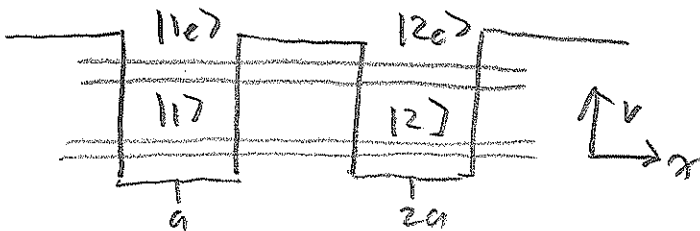


Day 13 Last time:  $m^* = \hbar^2 \left[ \frac{d^2 E}{dk^2} \Big|_{k=k_0} \right]^{-1}$

$$m_{\text{quell}}^* = \hbar^2 \left( [-2\beta a^2 \cos ka] \Big|_{k=0} \right)^{-1}$$

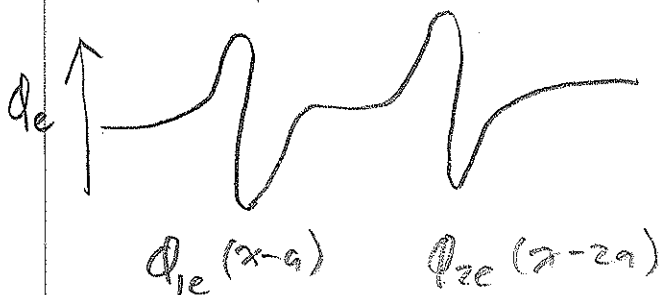
$$= -\frac{\hbar^2}{2\beta a^2}, \text{ recall } \beta < 0$$

Conduction band is a LCAO of excited states,  $|1e\rangle, |2e\rangle, \dots$



excited state w.f. are odd functions

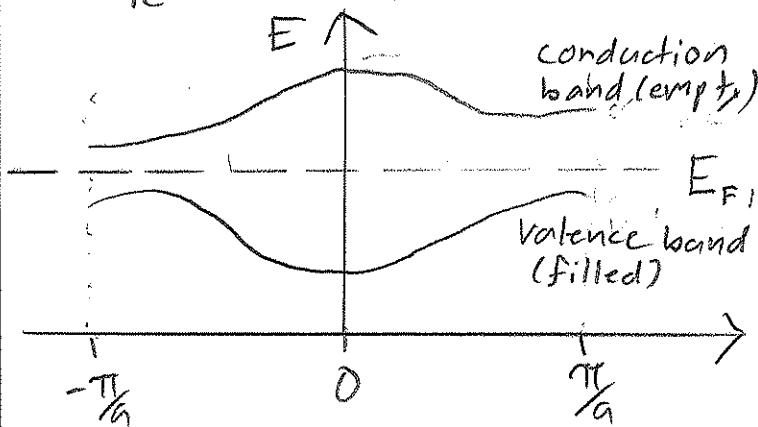
$$\phi_e(-x) = -\phi_e(x)$$



$$\Rightarrow \beta_e = \langle 2e | \hat{H} | 1e \rangle = \langle 2e | \hat{V}(x-a) | 1e \rangle + \langle 2e | \hat{V}(x-2a) | 1e \rangle$$

$\rightarrow \text{even}$

$> 0$



$$E_{\text{gap}} = (\alpha_e - 2\beta_e) - (\alpha - 2\beta)$$

Periodic boundary conditions:  $N$  sites  $\Rightarrow c_1 = c_{N+1}$

$$+ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + k$$

$$\Rightarrow e^{ikNa} = 1$$

$$Nka = q 2\pi$$

$$\text{or } k_q = \frac{q}{N} \frac{2\pi}{a}$$

$\Rightarrow$  1st Brillouin zone with periodic conditions is  $-\frac{\pi}{a} \dots \frac{\pi}{a}$

$E_F$ , Fermi Energy: the maximum occupied energy at  $T=0K$ .

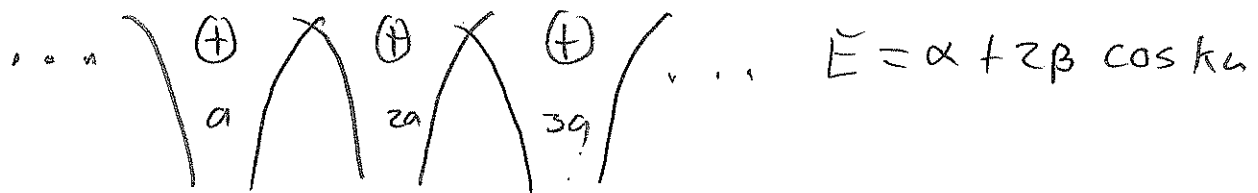
If  $E_F$  is in the band gap at  $T=0K$ , the material is a semiconductor or insulator.

If  $E_F$  lies within a band, it is a metal.

For  $T>0$ , occupancy of given energy,  $E$  is given by Fermi-Dirac statistics:

$$\langle n_e \rangle = \frac{1}{e^{E/k_B T} + 1}$$

Example: consider a 1D system of hydrogen.



$\dots$   $\left( \oplus \right)$   $\left( \oplus \right)$   $\left( \oplus \right)$   $\dots$   $E = \alpha + 2\beta \cos ka$

$$\psi_k = \sum_n e^{ikna} \phi(\vec{r} - n\vec{a})$$

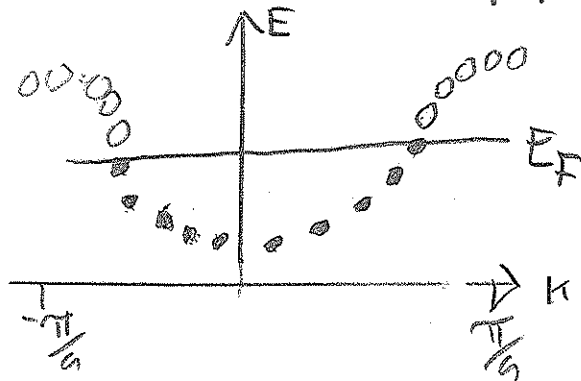
$\hookrightarrow$  H wavefunction

$$\alpha = \langle 1_{1s} | \hat{H} | 1_{1s} \rangle$$

$$\approx -13.6 \text{ eV}$$

Each hydrogen has only 1 electron, but  $2e^-$  are allowed per site (1 spin up, 1 spin down)

if hydrogen was a solid of 20 atoms, only 10 k modes would be populated.



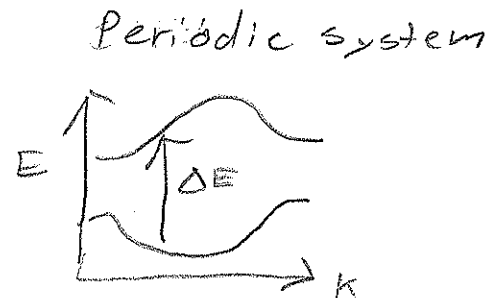
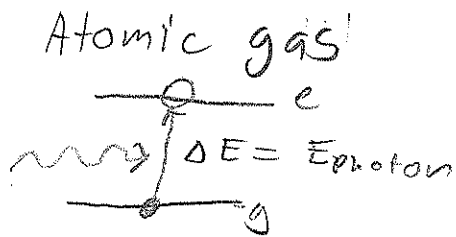
Therefore 1D hydrogen is a metal, the Fermi energy lies in the band.

What about 1D helium?

each He has 2 electrons per atom, so 20 Helium atoms fill 20 k-modes, so the valence band is filled.

$\therefore$  1D helium is an insulator.

Semiconductors are insulators with smaller band-gap where  $E_{\text{gap}} \approx$  energy of visible light, and can be thermally populated at room temperature to conduct electricity.



$\Delta E$  must match an occupied and unoccupied eigenstate, and conserve  $k$ , (i.e. photon momentum,  $p = \hbar k$ )

Semiconductors are further classified as direct vs. indirect. A Direct Gap occurs when the lowest point in the conduction band occurs at same  $k$ -value as the highest energy in the valence band.

Worksheet: within a band are the energies equally spaced? (100 atom band out)