $N = (K-1) t_{mix}(\epsilon/2)$ , so that

$$\max_{\nu} \|\nu P_{final}(T - N) - \pi_f\|_{TV} \leq \epsilon/2,$$

where  $P_{final}(t) = e^{tQ_{final}}$ , transition probability generated by  $Q_{final}$ .

Let  $P_0 = I + \frac{1}{\lambda} Q_{initial}$  and  $P_1 = I + \frac{1}{\lambda} Q_{final}$ .



**Proof:**

$$\nu P_T(0, T) = u_N \left( \sum_{n=0}^{\infty} \frac{(\lambda(T - N))^n}{n!} e^{-\lambda(T-N)} I_n \right),$$

where  $u_N = \nu P_T(0, N)$  and

$$I_n = \frac{n!}{(T-N)^n} \iint [(1 - x_1/T)P_0 + (x_1/T)P_1] \dots \\ \dots [(1 - x_n/T)P_0 + (x_n/T)P_1] dx_1 \dots dx_n,$$

over  $N < x_1 < x_2 < \dots < x_n < T$ .

**Proof:** Hence

$$\begin{aligned} & \nu P_T(0, T) \\ &= u_N \left( \sum_{n=0}^{\infty} \frac{(\lambda(T-N))^n e^{-\lambda(T-N)}}{(T-N)^n T^n n!} P_1^n \iint_N^T x_1 \dots x_n dx_1 \dots dx_n \right) \\ & \quad + \mathcal{E} \\ &= e^{-\lambda t_{mix}(\epsilon/2)} u_N \left( \sum_{n=0}^{\infty} \frac{\lambda^n \left[ \left(1 - \frac{1}{2K}\right) t_{mix}(\epsilon/2) \right]^n}{n!} P_1^n \right) \\ & \quad + \mathcal{E} \\ &= e^{-\lambda t_{mix}(\epsilon/2)} u_N P_{final} \left( \lambda \left(1 - \frac{1}{2K}\right) t_{mix}(\epsilon/2) \right) \\ & \quad + \mathcal{E} \end{aligned}$$

where  $\mathcal{E}$  is the rest of the terms.

**Proof:**

$$\begin{aligned} & \nu P_T(0, T) \\ &= e^{-\lambda t_{mix}(\epsilon/2)} u_N P_{final} \left( \lambda \left( 1 - \frac{1}{2K} \right) t_{mix}(\epsilon/2) \right) \\ & \quad + \mathcal{E} \end{aligned}$$

where  $\mathcal{E}$  is the rest of the terms.

Thus, the total variation distance,

$$\max_{\nu} \|\nu P_T(0, T) - \pi_f\|_{TV} \leq e^{-\lambda t_{mix}(\epsilon/2)} \epsilon/2 + S_N$$

whenever  $\lambda \left( 1 - \frac{1}{2K} \right) \geq 1$ , i.e.  $K \geq \frac{\lambda}{2(\lambda-1)}$ ,  
easy condition.

## Proof:

$$\max_{\nu} \|\nu P_T(0, T) - \pi_f\|_{TV} \leq e^{-\lambda t_{mix}(\epsilon/2)} \epsilon/2 + S_N$$

Here

$$S_N = \|\mathcal{E} - \pi_f\|_{TV}$$

$$\leq 1 - e^{-\lambda t_{mix}(\epsilon/2)} \sum_{n=0}^{\infty} \frac{\lambda^n [(1 - \frac{1}{2K}) t_{mix}(\epsilon/2)]^n}{n!}$$

$$= 1 - e^{-\frac{\lambda t_{mix}(\epsilon/2)}{2K}} \leq \epsilon/2$$

whenever  $K \geq \frac{\lambda t_{mix}(\epsilon/2)}{\epsilon}$ , and therefore

$$T_{\epsilon} \leq \frac{\lambda t_{mix}(\epsilon/2)^2}{\epsilon}$$