

## Day 6: Optical vs. acoustic phonons

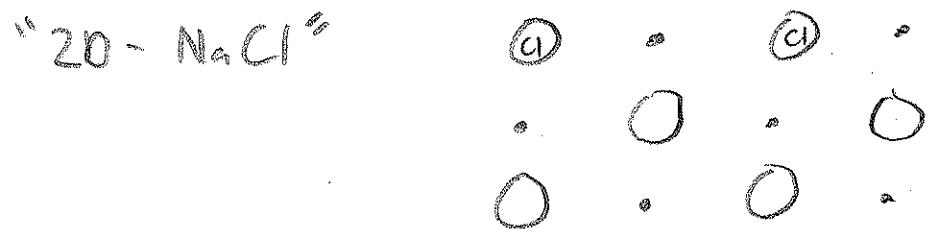
Last time: acoustic dispersion,  $\omega(k) = 2\sqrt{\alpha_m} \sin(\frac{k a}{2})$   
 $\approx v_s k$ , for small  $k$

each normal mode ( $k$ ) supports a phonon.

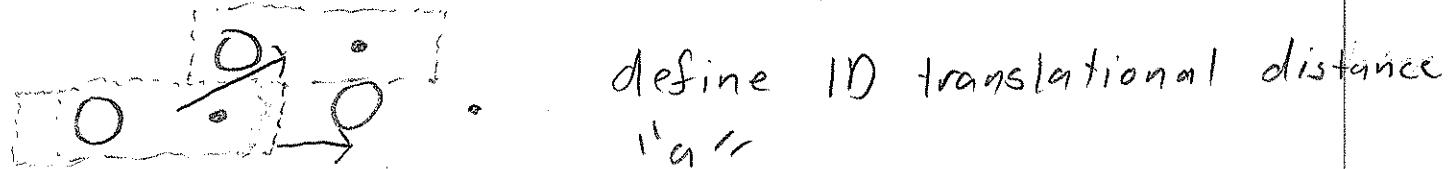
phonon: is a quantized vibrational wave packet

that travels all the way through a perfect crystal  
with momentum  $\hbar k$ .

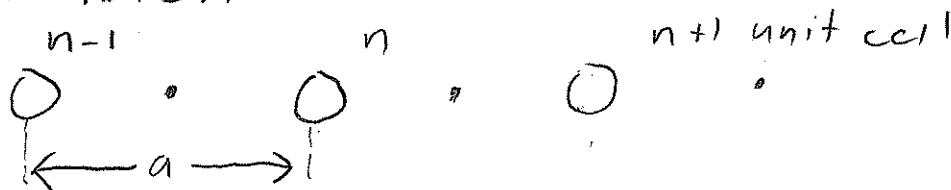
What if the material is diatomic?



Define a "unit cell" and its translational vector



1D NaCl:



Step ① eqn of motion, let  $m_{Cl^-} = M$ ,  $m_{Na^+} = m$   
 $n^{th}$  cell;

i.  $M \ddot{x}_n^{Cl} = -\alpha (x_n^{Cl} - x_{n-1}^{Na}) - \alpha (x_n^{Cl} - x_{n+1}^{Na})$

ii.  $m \ddot{x}_n^{Na} = -\alpha (x_n^{Na} - x_n^{Cl}) - \alpha (x_n^{Na} - x_{n+1}^{Cl})$

Step ② ansatz #2:

$$\text{let } x_n^{\text{cl}}(t) = C e^{i\omega t} e^{ikna}$$

$$x_n^{\text{Na}}(t) = D e^{i\omega t} e^{ikna}$$

Plug in i, ii, solve for  $\omega$ .

$$\text{i. } -M\omega^2 C e^{ikna} = -2\alpha C e^{ikna} + \alpha D (e^{ikna} + e^{i\hbar(n-1)a})$$

$$\text{OR } -M\omega^2 = -2\alpha + \frac{D}{C} (e^{-ikn} + 1)$$

$$\text{ii. } -m\omega^2 = -2\alpha + \frac{D}{C} (e^{ikn} + 1)$$

$$= -2\alpha + \frac{D}{C} e^{ikn} (2 \cos(kn))$$

$$\text{ii. } \Rightarrow \frac{D}{C} = \frac{2\alpha e^{ikn/2} \cos(kn)}{2\alpha - m\omega^2}$$

$$\text{i. } \Rightarrow \frac{D}{C} = \frac{2\alpha - M\omega^2}{2\alpha e^{-ikn/2} \cos(kn)}$$

set (i) = (ii)

$$(2\alpha - M\omega^2)(2\alpha - m\omega^2) = 4\alpha^2 \cos^2(kn/2)$$
$$= 4\alpha^2 (1 - \sin^2(kn/2))$$

Solve quadratic for  $\omega^2$

$$\omega^2(k) = \alpha \left( \frac{M+m}{mM} \right) \pm \alpha \left[ \left( \frac{M+m}{mM} \right)^2 - \frac{4}{mM} \sin^2 \frac{kn}{2} \right]^{\frac{1}{2}}$$

"+ve" = optical branch dispersion (excite w/ photons)  
 "-ve" = acoustic branch dispersion (excite w/sound)

Examine the limits:

$$\omega(k=0) = 0 \text{ or } \sqrt{\frac{2\epsilon}{M}}, \quad \epsilon = \frac{mM}{m+M}$$

$$\omega(k=\pi/a) = [\alpha_a + \alpha(1/a^2 - \frac{4}{m_m})k]^{1/2}$$

