

Day 7 Last time  $\overset{n-1}{0} \cdot \overset{n}{0} \cdot \overset{n+1}{0}$

$$i. M \ddot{x}_n^{Cl} = -\alpha(x_n^{Cl} - x_{n-1}^{Na}) - \alpha(x_n^{Cl} - x_n^{Na})$$

$$ii. m \ddot{x}_n^{Na} = -\alpha(x_n^{Na} - x_n^{Cl}) - \alpha(x_n^{Na} - x_{n+1}^{Cl})$$

$$\text{let } x_n^{Na/Cl} = A_{1/2} e^{i\omega t} e^{ikna}$$

$$\omega(k) = \left[ \frac{\alpha}{\mu} \pm \alpha \left( \frac{1}{\mu^2} - \frac{4}{mM} \sin^2 \frac{ka}{2} \right)^{1/2} \right]^{1/2}, \quad \mu = \frac{mM}{M+m}$$

"-ve" case: acoustic branch, supports a sound wave  
 $\omega(k) = v_s k$  for small  $ka$

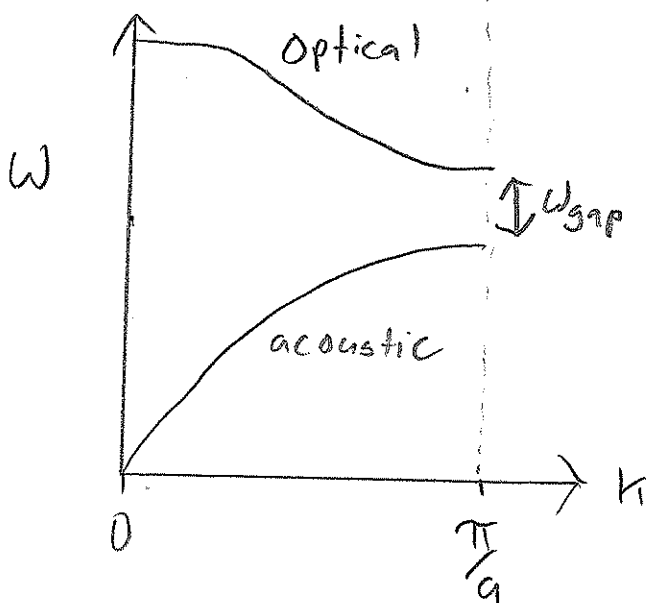
"+ve" case: optical phonon branch, supports phonons  
 with energy matching that of the photon

Examine the limits:

$$(1) \omega(k=0) = 0 \text{ or } \sqrt{\frac{2\alpha}{\mu}}$$

$$(2) \omega(k=\frac{\pi}{a}) = \left[ \frac{\alpha}{\mu} \pm \alpha \left( \frac{1}{\mu^2} - \frac{4}{mM} \right)^{1/2} \right]^{1/2}$$

$$\omega_{\text{gap}}(k=\frac{\pi}{a}) = \omega_+ - \omega_-$$



# Phonon Dance Steps Dance like an atom!

Rules: obey  $\omega(k) = \left[ \frac{\alpha}{m} \pm \alpha \left( \frac{1}{m^2} - \frac{4}{Mm} \sin^2 \left( \frac{ka}{2} \right) \right)^{1/2} \right] \frac{\hbar}{\omega}$

you move as:  $x_n^{Na}(t) = A_1 e^{ikna} e^{i\omega t}$   
 $x_n^{Cl}(t) = A_2 e^{ikna} e^{i\omega t}$

① pretend you're all carbon

(a)  $\lambda = 22a \Rightarrow k_1 = \frac{\pi}{11a}$  show me the normal mode.

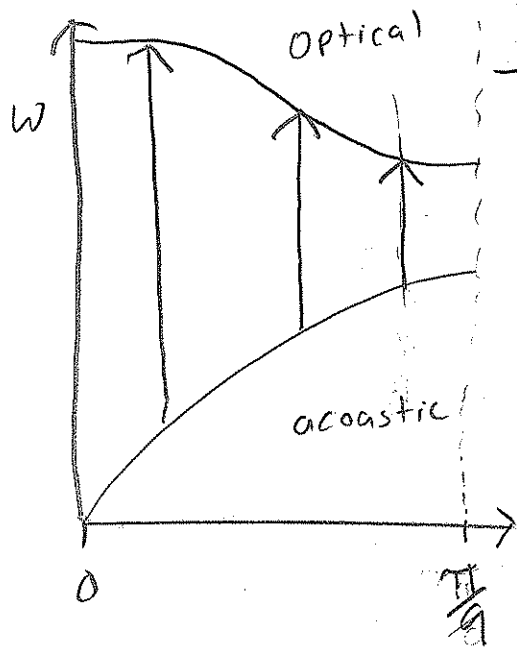
(b)  $k_2 = \frac{2\pi}{11a}$  } use phot

(c)  $k_{70} = \frac{10\pi}{11a}$

② Diatomic  $\nabla$  (approximate infinite chain)

dance call	acoustic	optical
$k \approx 0$	$\omega(k) = v_s k$ Na $\rightarrow$ Cl $\rightarrow$ Na $\rightarrow$ Cl $\rightarrow$ Na $\rightarrow$ $\leftarrow \leftarrow \leftarrow \leftarrow \leftarrow$	$\omega(k) = \sqrt{\frac{2\alpha}{m}}$ (Na $\rightarrow$ $\leftarrow$ Cl) Na $\rightarrow$ $\leftarrow$ (Cl) $\leftarrow \rightarrow \leftarrow \rightarrow$ Cl <sup>-</sup> is slower moves less
$k = \frac{\pi}{a}$	$\omega = \omega_p = 2\sqrt{\frac{\alpha}{m}}$ Cl $\rightarrow$ Na $\leftarrow$ Cl Na Cl $\rightarrow$ Na	$\omega = \omega_p$ Na $\rightarrow$ Cl $\leftarrow$ Na Cl Na $\rightarrow$ Cl $\leftarrow$ Na
$k = \frac{3\pi}{a}$		

# Thermal vibrations in materials



$n_{k, \text{optical}} > n_{k, \text{acoustic}} \Rightarrow$  pop inversion  
"a phase"

Classically, each normal mode store an energy,  $k_B T$ .

$$U_{\text{tot}} = 3 \underset{\substack{\text{dim} \\ \downarrow}}{n} k_B T$$

# of normal modes excited

$\rightarrow$  Boltzmann constant

In QM, the normal mode energy ( $E$ ) gets quantized.

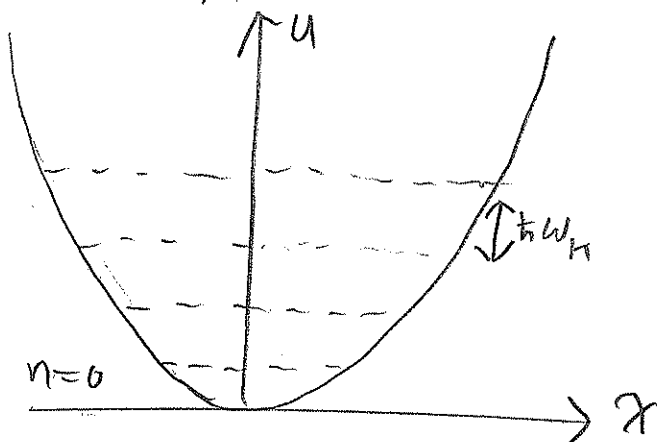
and if  $E_{\text{mode}} > k_B T$ , we say the mode is frozen out, not populated.

In a spring-mass system,  $U(x) = \frac{1}{2} \alpha x^2$ , so the energy ( $E_{\text{mode}}$ ) are:

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} + \frac{1}{2} \alpha x^2 \psi = E \psi$$

in capstone you'll solve this to find:  $E_n = \hbar \sqrt{\frac{\alpha}{m}} (n + \frac{1}{2})$

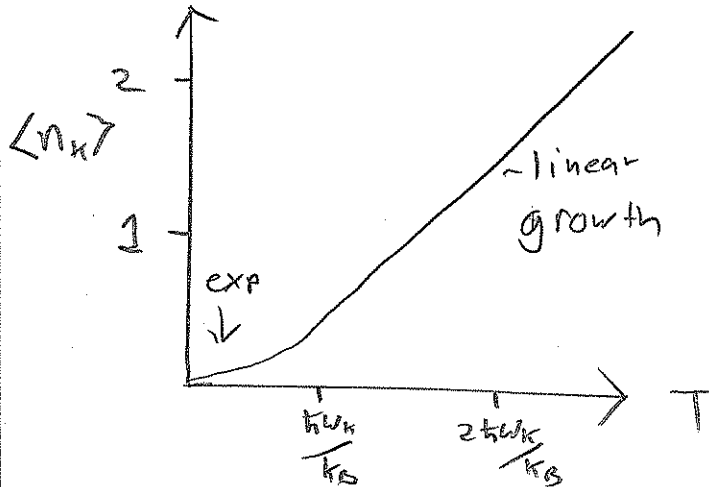
OR  $E_{n,k} = \hbar \omega_k (n_k + \frac{1}{2})$ ,  $n_k$  is the # of phonons occupying mode  $k$ .



$n_k$  must be an integer, but the populations changes w/time.

Let's define an average occupancy  $\langle n_k \rangle$ , given by Bose-Einstein statistics:

$$\langle n_k \rangle = \frac{1}{e^{\hbar\omega_k/k_B T} - 1}$$



When  $T < \frac{\hbar\omega_k}{k_B}$  phonon population is exponentially suppressed or frozen out.

The total energy in the system is now:

$$U(T) = \sum_{\text{all } k} 3 \hbar\omega_k (\langle n_k \rangle + \frac{1}{2})$$

Compare vs.  $U = 3n k_B T$  (classical mech)

A material's heat capacity is defined as:

$$C \equiv \frac{dU}{dT}$$

$$= \sum_k 3 \hbar\omega_k \frac{d\langle n_k \rangle}{dT}$$

