

MINIMAL ISOMETRIC IMMERSIONS OF INHOMOGENEOUS
SPHERICAL SPACE FORMS INTO SPHERES
– A NECESSARY CONDITION FOR EXISTENCE

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ABSTRACT.

Although much is known about minimal isometric immersions into spheres of *homogeneous* spherical space forms, there are no results in the literature about such immersions in the dominant case of inhomogeneous space forms. For a large class of these, we give a necessary condition for the existence of such an immersion of a given degree. This condition depends only upon the degree and the fundamental group of the space form and is given in terms of an explicitly computable function. Evaluating this function shows that neither $L(5, 2)$ nor $L(8, 3)$ admit a minimal isometric immersion into any sphere if the degree of the immersion is less than 28, or less than 20, respectively.

1. INTRODUCTION

Let M be an n -dimensional compact Riemannian manifold and $S^N(r)$ a sphere of dimension N and radius r . A fundamental result of T. Takahashi [T] is the following theorem:

Theorem (Takahashi). *Let M be an n -dimensional compact Riemannian manifold and $f : M \rightarrow \mathbb{R}^N$ an isometric immersion. Then f is a minimal isometric immersion into a round sphere if and only if all components of f are eigenfunctions of the Laplace operator on M with respect to the same eigenvalue.*

Therefore the main idea in constructing minimal isometric immersions of a manifold M into a sphere is to find eigenvalues of the Laplacian on M of sufficiently high multiplicity in order to provide the coordinate functions of the immersions.

Another result of Takahashi [T] is that all isotropy irreducible homogeneous Riemannian manifolds, i.e. manifolds $M = G/H$ whose isotropy group H acts irreducibly on the tangent space, do admit such immersions. To see this, we consider the eigenspace E_λ to a fixed eigenvalue $\lambda \neq 0$. On E_λ we have the inner product induced by that of $L^2(M)$ and the group G acts on E_λ by isometries. Let $\{\phi_1, \dots, \phi_N\}$ be an orthonormal basis of

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E_λ and let $\phi = (\phi_1, \dots, \phi_N) : M \rightarrow \mathbb{R}^N$. Then $\sum d\phi_i^2$ on the one hand can be regarded as the inner product on E_λ and on the other hand as the metric on M which is the pull back of the standard metric on \mathbb{R}^N under ϕ . In the first interpretation it is clear that $\sum d\phi_i^2$ must be invariant under the action of G and hence the metric $\sum d\phi_i^2$ on M must be as well. But then $\sum d\phi_i^2$ must be a multiple of the given metric on M as both are invariant under the irreducible action of the isotropy group H . This multiple cannot be zero as the functions ϕ_i are not constant. Therefore, after multiplying the metric on M by a constant, $\phi : M \rightarrow \mathbb{R}^N$ is an isometric immersion, which by our first stated theorem of Takahashi [T] gives rise to a minimal isometric immersion into a sphere. This immersion is called the *standard minimal immersion* of degree d if λ is the d th nonzero eigenvalue.

We call two immersions *congruent* if they differ by an isometry of the ambient space. Note that a different choice of orthonormal basis for E_λ gives rise to a congruent immersion.

The fundamental example of a homogeneous Riemannian manifold is the n -dimensional sphere, realized as the homogeneous space $SO(n+1)/SO(n)$. The eigenfunctions of $S^n(1)$ are simply the restrictions of harmonic homogeneous polynomials on \mathbb{R}^{n+1} to $S^n(1)$. All the harmonic homogeneous polynomials of degree g restrict to eigenfunctions on S^n with the same eigenvalue $\lambda_g = g(g+n-1)$ and the dimension of this eigenspace is equal to $N_g = (2g+n-1)(g+n-2)!/(g!(n-1)!)$. For odd g , the standard minimal isometric immersion gives rise to a minimal isometric embedding of S^n into $S^{N_g-1}(\sqrt{n/\lambda_g})$. For even g , all components of the immersion are invariant under the antipodal map and we get a minimal isometric embedding of $\mathbb{R}P^n$ into $S^{N_g-1}(\sqrt{n/\lambda_g})$.

In 1967, E. Calabi [C] showed that every minimal isometric immersion of the 2-sphere of radius 1, $S^2(1)$, into some N -sphere of radius r , $S^N(r)$, is congruent to one of the standard eigenspace immersions. In [DW2] the space of all minimal isometric immersions of $S^n(1)$ into $S^N(r)$ was examined in some detail, and it was shown that for $n > 2$ there are many minimal isometric immersions besides the ones described above. If we fix $r = \sqrt{n/\lambda_g}$, or equivalently only consider harmonic homogeneous polynomials of degree g , then these minimal isometric immersions (up to congruence of the ambient space) are parametrized by a compact convex body B_g in a finite dimensional vector space. In [DW2] it is shown that for $n = 2$ and any g and for $g = 2, 3$ and any n , the space B_g is a point, i.e. any such minimal isometric immersion is congruent to the standard one. A very recent development is the finding of the exact dimension of this convex body by Gabor Toth [To]. For further references on this matter, see [DZ], [DW1], [DW2], [L], [T].

From the description of the convex body B_g it follows immediately that its interior points correspond to isometric immersions which use a full basis of E_λ as their components. As was observed in [WZ], one can show that these immersions are $SO(n+1)$ -equivariant immersions into \mathbb{R}^{N_g} . But the only space forms that contain all of $SO(n+1)$ in their isometry groups are S^n and $\mathbb{R}P^n$. Hence the image of the immersion must be S^n for g odd and $\mathbb{R}P^n$ for g even and all other space form images must correspond to boundary points of B_g .

P. Li [L] generalized the above parametrization result of [DW2] to isotropy-irreducible homogeneous spaces and also claimed that the image of a minimal isometric immersion of an isotropy-irreducible homogeneous space must be again an isotropy-irreducible homogeneous space. He went on to apply this theorem to the case where M is also a sphere, and

concluded that the image of a minimal isometric immersion of a sphere into a sphere must be either a sphere or a real projective space. This would of course imply that there exists no minimal isometric immersion of a lens space or any other more complicated spherical space form into a sphere. That this is indeed not correct was first observed by K. Mashimo [Ma1], who gave an example of a minimal isometric immersion of $S^3(1)$ into $S^6(\frac{1}{4})$, whose image is at least a 6-fold subcover of S^3 (he did not identify the image completely).

Later, in [WZ], M. Wang and W. Ziller showed that certain quotients of S^3 (by the so-called binary polyhedral groups) are also isotropy irreducible, and so by the above mentioned theorem of Takahashi, the polyhedral manifolds S^3/T^* , S^3/O^* and S^3/I^* admit minimal isometric immersions into spheres.

This then raises the question of just which spherical space forms *do* admit minimal isometric immersions or embeddings into spheres.

Spherical space forms, i.e. compact manifolds of constant curvature $+1$, have been completely classified [W]. There are two types – homogeneous and inhomogeneous, i.e. those space forms which admit a differentiable transitive left operation of a group G and those which do not. For the homogeneous spherical space forms D. DeTurck and W. Ziller [DZ] showed the following:

Theorem [DZ]. *Every homogeneous spherical space form admits a minimal isometric embedding into a standard sphere.*

However, the classification shows that very few space forms are actually homogeneous, see [W, Theorem 2.7.1] for a description. Thus a natural question which arises is whether the same theorem is true in the inhomogeneous case.

Denote by $L(p, q)$ the three dimensional lens space generated by the standard action of \mathbb{Z}_p on S^3 . Such a lens space is inhomogeneous if and only if $q \not\equiv \pm 1 \pmod p$. The main theorem of this paper gives a necessary condition for the existence of minimal isometric immersions of three dimensional inhomogeneous space forms into spheres. We first show the

Theorem 1. *The only three dimensional inhomogeneous spherical space forms which cannot be covered by an inhomogeneous lens space are*

- (1) S^3/G where $G \cong T$ is a diagonal subgroup of index three in $\phi(\tilde{\mathbb{Z}}_3 \times \tilde{T})$. (Here we use the same notation as in [S], see also Section 2.)
- (2) S^3/G where $G \cong D_n$ is a diagonal subgroup of index two in $\phi(\tilde{\mathbb{Z}}_2 \times \tilde{D}_n)$.

Let $\mathfrak{U}(p, q)$ be the function of two natural numbers p and q with image in the natural numbers which will be explained in detail in section 4.3.8.

Theorem 2. *If $g < \mathfrak{U}(p, q)$, then the three dimensional inhomogeneous lens space $L(p, q)$ does not admit a minimal isometric immersion of degree g into any sphere.*

Notation. We will call an inhomogeneous space form a *pq-space form* if it can be covered by some inhomogeneous lens space $L(p, q)$.

As a consequence of the above two theorems we obtain

Corollary. *If $g < \mathfrak{U}(p, q)$, then no three dimensional pq -space form admits a minimal isometric immersion of degree g into a sphere.*

Observe that the corollary follows directly from Theorems 1 and 2. Indeed, Theorem 1 tells us that except for cases (1) and (2) every inhomogeneous spherical space form S^3/G can be covered by an inhomogeneous lens space $L(p, q)$, where $\gcd(p, q) = 1$ and $q \not\equiv \pm 1 \pmod{p}$. Now assume that $g < \mathfrak{U}(p, q)$ and that there exists a minimal isometric immersion of degree g of an inhomogeneous spherical space form S^3/G into a sphere S^N . Then we have

$$\begin{array}{ccc} L(p, q) & & \\ \pi \downarrow & & \\ S^3/G & \xrightarrow{f} & S^N \end{array}$$

and $f \circ \pi$ is a minimal isometric immersion of degree g with $g < \mathfrak{U}(p, q)$ of $L(p, q)$ into S^N . But this is not possible by Theorem 2.

In a sequel to this paper we will analyze the function $\mathfrak{U}(p, q)$. The goal is to show that the function \mathfrak{U} increases with increasing p . Hence a calculation for the smallest p will show:

Conjecture 1.

If $g < 28$ and p is odd, then no pq -space form admits a minimal isometric immersion of degree g into a sphere for any q .

If $g < 20$ and p is even, then no pq -space form admits a minimal isometric immersion of degree g into a sphere for any q .

Example. Computations show that $\mathfrak{U}(5, 2) = 28$ and $\mathfrak{U}(8, 3) = 20$, thus there do not exist minimal isometric immersions of $L(5, 2)$ into any sphere if $g < 28$ and of $L(8, 3)$ if $g < 20$.

In this next paper we will also generalize Theorem 2 to a necessary and sufficient condition for existence:

Conjecture 2.

There exists a minimal isometric immersion of degree g of a three dimensional pq -space form into a sphere if and only if $g \geq \mathfrak{U}(p, q)$ and the linear system associated to the isometry condition admits a nonnegative solution.

Remark. Calculations have shown that there do exist solutions of the linear system in degrees 20 and 28 for $L(8, 3)$ and $L(5, 2)$ respectively, but they are *not* nonnegative.

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2. CLASSIFICATION OF SPHERICAL SPACE FORMS

In 1930 W. Threlfall and H. Seifert [TS] classified all three dimensional spherical space forms. We will use a more recent discussion of their work by P. Scott [S].

The classification of three dimensional spherical space forms is equivalent to classifying all finite subgroups of $SO(4)$ which act freely on S^3 . The classification includes the well known class of lens spaces. Recall the definition of a lens space. Consider $S^3 = \{(z_1, z_2) \in \mathbb{C}^2 \mid z_1 \bar{z}_1 + z_2 \bar{z}_2 = 1\}$. Then, given $q \in \mathbb{Z}$, \mathbb{Z}_p acts on S^3 as $(z_1, z_2) \mapsto (e^{\frac{2\pi i}{p}} z_1, e^{\frac{2\pi i q}{p}} z_2)$. The action is free if $\gcd(p, q) = 1$ and $L(p, q) = S^3/\mathbb{Z}_p$. This lens space is inhomogeneous if and only if $q \not\equiv \pm 1 \pmod{p}$. See [W] for a proof.

To describe the other space forms, regard S^3 as the group of unit quaternions:

$S^3 = \{q = u + jv \mid u, v \in \mathbb{C}, |q|^2 = |u|^2 + |v|^2\}$. One can show that the center of S^3 is $\{+1, -1\}$. An important fact for the classification is an isomorphism between $SO(4)$ and a quotient of $S^3 \times S^3$ by \mathbb{Z}_2 . Recall that S^3 acts on itself by left and right multiplication and that these actions commute and are both isometries. Define a homomorphism

$$\begin{aligned} \phi : S^3 \times S^3 &\longrightarrow SO(4) \\ (q_1, q_2) &\longmapsto (x \mapsto q_1 x q_2^{-1}), \end{aligned}$$

where, of course, $(x \mapsto q_1 x q_2^{-1})$ is an isometry of S^3 . The kernel of ϕ has order two and its only non-trivial element is $(-1, -1)$. Also, the image of ϕ is all of $SO(4)$. Note that the isometry $\alpha(x) = q_1 x q_2^{-1}$ has a fixed point if and only if q_1 and q_2 are conjugate. As an example, consider any finite subgroup $G \subset S^3$. Then $G \times \{e\}$ or $\{e\} \times G$ act freely on S^3 . These are precisely the homogeneous space forms in dimension 3, [W].

As a second example, consider $\mathbb{Z}_r \times \mathbb{Z}_s \subset S^3 \times S^3$, where \mathbb{Z}_r is generated by $e^{\frac{2\pi i}{r}}$ and \mathbb{Z}_s is generated by $e^{\frac{2\pi i}{s}}$. If there exist $q_1 \in \mathbb{Z}_r$ and $q_2 \in \mathbb{Z}_s$ such that q_1 and q_2 are conjugate in S^3 , then $q_1 = q_2$ or $q_1 = q_2^{-1} = \bar{q}_2 = j q_2 j^{-1}$ and hence $\mathbb{Z}_r \cap \mathbb{Z}_s \neq \{e\}$ or equivalently $\gcd(r, s) \neq 1$. Hence if r and s are relatively prime, $\phi(\mathbb{Z}_r \times \mathbb{Z}_s) \cong \mathbb{Z}_r \times \mathbb{Z}_s \cong \mathbb{Z}_{rs}$ acts freely on S^3 and we claim that this corresponds exactly to a lens space. Indeed

$$\begin{aligned} e^{\frac{2\pi i}{r}} (u + jv) e^{-\frac{2\pi i}{s}} &= e^{\frac{2\pi i}{r}} u e^{-\frac{2\pi i}{s}} + j e^{-\frac{2\pi i}{r}} v e^{-\frac{2\pi i}{s}} \\ &= e^{\frac{2\pi i(s-r)}{rs}} u + j e^{\frac{2\pi i(-r-s)}{rs}} v \end{aligned}$$

If $r = 1$ or $s = 1$, this clearly corresponds to a homogeneous lens space, e.g. to $L(s, 1)$ if $r = 1$. In the case of $r \neq 1$ and $s \neq 1$ the fact that r and s are relatively prime implies that there exist integers k and l with $-kr + ls = 1$. We can replace the generators $e^{\frac{2\pi i}{r}}$ and $e^{\frac{2\pi i}{s}}$ by $e^{\frac{2\pi i l}{r}}$ and $e^{\frac{2\pi i k}{s}}$ as $\gcd(l, r) = 1$ and $\gcd(k, s) = 1$. Then the action of $(e^{\frac{2\pi i l}{r}}, e^{\frac{2\pi i k}{s}})$ on (u, v) becomes $(u, v) \mapsto (e^{\frac{2\pi i l}{rs}} u, e^{\frac{2\pi i(-sl-kr)}{rs}} v)$. Hence $S^3/\phi(\mathbb{Z}_r \times \mathbb{Z}_s)$ corresponds to the lens space $L(p, q)$ with $p = rs$ and $q = -ls - kr$.

Notice, though, the following ambiguity in describing the subgroups of $S^3 \times S^3$. We have $\phi(\mathbb{Z}_r \times \mathbb{Z}_{2s}) = \phi(\mathbb{Z}_{2r} \times \mathbb{Z}_s)$, in particular $\phi(\mathbb{Z}_r \times \mathbb{Z}_2) = \phi(\mathbb{Z}_{2r} \times \{e\})$. A small calculation shows that the inhomogeneous lens spaces correspond to $\mathbb{Z}_r \times \mathbb{Z}_s$ with $\gcd(r, s) = 1$ and $r \geq 3, s \geq 3$.

Remark. Note that the above described example is a special class of lens spaces, namely those which correspond to $S^3/\phi(\mathbb{Z}_r \times \mathbb{Z}_s)$ with $\gcd(r, s) = 1$. In general a lens space always corresponds to S^3/G where G is a cyclic subgroup of $\mathbb{Z}_r \times \mathbb{Z}_s$ with $r \neq 1$ and $s \neq 1$ not necessarily relatively prime.

To describe Scott's classification consider the homomorphism

$$\begin{aligned} \pi : SO(4) &\longrightarrow SO(3) \times SO(3) \\ x \mapsto q_1 x q_2^{-1} &\longmapsto (x \mapsto q_1 x q_1^{-1}, x \mapsto q_2 x q_2^{-1}) \end{aligned}$$

with kernel $K = \{\text{Id}, -\text{Id}\}$. We will use the following lemma:

Lemma 2.1. *Let $\pi : SO(4) \longrightarrow SO(3) \times SO(3)$. If a finite subgroup G of $SO(4)$ acts freely on S^3 , then $H = \pi(G)$ acts freely on $S^3/\{\pm \text{Id}\} = \mathbb{R}P^3$. Conversely, if $H \subset SO(3) \times SO(3)$ acts freely on $\mathbb{R}P^3$ then $G = \pi^{-1}(H)$ acts freely on S^3 .*

Proof. For the forward direction see the proof by Scott ([S], Lemma 4.8). Conversely, let H act freely on $\mathbb{R}P^3$ and $G = \pi^{-1}(H)$. If $gx = x$ in S^3 , then $\pi(g)[x] = [x]$ for $[x] \in \mathbb{R}P^3$. Therefore $g = \text{Id}$ or $g = -\text{Id}$ and hence $\pi(g) = \text{Id}$, which implies that G acts freely on S^3 . \square

Now we consider the possibilities for a finite subgroup H of $SO(3) \times SO(3)$ acting freely on $\mathbb{R}P^3$. Let H_1 and H_2 denote the projections of H into the two factors of $SO(3) \times SO(3)$. Hence H is a subgroup of $H_1 \times H_2$. Recall that a finite subgroup of $SO(3)$ is cyclic, dihedral or the orientation preserving symmetry group of a regular solid. These are:

- (1) the cyclic groups \mathbb{Z}_m , $m \geq 1$ of order m
- (2) the dihedral groups D_m , $m \geq 2$ of order $2m$
- (3) the tetrahedral group T of order 12
- (4) the octahedral group O of order 24
- (5) the icosahedral group I of order 60

Note that T is isomorphic to the alternating group on four elements A_4 , O is isomorphic to the symmetric group on four elements S_4 and I is isomorphic to A_5 . Also note that all these groups have even order apart from the obvious cyclic groups. In general a subgroup of a direct product need not itself be a direct product. We will need the following definition:

Definition 2.2. *Let G be any group, let H be a finite subgroup of $G \times G$ and let H_1 and H_2 denote the projections of H into the two factors of $G \times G$. Then H is called a diagonal subgroup of $H_1 \times H_2$ if there are surjections f_1 and f_2 from H_1 and H_2 respectively to some group A , and H is the kernel of the map $H_1 \times H_2 \longrightarrow A$ whose restriction to H_i is f_i .*

In other words we have the following exact sequence:

$$1 \longrightarrow H \longrightarrow H_1 \times H_2 \xrightarrow{f} A \longrightarrow 1$$

where $f|_{H_i} = f_i$.

Now we have all the preparations to state the classification theorem:

Theorem 2.3. [S] *Let H be a finite subgroup of $SO(3) \times SO(3)$ which acts freely on $S^3/\{\pm Id\}$, and let H_1 and H_2 denote the projections of H into the two factors.*

Then H is one of the following subgroups:

- (i) $H = \mathbb{Z}_p \times H_2$ with $(p, |H_2|) = 1$, $p \neq 1$ and $H_2 \in \{D_n, T, O, I\}$;
- (ii) H is a diagonal subgroup of index three in $\mathbb{Z}_{3n} \times T$ with n odd;
- (iii) H is a diagonal subgroup of index two in $\mathbb{Z}_{2m} \times D_n$ with n odd and $(n, m) = 1$;
- (iv) H is a cyclic subgroup of $\mathbb{Z}_r \times \mathbb{Z}_s$ with $r \neq 1$, $s \neq 1$;
- (v) $H = \{e\} \times H_2$ with H_2 any finite subgroup of $SO(3)$.

Notice that case (v) corresponds precisely to the homogeneous spherical space forms. Theorem 2.3 clearly induces a classification of the corresponding subgroup $G \subset SO(4)$ as follows. If G has even order, then there exists an element γ of G with $\gamma^2 = \text{Id}$ and since G acts freely, $\gamma = -\text{Id}$. Hence $\text{Ker}(\pi) \subset G$, which means $G = \pi^{-1}(H)$ with $H = \pi(G)$ and $\mathbb{R}P^3/H \cong S^3/\pi^{-1}(H) \cong S^3/G$. If G has odd order, then $\pi : G \rightarrow \pi(G) = H$ is an isomorphism implying that H has also odd order. Hence we are in case (iv) or (v) of Theorem 2.3, which means that H , and hence G , must be a cyclic group of odd order.

Hence our space forms S^3/G are either lens spaces $L(p, q)$ with p odd (which are not covered by $\mathbb{R}P^3$) or of the form $S^3/G \cong \mathbb{R}P^3/H$ with H as in Theorem 2.3.

3. PROOF OF THEOREM 1

We want to show that, except for cases (1) and (2) of Theorem 1, for each inhomogeneous space form S^3/G there exists an inhomogeneous lens space covering it.

If U is a subgroup of G , then $\pi : S^3/U \rightarrow S^3/G$ is a covering. Therefore we need to show that for each such G there exist a $\mathbb{Z}_r \times \mathbb{Z}_s \subset S^3 \times S^3$ with $r \geq 3$ and $s \geq 3$ such that $\phi(\mathbb{Z}_r \times \mathbb{Z}_s) \subset G$. As we saw in the discussion after Theorem 2.3, if S^3/G is not a lens space, then $S^3/G = \mathbb{R}P^3/H$ and hence it suffices to examine the cases (i) to (iii) in Theorem 2.3 and to show that there exists in each case a $\mathbb{Z}_r \times \mathbb{Z}_s \subset SO(3) \times SO(3)$ with $r \neq 1$ and $s \neq 1$ and $\mathbb{Z}_r \times \mathbb{Z}_s \subset H$. This can be done easily:

Let us start with the first case of Theorem 2.3, where $H = \mathbb{Z}_p \times H_2$ with $\text{gcd}(p, |H_2|) = 1$, $p \neq 1$ and $H_2 \in \{D_n, T, O, I\}$. Since D_n, T, O and I all have even order, each has a subgroup of order 2. Hence H admits the subgroup $\mathbb{Z}_p \times \mathbb{Z}_2$ with $\text{gcd}(p, 2) = 1$ and $p \neq 1$.

In the second case we have the following exact sequence:

$$1 \longrightarrow H \longrightarrow \mathbb{Z}_{3n} \times T \longrightarrow \mathbb{Z}_3 \longrightarrow 1,$$

with n odd. Hence H is the kernel of the surjective homomorphism $\tau : \mathbb{Z}_{3n} \times T \rightarrow \mathbb{Z}_3$. Since \mathbb{Z}_3 has no non-trivial elements of order 2, we have that

$$\mathbb{Z}_2 \times \mathbb{Z}_2 \cong \{(0, t) \mid t \in T, t^2 = e\} \subset \text{Ker}(\tau).$$

On the other hand, if $n > 1$, we also obtain that

$$\mathbb{Z}_n \cong \{(z^3, e) \mid z \in \mathbb{Z}_{3n}\} \subset \text{Ker}(\tau).$$

Hence $\mathbb{Z}_n \times (\mathbb{Z}_2 \times \mathbb{Z}_2) \subset \text{Ker}(\tau) = H$ and in particular there exists $\mathbb{Z}_n \times \mathbb{Z}_2 \subset H$ with $\text{gcd}(n, 2) = 1$ and $n > 1$.

In the third case we have the following exact sequence:

$$1 \longrightarrow H \longrightarrow \mathbb{Z}_{2m} \times D_n \longrightarrow \mathbb{Z}_2 \longrightarrow 1,$$

where $\text{gcd}(m, n) = 1$ and n odd. Hence H is the kernel of the surjective homomorphism $\tau : \mathbb{Z}_{2m} \times D_n \longrightarrow \mathbb{Z}_2$. Since the image of every element in $\mathbb{Z}_{2m} \times D_n$ obviously squares to be the identity in \mathbb{Z}_2 , for $m > 1$, we have that $\mathbb{Z}_m \cong \{(z^2, e) \mid z \in \mathbb{Z}_{2m}\} \subset \text{Ker}(\tau)$, and $\mathbb{Z}_n \cong \{(0, d) \mid d \in D_n, d^n = e\} \subset \text{Ker}(\tau)$. Hence $\mathbb{Z}_n \times \mathbb{Z}_m \subset \text{Ker}(\tau) = H$ with $\text{gcd}(m, n) = 1$ with $m > 1$ and $n > 1$.

Thus we have shown that, with the exception of $n = 1$ in case (ii) and $p = 1$ in case (iii), in each of the cases of Theorem 2.3 there exist cyclic groups \mathbb{Z}_r and \mathbb{Z}_s with $r \neq 1$ and $s \neq 1$ such that $\mathbb{Z}_r \times \mathbb{Z}_s \subset H$.

For $n = 1$ in case (ii), one can show that H is isomorphic to T . Hence this case corresponds exactly to case (1) of Theorem 1 where, following [S], \tilde{H} denotes the pre-image of H in S^3 under the natural homomorphism $\psi : S^3 \longrightarrow SO(3)$. As $H \cong T$ is a diagonal subgroup of $\mathbb{Z}_3 \times T$ of index three, it follows that there are no subgroups of the form $\mathbb{Z}_r \times \mathbb{Z}_s$ of H with $r \neq 1$ and $s \neq 1$.

For $p = 1$ in case (iii), one can show that H is isomorphic to D_n . Hence this case corresponds exactly to case (2) of Theorem 1. As $H \cong D_n$ is a diagonal subgroup of $\mathbb{Z}_2 \times D_n$ of index two, it follows that there are no subgroups of the form $\mathbb{Z}_r \times \mathbb{Z}_s$ of H with $r \neq 1$ and $s \neq 1$.

This finishes the proof of Theorem 1. \square

Remark. Theorem 1 also holds in the homogeneous setting, i.e. every homogeneous spherical space form can be covered by a homogeneous lens space. In fact, as all non-cyclic subgroups of $SO(3)$ are of even order, we can always cover homogeneous spherical space forms by $L(2, 1)$.

4. PROOF OF THEOREM 2

4.1 PRELIMINARIES

In order to *minimally* isometrically immerse any space form into a sphere we need the components of the immersion to consist of harmonic homogeneous polynomials of a given degree in four real variables. This follows from the previously quoted theorem by Takahashi. In our case $M = S^3$, and the eigenfunctions of S^3 are harmonic homogeneous polynomials in four real variables. The isometry condition translates into the following system of partial differential equations :

$$(4.1.0) \quad \sum_{k=1}^n \frac{\partial f_k}{\partial x_i} \frac{\partial f_k}{\partial x_j} = \delta_{ij} \left(\sum_{l=1}^4 x_l^2 \right)^{g-1} + C x_i x_j \left(\sum_{l=1}^4 x_l^2 \right)^{g-2}.$$

Here the f_k denote the components of f , g stands for the degree of the polynomials used and C is the constant $C = \frac{3g}{g+2} - 1$.

A slightly different system of differential equations was used by N. Wallach [Wa]. He assumed the target sphere to have radius 1. Equations (4.1.0) can be derived in the following way: Let $f : S^n(1) \hookrightarrow S^N(\frac{1}{\sqrt{K}})$ be a minimal isometric immersion. Here $K = \frac{\lambda}{n}$ and λ is an eigenvalue of the Laplacian on $S^n(1)$. Let x_1, \dots, x_{n+1} be the standard coordinates of \mathbb{R}^{n+1} . Then the metric \mathbf{g} on $S^n(1)$ is given by $\mathbf{g} = \sum_{i=1}^{n+1} dx_i \otimes dx_i$. The isometry condition translates into $\mathbf{g} = \sum_{i=1}^{N+1} df_i \otimes df_i$. Let $\mathbb{R}^+ = \{t \in \mathbb{R} \mid t < 0\}$ and let $\phi : \mathbb{R}^+ \times S^n(1) \hookrightarrow \mathbb{R}^{n+1}$, $(t, x) \mapsto tx$. Note that for harmonic homogeneous polynomials \mathbf{p} of degree g we have that $\mathbf{p}(tx) = t^g \mathbf{p}(x)$ and therefore $f_i(tx) = t^g f_i(x)$. Explicit computations of the differential ϕ^* of ϕ yield

$$\begin{aligned} \phi^* \left(\sum_{i=1}^{n+1} dx_i \otimes dx_i \right)_{(t,x)} &= t^2 \mathbf{g}_x + (dt \otimes dt)_t; \\ \phi^* \left(\sum_{i=1}^{N+1} df_i \otimes df_i \right)_{(t,x)} &= \frac{1}{K} g^2 t^{2g-2} (dt \otimes dt)_t + t^{2g} \left(\sum_{i=1}^{N+1} df_i \otimes df_i \right)_x \\ &= \frac{1}{K} g^2 t^{2g-2} (dt \otimes dt)_t + t^{2g} \mathbf{g}_x \\ &= t^{2g-2} \left(\frac{g^2}{K} - 1 \right) (dt \otimes dt)_t + t^{2g-2} \left((dt \otimes dt)_t + t^2 \mathbf{g}_x \right) \\ &= t^{2g-2} \left(\frac{g^2}{K} - 1 \right) (dt \otimes dt)_t + t^{2g-2} \phi^* \left(\sum_{i=1}^{n+1} dx_i \otimes dx_i \right)_{(t,x)}. \end{aligned}$$

Setting $r(x) = \sum_{i=1}^{n+1} x_i^2$ for $x \in \mathbb{R}^{n+1}$, we have that $(\phi^{-1})^*(dt)_x = r^{-\frac{1}{2}} \sum_{i=1}^{n+1} x_i dx_i$. Combining this with the above equations yields

$$\sum_{i=1}^{N+1} df_i \otimes df_i = r^{g-1} \sum_{i=1}^{n+1} dx_i \otimes dx_i + \left(\frac{g^2}{K} - 1 \right) r^{g-2} \sum_{i,j=1}^{n+1} x_i x_j dx_i \otimes dx_j.$$

This equation is equivalent to the system of partial differential equations

$$\sum_{k=1}^{N+1} \frac{\partial f_k}{\partial x_i} \frac{\partial f_k}{\partial x_j} = \delta_{ij} \left(\sum_{l=1}^{n+1} x_l^2 \right)^{g-1} + \left(\frac{g^2}{K} - 1 \right) x_i x_j \left(\sum_{l=1}^{n+1} x_l^2 \right)^{g-2}.$$

Observing that for $n = 3$ we have that $K = \frac{\lambda}{3}$ and $\lambda = g(g+2)$, we obtain equations (4.1.0).

In this setting it is more natural to translate the system (4.1.0) of four real variables into a system of two complex variables. To do so we will set $z = x_1 + \iota x_2$, $w = x_3 + \iota x_4$ and hence

$$\begin{aligned} \frac{\partial f}{\partial x_1} &= \frac{\partial f}{\partial z} + \frac{\partial f}{\partial \bar{z}}; \quad \frac{\partial f}{\partial x_2} = \frac{1}{\iota} \left(\frac{\partial f}{\partial z} - \frac{\partial f}{\partial \bar{z}} \right); \quad \frac{\partial f}{\partial x_3} = \frac{\partial f}{\partial w} + \frac{\partial f}{\partial \bar{w}}; \quad \frac{\partial f}{\partial x_4} = \frac{1}{\iota} \left(\frac{\partial f}{\partial w} - \frac{\partial f}{\partial \bar{w}} \right); \\ \Delta f &= 4 \left(\frac{\partial^2 f}{\partial z \partial \bar{z}} + \frac{\partial^2 f}{\partial w \partial \bar{w}} \right). \end{aligned}$$

Using these rules we obtain the following six equations:

$$(4.1.1) \quad \sum_{k=1}^{N+1} \left(\frac{\partial f_k}{\partial z} \right)^2 = \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} \bar{z}^2$$

$$(4.1.2) \quad \sum_{k=1}^{N+1} \left(\frac{\partial f_k}{\partial w} \right)^2 = \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} \bar{w}^2$$

$$(4.1.3) \quad \sum_{k=1}^{N+1} \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial \bar{z}} = \frac{1}{2} (z\bar{z} + w\bar{w})^{g-1} + \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} z\bar{z}$$

$$(4.1.4) \quad \sum_{k=1}^{N+1} \frac{\partial f_k}{\partial w} \frac{\partial f_k}{\partial \bar{w}} = \frac{1}{2} (z\bar{z} + w\bar{w})^{g-1} + \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} w\bar{w}$$

$$(4.1.5) \quad \sum_{k=1}^{N+1} \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial w} = \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} \bar{z} \bar{w}$$

$$(4.1.6) \quad \sum_{k=1}^{N+1} \frac{\partial f_k}{\partial \bar{z}} \frac{\partial f_k}{\partial w} = \frac{C}{4} (z\bar{z} + w\bar{w})^{g-2} z \bar{w}$$

In our case we want to construct a minimal isometric immersion of an inhomogeneous *lens space* into a sphere. Therefore we use the above mentioned construction but additionally require the harmonic polynomials to be invariant under the corresponding group action.

4.2 DESCRIPTION OF THE GROUP ACTION

Consider the following action of $G \cong \mathbb{Z}_p$ on S^3 . Let $\omega = e^{\frac{2\pi}{p}\iota}$ be a generator of \mathbb{Z}_p . Then the group action is given by $\omega \cdot (z, w) = (e^{\frac{2\pi}{p}\iota} z, e^{\frac{2\pi q}{p}\iota} w)$ and the resulting quotient space S^3/G is the lens space $L(p, q)$. In the above complex notation the action of \mathbb{Z}_p on $\mathfrak{p} \in \mathbb{C}[z, w]$ is given by

$$\begin{aligned} \gamma \cdot \mathfrak{p}(z, w, \bar{z}, \bar{w}) &= \mathfrak{p}(\omega z, \omega^q w, \bar{\omega} \bar{z}, \bar{\omega}^q \bar{w}) \\ &= \mathfrak{p}(e^{\frac{2\pi}{p}\iota} z, e^{\frac{2\pi q}{p}\iota} w, e^{-\frac{2\pi}{p}\iota} \bar{z}, e^{-\frac{2\pi q}{p}\iota} \bar{w}). \end{aligned}$$

Hence in order for a monomial $z^a w^b \bar{z}^c \bar{w}^d$ to be invariant under this action, we need that p divides $a + qb - c - qd$. Moreover, a set of polynomials is invariant under this particular action if and only if the corresponding set of monomials is invariant under the action.

Conclusion. *A set of harmonic homogeneous polynomials is invariant under the above described action if and only if all generating monomials $z^a w^b \bar{z}^c \bar{w}^d$ satisfy the condition :*

$$a + qb - c - qd \equiv 0 \pmod{p}.$$

4.3 ANALYZING THE ISOMETRY CONDITION

We will use the following isometry of S^3 :

Definition 4.3.1. Denote by T the involution $T : S^3 \rightarrow S^3$, $T(z, w, \bar{z}, \bar{w}) = (\bar{z}, w, z, \bar{w})$.

Remark. The reason we chose this particular isometry is that it is an isometry of S^3 which never covers an isometry of an inhomogeneous lens space $L(p, q)$ for any p and any q with $\gcd(p, q) = 1$ and $q \not\equiv \pm 1 \pmod{p}$. This choice will simplify the calculations in section 4.4 considerably.

Note that T commutes with multiplication and partial derivatives in the following way:

Lemma 4.3.2. Let T be defined as above and let m, m_1 and m_2 be monomials, all of the same fixed degree. Then the following properties hold:

$$\begin{aligned} T(m_1 \cdot m_2) &= T(m_1) \cdot T(m_2) \quad \text{and} \\ T\left(\frac{\partial m}{\partial z}\right) &= \frac{\partial}{\partial \bar{z}} [T(m)], \quad T\left(\frac{\partial m}{\partial w}\right) = \frac{\partial}{\partial w} [T(m)] \\ T\left(\frac{\partial m}{\partial \bar{z}}\right) &= \frac{\partial}{\partial z} [T(m)], \quad T\left(\frac{\partial m}{\partial \bar{w}}\right) = \frac{\partial}{\partial \bar{w}} [T(m)] \end{aligned}$$

Proof. This is a straightforward computation.

Denote by R_i the right hand side of (4.1.i) for each $i \in \{1, \dots, 6\}$. Observe that $T(R_1) = \bar{R}_1$, $T(R_2) = R_2$, $T(R_3) = R_3$, $T(R_4) = R_4$, $T(R_5) = R_6$, $T(R_6) = R_5$. Using Lemma 4.3.2 and the fact that $T^2 = Id$, equations (4.1.1) to (4.1.6) translate into the following system:

$$(4.3.3) \quad \begin{aligned} \sum_{k=1}^n \left(\frac{\partial T(f_k)}{\partial z}\right)^2 &= \sum_{k=1}^n \left(\frac{\partial f_k}{\partial z}\right)^2 = R_1 \\ \sum_{k=1}^n \left(\frac{\partial T(f_k)}{\partial w}\right)^2 &= \sum_{k=1}^n \left(\frac{\partial f_k}{\partial w}\right)^2 = R_2 \\ \sum_{k=1}^n \frac{\partial T(f_k)}{\partial z} \frac{\partial T(f_k)}{\partial \bar{z}} &= \sum_{k=1}^n \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial \bar{z}} = R_3 \\ \sum_{k=1}^n \frac{\partial T(f_k)}{\partial w} \frac{\partial T(f_k)}{\partial \bar{w}} &= \sum_{k=1}^n \frac{\partial f_k}{\partial w} \frac{\partial f_k}{\partial \bar{w}} = R_4 \\ \sum_{k=1}^n \frac{\partial T(f_k)}{\partial z} \frac{\partial T(f_k)}{\partial w} &= \sum_{k=1}^n \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial w} = R_5 \\ \sum_{k=1}^n \frac{\partial T(f_k)}{\partial \bar{z}} \frac{\partial T(f_k)}{\partial w} &= \sum_{k=1}^n \frac{\partial f_k}{\partial \bar{z}} \frac{\partial f_k}{\partial w} = R_6. \end{aligned}$$

Definition 4.3.4.

$$\begin{aligned} M^g &:= \{m_i \mid m_i \text{ all monomials in two complex variables of degree } g\} \\ &= \{z^a w^b \bar{z}^c \bar{w}^d \mid a + b + c + d = g\} \end{aligned}$$

$$\begin{aligned} M_G^g &:= \{m_i \mid m_i \text{ all monomials of degree } g \text{ invariant under action of } G = \mathbb{Z}_p \text{ as in 4.2}\} \\ &= \{z^a w^b \bar{z}^c \bar{w}^d \mid a + b + c + d = g, a + bq - c - dq \equiv 0 \pmod{p}\} \end{aligned}$$

$$\begin{aligned} P^g &:= \{\mathfrak{p}_i \mid \mathfrak{p}_i \text{ a harmonic homogeneous polynomial of degree } g, \\ &\quad i = 1, \dots, (g+1)^2\} \end{aligned}$$

$$\begin{aligned} P_G^g &:= \{\mathfrak{p}_i \mid \mathfrak{p}_i \text{ a harmonic homogeneous polynomial of degree } g \\ &\quad \text{invariant under the action of } G = \mathbb{Z}_p, i = 1, \dots, m\} \end{aligned}$$

$$Q^g := \{\mathfrak{q}_i \mid \mathfrak{q}_i \in P^g \text{ such that } T(\mathfrak{q}_i) = \mathfrak{q}_i \text{ or } T(\mathfrak{q}_i) = \bar{\mathfrak{q}}_i\},$$

$$Q_G^g := Q^g \cap P_G^g,$$

$$T(P_G^g) := \{T(\mathfrak{p}_i) \mid \mathfrak{p}_i \in P_G^g\}$$

Lemma 4.3.5. *One can always choose a basis $\{\mathfrak{p}_i, i = 1, \dots, (g+1)^2\}$ of P^g such that $T(\mathfrak{p}_i) = \mathfrak{p}_j$ for some $j \in \{1, \dots, (g+1)^2\}$.*

Proof. Construct a basis of all harmonic homogeneous polynomials of a given degree g in the following way: Take $m_1 = z^a w^b \bar{z}^c \bar{w}^d \in M^g$. Then

$$\begin{aligned} \mathfrak{p}_1 &= z^a w^b \bar{z}^c \bar{w}^d \\ &+ \sum_{i=0}^N (-1)^{i+1} \left\{ \prod_{k=0}^i \frac{(a-k)(c-k)}{(b+k+1)(d+k+1)} z^{a-i-1} w^{b+i+1} \bar{z}^{c-i-1} \bar{w}^{d+i+1} + \right. \\ &\quad \left. \prod_{k=0}^i \frac{(b-k)(d-k)}{(a+k+1)(c+k+1)} z^{a+i+1} w^{b-i-1} \bar{z}^{c+i+1} \bar{w}^{d-i-1} \right\} \end{aligned}$$

is a harmonic homogeneous polynomial, where $N = \max(\min(a, c), \min(b, d)) - 1$.

Let $M_1^g = \{\text{all monomials used in the construction of } \mathfrak{p}_1\}$. Then let $M^g = M^g \setminus M_1^g$ and repeat the above step until all of M^g is exhausted. In this construction each polynomial \mathfrak{p}_i is built with different monomials, hence all \mathfrak{p}_i are linearly independent. Also, each \mathfrak{p}_i is unique up to scalar multiples, i.e. no other combination of monomials $m_j \in M_j^g$ can be used to construct \mathfrak{p}_i . Therefore the above constructed \mathfrak{p}_i form a basis for P^g . Note that this basis has the property that $a - c$ and $b - d$ is constant for all monomials $z^a w^b \bar{z}^c \bar{w}^d$ in a given polynomial. Consider the polynomial $\mathfrak{p}_1 \in P^g$ as constructed above. One has

$$\begin{aligned} T(\mathfrak{p}_1) &= z^c w^b \bar{z}^a \bar{w}^d \\ &+ \sum_{i=0}^N (-1)^{i+1} \left\{ \prod_{k=0}^i \frac{(a-k)(c-k)}{(b+k+1)(d+k+1)} z^{c-i-1} w^{b+i+1} \bar{z}^{a-i-1} \bar{w}^{d+i+1} + \right. \\ &\quad \left. \prod_{k=0}^i \frac{(b-k)(d-k)}{(a+k+1)(c+k+1)} z^{c+i+1} w^{b-i-1} \bar{z}^{a+i+1} \bar{w}^{d-i-1} \right\} \end{aligned}$$

We need to find some $\mathbf{p}_j \in P^g$ such that $T(\mathbf{p}_1) = \mathbf{p}_j$. We start with the monomial $m = z^c w^b \bar{z}^a \bar{w}^d$. Then

$$\begin{aligned} \mathbf{p}_2 &= z^c w^b \bar{z}^a \bar{w}^d \\ &+ \sum_{i=0}^N (-1)^{i+1} \left\{ \prod_{k=0}^i \frac{(b-k)(d-k)}{(a+k+1)(c+k+1)} z^{c-i-1} w^{b+i+1} \bar{z}^{a-i-1} \bar{w}^{d+i+1} + \right. \\ &\quad \left. \prod_{k=0}^i \frac{(a-k)(c-k)}{(b+k+1)(d+k+1)} z^{c+i+1} w^{b-i-1} \bar{z}^{a+i+1} \bar{w}^{d-i-1} \right\} \\ &= T(\mathbf{p}_1). \end{aligned}$$

As the choice of \mathbf{p}_1 was arbitrary, the basis \mathbf{p}_i has the property that given $\mathbf{p}_i \in P^g$, there exists a $j \in \{1, \dots, (g+1)^2\}$ such that $T(\mathbf{p}_i) = \mathbf{p}_j$. \square

Remark. As the referee has kindly pointed out, the above constructed basis is up to a constant multiple a basis obtained through harmonic projection of the monomials, as described in [V].

Using Lemma 4.3.5 the following construction is well defined:

Lemma 4.3.6.

(i) g even: One can express f_k as the following sum:

$$f_k = \sum_{i=1}^{\left(\frac{g}{2}\right)^2} a_{ki} \mathbf{p}_i + \bar{a}_{ki} \bar{\mathbf{p}}_i + b_{ki} T(\mathbf{p}_i) + \bar{b}_{ki} T(\bar{\mathbf{p}}_i) + \sum_{i=1}^g c_{ki} \mathbf{q}_i + \bar{c}_{ki} \bar{\mathbf{q}}_i + d_{k1} \mathbf{r}_1,$$

where $\mathbf{p}_i \in P^g$, $\mathbf{q}_i, \mathbf{r}_1 \in Q^g$ and $\mathbf{r}_1 = \bar{\mathbf{r}}_1$;

(ii) g odd: One can express f_k as

$$f_k = \sum_{i=1}^{\frac{g^2-1}{4}} a_{ki} \mathbf{p}_i + \bar{a}_{ki} \bar{\mathbf{p}}_i + b_{ki} T(\mathbf{p}_i) + \bar{b}_{ki} T(\bar{\mathbf{p}}_i) + \sum_{i=1}^{g+1} c_{ki} \mathbf{q}_i + \bar{c}_{ki} \bar{\mathbf{q}}_i,$$

where $\mathbf{p}_i \in P^g$, $\mathbf{q}_i \in Q^g$.

Proof. Our goal is to show that we can use a permutation of the basis constructed in Lemma 4.3.5 to express f_k as claimed.

(i) Assume g is even. Using the basis for P^g as constructed in Lemma 4.3.5 we need to find those polynomials

$$\begin{aligned} \mathbf{p} &= z^a w^b \bar{z}^c \bar{w}^d \\ &+ \sum_{i=0}^N (-1)^{i+1} \left\{ \prod_{k=0}^i \frac{(a-k)(c-k)}{(b+k+1)(d+k+1)} z^{a-i-1} w^{b+i+1} \bar{z}^{c-i-1} \bar{w}^{d+i+1} + \right. \\ &\quad \left. \prod_{k=0}^i \frac{(b-k)(d-k)}{(a+k+1)(c+k+1)} z^{a+i+1} w^{b-i-1} \bar{z}^{c+i+1} \bar{w}^{d-i-1} \right\} \end{aligned}$$

for which $T(\mathfrak{p}) = \mathfrak{p}$ or $T(\mathfrak{p}) = \bar{\mathfrak{p}}$ holds.

Consider first the case of $T(\mathfrak{p}) = \mathfrak{p}$. From the construction it follows that then a must be equal to c . Hence if $T(\mathfrak{p}) = \mathfrak{p}$, then all monomials $z^a w^b \bar{z}^c \bar{w}^d \in \mathfrak{p}$ have the property that $a = c$. A counting argument shows that there are exactly $g+1$ such polynomials: The chosen basis has the property that $a-c$ and $b-d$ is constant for all monomials $z^a w^b \bar{z}^c \bar{w}^d$ in a given polynomial. Also note that $|(a-c) + (b-d)| = |g - 2(c+d)|$ is even (resp. odd) if g is even (resp. odd) and that $|(a-c) + (b-d)| \leq g$. Hence we can represent the polynomials of our fixed basis as tuples $(a-c, b-d) \in \mathbb{Z} \times \mathbb{Z}$. In our case $a-c=0$ and we are looking for all possible polynomials of the form $(0, b-d)$. As $(b-d)$ can be any even number of absolute value less or equal to g , we obtain $g+1$ possibilities. Here the case of $(0,0)$ corresponds to the real polynomial \mathfrak{r}_1 as $a=c$ and $b=d$.

Next, consider the case of $T(\mathfrak{p}) = \bar{\mathfrak{p}}$. From the construction it follows that then b must be equal to d . Following the argument of the previous case we are now looking for all possible polynomials of the form $(a-c, 0)$. Again $(a-c)$ can be any even number of absolute value less or equal to g and we obtain g possibilities excluding the case of $(0,0)$ which was already covered in the previous case. Note that the counting argument above includes all conjugate polynomials $\bar{\mathfrak{p}}_i$. Hence we obtain a total of $2g$ polynomials \mathfrak{p}_i with the property that $T(\mathfrak{p}_i) = \mathfrak{p}_i$ or $T(\mathfrak{p}_i) = \bar{\mathfrak{p}}_i$ and one real polynomial \mathfrak{r}_1 . Since these include all conjugates, the number of remaining polynomials are: $(g+1)^2 - 2g - 1 = g^2$. When we group these as $\{\mathfrak{p}_i, T(\mathfrak{p}_i), \bar{\mathfrak{p}}_i, T(\bar{\mathfrak{p}}_i)\}$, we get exactly $\frac{g^2}{4}$ quadruples, each quadruple consisting of a pair $\{\mathfrak{p}_i, T(\mathfrak{p}_i)\}$ and its conjugate. This proves the first part of Lemma 4.3.6.

(ii) Assume g is odd.

In order for a polynomial to be real we need that $a=c$ and $b=d$ for all $z^a w^b \bar{z}^c \bar{w}^d \in \mathfrak{p}$. But then $g = a+b+c+d = 2a+2b$ and the degree g must be even. Hence in the odd degree setting there is no real polynomial. Following a similar counting argument we obtain $2(g+1)$ polynomials \mathfrak{p}_i with the property that $T(\mathfrak{p}_i) = \mathfrak{p}_i$ or $T(\mathfrak{p}_i) = \bar{\mathfrak{p}}_i$. Again the number of remaining quadruples $\{\mathfrak{p}_i, T(\mathfrak{p}_i), \bar{\mathfrak{p}}_i, T(\bar{\mathfrak{p}}_i)\}$ is $\frac{g^2-1}{4} = \frac{(g+1)^2-2g-2}{4}$. \square

Remark. As mentioned in the introduction, different choices of bases give rise to congruent immersions. We thus now fix a basis and always express the components f_k of the immersion f as in Lemma 4.3.6.

Each of the original set of equations (4.1.1) to (4.1.6) is a polynomial equation which we can solve by equating coefficients. We thus obtain a set of quadratic equations in the coefficients $a_{ki}, b_{ki}, c_{ki}, d_{k1}$. Let

$$XY_{ij} := \sum_{k=1}^n x_{ki} y_{kj}; \quad X\bar{Y}_{ij} := \sum_{k=1}^n x_{ki} \bar{y}_{kj}$$

$$\mathfrak{R} := \{XY_{ij}, X\bar{Y}_{ij} | X, Y \in \{A, B, C, D\}, i \leq j\}.$$

Consider the system of quadratic equations in $a_{ki}, b_{ki}, c_{ki}, d_{k1}$ as a linear system in elements of \mathfrak{R} . This linear system has the property that it splits into an inhomogeneous and a homogeneous system in a natural way:

Lemma 4.3.7. *The linear system in elements of \mathfrak{K} splits into an inhomogeneous system in the variables $X\bar{X}_{ii}$, $X \in \{A, B, C, D\}$ and a homogeneous system in $\mathfrak{K} \setminus \{X\bar{X}_{ii}, X \in \{A, B, C, D\}\}$*

Proof. We show this splitting property for equation (4.1.1). The proof of the statement for the remaining equations follows the same argument. As we are solving (4.1.1) by coefficient comparison, we need to find monomials $m_1 = z^{a_1} w^{b_1} \bar{z}^{c_1} \bar{w}^{d_1}$ and $m_2 = z^{a_2} w^{b_2} \bar{z}^{c_2} \bar{w}^{d_2}$ such that

$$(*) \quad \frac{\partial m_1}{\partial z} \frac{\partial m_2}{\partial z} \in \{(z\bar{z} + w\bar{w})^{g-2} \bar{z}^2\}.$$

Of course (*) is equivalent to

$$z^{a_1+a_2-2} w^{b_1+b_2} \bar{z}^{c_1+c_2} \bar{w}^{d_1+d_2} \in \{z^k w^{g-2-k} \bar{z}^{k+2} \bar{w}^{g-2-k}, k = 0, \dots, g-2\}.$$

We show that

$$m_1, m_2 \text{ satisfy } (*) \iff m_1 \in \mathfrak{p} \text{ and } m_2 \in \bar{\mathfrak{p}} \quad \text{for some} \quad \mathfrak{p} \in P^g.$$

This will complete the proof of the lemma as the coefficients of the monomials corresponding to a non-zero right hand side are exactly of the form $X\bar{X}_{ii}$, $X \in \{A, B, C, D\}$.

\Leftarrow : If $m_1 \in \mathfrak{p}$ and $m_2 \in \bar{\mathfrak{p}}$, then $m_1 = z^a w^b \bar{z}^c \bar{w}^d$ and

$$m_2 = \begin{cases} z^c w^d \bar{z}^a \bar{w}^b & \text{or} \\ z^{c-i-1} w^{d+i+1} \bar{z}^{a-i-1} \bar{w}^{b+i+1} & \text{for some } i \geq 0 \quad \text{or} \\ z^{c+i+1} w^{d-i-1} \bar{z}^{a+i+1} \bar{w}^{b-i-1} & \text{for some } i \geq 0. \end{cases}$$

In each case $\frac{\partial m_1}{\partial z} \frac{\partial m_2}{\partial z}$ is of the form $z^k w^{g-2-k} \bar{z}^{k+2} \bar{w}^{g-2-k}$ which implies that m_1 and m_2 satisfy (*).

\Rightarrow : Let $m_1 = z^{a_1} w^{b_1} \bar{z}^{c_1} \bar{w}^{d_1}$ and $m_2 = z^{a_2} w^{b_2} \bar{z}^{c_2} \bar{w}^{d_2}$, then

$$\frac{\partial m_1}{\partial z} \frac{\partial m_2}{\partial z} = a_1 a_2 z^{a_1+a_2-2} w^{b_1+b_2} \bar{z}^{c_1+c_2} \bar{w}^{d_1+d_2}.$$

As m_1 and m_2 satisfy (*) we obtain $a_1 + a_2 = k + 2$; $b_1 + b_2 = g - k - 2$; $c_1 + c_2 = k + 2$; $d_1 + d_2 = g - k - 2$. Setting $k + 2 = l$, these translate to

$$a_2 = l - a_1, b_2 = g - l - b_1, c_2 = l - c_1, d_2 = g - l - d_1.$$

Claim. *If $z^a w^b \bar{z}^c \bar{w}^d \in \mathfrak{p}$, then $z^{l-a} w^{g-l-b} \bar{z}^{l-c} \bar{w}^{g-l-d} \in \bar{\mathfrak{p}}$.*

Recall that in our fixed basis of the harmonic homogeneous polynomials (Lemma 4.3.5) a monomial $m_l = z^{a_l} w^{b_l} \bar{z}^{c_l} \bar{w}^{d_l}$ is in $\bar{\mathfrak{p}}$ if and only if $a_l - c_l = c - a$ and $b_l - d_l = d - b$. But the monomial $z^{l-a} w^{g-l-b} \bar{z}^{l-c} \bar{w}^{g-l-d}$ certainly has this property, hence is in $\bar{\mathfrak{p}}$. \square

Remark. In what follows we will use only the inhomogeneous part of the linear system whenever we talk about “this linear system”.

We will use this linear system in the following way. If there exists a minimal isometric immersion of degree g of an inhomogeneous lens space $L(p, q)$ into a sphere, its components can be described as in Lemma 4.3.6. The coefficients (the various $a_{ki}, b_{ki}, c_{ki}, d_{k1}$) must satisfy a system of quadratic equations corresponding to the isometry condition. Hence if there is a solution to the quadratic system, there must also exist a solution to the above defined linear system. Our goal is to prove the contrapositive: if $g < \mathfrak{U}(p, q)$, then there exists no solution to the linear system, hence there is no solution to the quadratic system and a minimal isometric immersion of degree g and image $L(p, q)$ can not exist.

In general the solution of the linear system will not be unique. We will need the following definitions:

Definition 4.3.8. Fix an inhomogeneous lens space $L(p, q)$ and a degree g . As explained above, associate with the isometry condition of a minimal immersion of $L(p, q)$ into a sphere an inhomogeneous linear system $Ax = b$ where $A \in M(6g - 4 \times n, \mathbb{R})$ and $b \in M(6g - 4 \times 1, \mathbb{R}), b \neq 0$. The number of equations derived by equating coefficients in equations (4.1.1) to (4.1.6) is $6g - 4$ and n is the number of polynomials invariant under the appropriate group action. Define $m(g, p, q)$ to be the number of non-zero rows after row reducing A and $n(g, p, q) := n$. Let

$$\mathfrak{U}(p, q) := \inf_{g > 0} \{g : m(g, p, q) - n(g, p, q) \leq 0\}$$

Note that our general assumption of $g < \mathfrak{U}(p, q)$ now assures that if the linear system has a solution it must be unique. This will be used in the following:

Proposition 4.3.9. Fix a degree g and an inhomogeneous lens space $L(p, q)$. If $g < \mathfrak{U}(p, q)$ and if the system of quadratic equations has a solution f , then

$$A\bar{A}_{ii} = B\bar{B}_{ii} \quad \text{for all } i, \quad \text{where } A\bar{A}_{ii}, B\bar{B}_{ii} \in \mathfrak{K}.$$

Remark. Note that this proposition implies that if a component of f contains a polynomial \mathfrak{p}_i then f must also contain $T(\mathfrak{p}_i)$. Also observe that we already know that if an immersion f is a solution to the isometry equation, then so is $T(f)$. This follows from the fact that T is an isometry of S^3 .

Proof. First we will show that if we replace f by $T(f)$, we obtain exactly the same linear system. Let m be any monomial of degree $2(g - 1)$. Denote by $\text{Coeff}[\mathfrak{p}, m]$ the coefficient

of the monomial m in the polynomial \mathfrak{p} . Using Lemma 4.3.2 and equations 4.3.3 the coefficient comparison translates into

$$\begin{aligned} \text{Coeff}\left[\sum_{k=1}^n \left(\frac{\partial f_k}{\partial z}\right)^2, T(m)\right] &= \text{Coeff}\left[T\left[\sum_{k=1}^n \left(\frac{\partial f_k}{\partial z}\right)^2\right], m\right] = \text{Coeff}\left[\sum_{k=1}^n \left[T\left(\frac{\partial f_k}{\partial z}\right)\right]^2, m\right] \\ &= \text{Coeff}\left[\sum_{k=1}^n \left[\frac{\partial T(f_k)}{\partial \bar{z}}\right]^2, m\right]. \end{aligned}$$

Also we have that $\text{Coeff}[R_1, T(m)] = \text{Coeff}[\bar{R}_1, m]$. The same holds true for the remaining equations. Therefore the system obtained by coefficient comparison for f is the same as for $T(f)$, which proves the claim. The hypotheses of the proposition imply that a solution f exists and that the corresponding linear system has a unique solution L_f . We obtain

$$L_f = \begin{cases} A\bar{A}_{ii} &= r_i \\ B\bar{B}_{ii} &= s_i \\ C\bar{C}_{jj} &= t_j \\ DD_{11} &= u_1 \quad \text{for some } r_i, s_i, t_j, u_1 \in \mathbb{R} \\ &\text{with } i = 1, \dots, n_1, j = 1, \dots, n_2 \quad \text{and } n_1 + n_2 + 1 = n. \end{cases}$$

Applying T to f corresponds to interchanging the variables A and B . We therefore obtain

$$L_{T(f)} = \begin{cases} B\bar{B}_{ii} &= r_i \\ A\bar{A}_{ii} &= s_i \\ C\bar{C}_{jj} &= t_j \\ DD_{11} &= u_1 \quad \text{for some } r_i, s_i, t_j, u_1 \in \mathbb{R} \\ &\text{with } i = 1, \dots, n_1, j = 1, \dots, n_2 \quad \text{and } n_1 + n_2 + 1 = n. \end{cases}$$

But $L_{T(f)} = L_f$ as the two systems are the same and there is a unique solution. Therefore every solution under the hypothesis of the proposition has the following symmetric property:

$$L_f = \begin{cases} A\bar{A}_{ii} &= B\bar{B}_{ii} = r_i \\ C\bar{C}_{jj} &= t_j \\ DD_{11} &= u_1 \quad \text{for some } r_i, t_j, u_1 \in \mathbb{R} \\ &\text{with } i = 1, \dots, n_1, j = 1, \dots, n_2 \quad \text{and } n_1 + n_2 + 1 = n. \end{cases}$$

Corollary 4.3.10. *If the system of polynomial equations (4.1.1) to (4.1.6) has a solution f of degree g with f invariant under that action of $G = \mathbb{Z}_p$ which generates the inhomogeneous lens space $L(p, q)$ and if $g < \mathfrak{U}(p, q)$, then the components of f are linear combinations of $\mathfrak{p}_i \in P_G^g \cap T(P_G^g)$.*

Proof. A set of polynomials is invariant under the action of G if and only if the corresponding set of monomials is invariant under the action. Thus we can construct a basis for P_G^g from the chosen basis of P^g by taking only those polynomials $\{\mathfrak{p}_i\}$ which are invariant under G . Then Proposition 4.3.9 implies that the components of f contain only $\mathfrak{p}_i \in P_G^g \cap T(P_G^g)$. \square

4.4 FINDING $\mathbf{P}_G^g \cap \mathbf{T}(\mathbf{P}_G^g)$

From section 4.2, we know that if we are looking for a minimal isometric immersion of $L(p, q)$, the monomials $m_i = z^{a_i} w^{b_i} \bar{z}^{c_i} \bar{w}^{d_i} \in M_G^g$ must satisfy: $a_i + q b_i - c_i - q d_i \equiv 0 \pmod{p}$. Hence in order to determine whether $T(m_i) = z^{c_i} w^{b_i} \bar{z}^{a_i} \bar{w}^{d_i} \in M_G^g$, we need to solve $c_i + q b_i - a_i - q d_i \equiv 0 \pmod{p}$.

Lemma 4.4.1.

(1) *If p is even, then*

$$M_G^g \cap T(M_G^g) = \{m_i = z^{a_i} w^{b_i} \bar{z}^{c_i} \bar{w}^{d_i} \in M^g \text{ with} \\ a_i \equiv c_i \pmod{\frac{p}{2}} \text{ and } b_i \equiv d_i \pmod{\frac{p}{2}}\}.$$

(2) *If p is odd, then*

$$M_G^g \cap T(M_G^g) = \{m_i = z^{a_i} w^{b_i} \bar{z}^{c_i} \bar{w}^{d_i} \in M^g \text{ with} \\ a_i \equiv c_i \pmod{p} \text{ and } b_i \equiv d_i \pmod{p}\}.$$

Proof. Since the monomials $m_i = z^{a_i} w^{b_i} \bar{z}^{c_i} \bar{w}^{d_i} \in M_G^g$ have to satisfy both

$$a_i + q b_i - c_i - q d_i \equiv 0 \pmod{p} \quad \text{and} \quad c_i + q b_i - a_i - q d_i \equiv 0 \pmod{p},$$

we obtain $2q(b_i - d_i) \equiv 0 \pmod{p}$. As p and q are relatively prime this implies that $2(b_i - d_i) \equiv 0 \pmod{p}$. Hence

$$(b_i - d_i) \equiv 0 \pmod{\frac{p}{2}} \quad \text{if } p \text{ is even and} \\ (b_i - d_i) \equiv 0 \pmod{p} \quad \text{if } p \text{ is odd.}$$

Observing that $a_i - c_i \equiv q(b_i - d_i) \pmod{\frac{p}{2}}$ in the case of p even and $a_i - c_i \equiv q(b_i - d_i) \pmod{p}$ for p odd concludes the proof of Lemma 4.4.1. \square

Remark. *The corresponding statement for polynomials is:*

$$P_G^g \cap T(P_G^g) = \{\text{polynomials constructed as in section 4.3 using monomials} \\ \text{in } M_G^g \cap T(M_G^g)\}$$

4.5 NO SOLUTIONS TO THE ISOMETRY EQUATIONS

Assuming $g < \mathfrak{U}(p, q)$ we saw in sections 4.2 to 4.4 that in order to construct a minimal isometric immersion of $L(p, q)$ into a sphere we need to use monomials $m_i = z^{a_i} w^{b_i} \bar{z}^{c_i} \bar{w}^{d_i}$ with $a_i \equiv c_i \pmod{p}$ and $b_i \equiv d_i \pmod{p}$ in the case of p odd and with $a_i \equiv c_i \pmod{\frac{p}{2}}$ and $b_i \equiv d_i \pmod{\frac{p}{2}}$ for p even.

Claim. If $g < \mathfrak{U}(p, q)$, there exists no solution to equation (4.1.3) and hence the system of isometry equations is insolvable.

Proof. Recall equation (4.1.3):

$$(L_3 := \sum_{k=1}^n \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial \bar{z}}) = \left(\frac{1}{2} (z \bar{z} + w \bar{w})^{g-1} + \frac{C}{4} (z \bar{z} + w \bar{w})^{g-2} z \bar{z} =: R_3 \right)$$

We will show that the monomial $w^{g-1} \bar{w}^{g-1} \in R_3$ does not appear in the corresponding left hand side L_3 . Since we solve a polynomial equation by equating coefficients, it will thus not be possible to solve equation (4.1.3). Let $f_k = \sum_{i=1}^m a_{ik} \mathbf{p}_i$. Then $\frac{\partial f_k}{\partial z} = \sum_{i=1}^m a_{ik} \frac{\partial \mathbf{p}_i}{\partial z}$ and $\frac{\partial f_k}{\partial \bar{z}} = \sum_{i=1}^m a_{ik} \frac{\partial \mathbf{p}_i}{\partial \bar{z}}$ which leads to

$$\frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial \bar{z}} = \sum_{i=1}^m \sum_{j=i+1}^m a_{ik}^2 \frac{\partial \mathbf{p}_i}{\partial z} \frac{\partial \mathbf{p}_i}{\partial \bar{z}} + a_{ik} a_{jk} \left(\frac{\partial \mathbf{p}_i}{\partial z} \frac{\partial \mathbf{p}_j}{\partial \bar{z}} + \frac{\partial \mathbf{p}_i}{\partial \bar{z}} \frac{\partial \mathbf{p}_j}{\partial z} \right).$$

Again by substituting $\mathbf{p}_i = \sum_{s=1}^l \lambda_{is} m_s$, we obtain

$$\begin{aligned} L_3 = \sum_{k=1}^n \frac{\partial f_k}{\partial z} \frac{\partial f_k}{\partial \bar{z}} &= \sum_{k=1}^n \left\{ \sum_{i=1}^m \sum_{j=i+1}^m \sum_{s=1}^l \sum_{r=s+1}^l (a_{ik}^2 \lambda_{is}^2 + 2 a_{ik} a_{jk} \lambda_{is} \lambda_{js}) \frac{\partial m_s}{\partial z} \frac{\partial m_s}{\partial \bar{z}} \right. \\ &\quad \left. + (2 a_{ik}^2 \lambda_{is} \lambda_{ir} + 2 a_{ik} a_{jk} \lambda_{is} \lambda_{jr}) \frac{\partial m_s}{\partial z} \frac{\partial m_r}{\partial \bar{z}} \right. \\ &\quad \left. + (2 a_{ik}^2 \lambda_{is} \lambda_{ir} + 2 a_{ik} a_{jk} \lambda_{ir} \lambda_{js}) \frac{\partial m_s}{\partial \bar{z}} \frac{\partial m_r}{\partial z} \right\}. \end{aligned}$$

All monomials on the left hand side, L_3 , of the equation are of the form

$$\frac{\partial m_s}{\partial z} \cdot \frac{\partial m_r}{\partial \bar{z}} \quad \text{for any } s \text{ and } r.$$

Assume g odd. Since all of these monomials must be invariant under T by Lemma 4.4.1, we can uniquely express m_s and m_r in the following way:

$$\begin{aligned} m_s &= z^{\mu_s p + c_s} w^{\nu_s p + d_s} \bar{z}^{c_s} \bar{w}^{d_s} \\ m_r &= z^{\mu_r p + c_r} w^{\nu_r p + d_r} \bar{z}^{c_r} \bar{w}^{d_r}, \end{aligned}$$

where μ_s, ν_s, μ_r and ν_r are integers and $0 \leq \mu_s p + c_s, 0 \leq \nu_s p + d_s, 0 \leq c_s, 0 \leq d_s, 0 \leq \mu_r p + c_r, 0 \leq \nu_r p + d_r, 0 \leq c_r, 0 \leq d_r$. In order to obtain non zero partial derivatives we must have that

$$(4.5.0) \quad \mu_s p + c_s > 0 \quad \text{and} \quad c_r > 0.$$

Thus

$$\begin{aligned}\frac{\partial m_s}{\partial z} &= (\mu_s p + c_s) z^{\mu_s p + c_s - 1} w^{\nu_s p + d_s} \bar{z}^{c_s} \bar{w}^{d_s} \\ \frac{\partial m_r}{\partial \bar{z}} &= c_r z^{\mu_r p + c_r} w^{\nu_r p + d_r} \bar{z}^{c_r - 1} \bar{w}^{d_r} \quad \text{and} \\ \frac{\partial m_s}{\partial z} \cdot \frac{\partial m_r}{\partial \bar{z}} &= c_r (\mu_s p + c_s) z^{(\mu_s + \mu_r)p + c_s + c_r - 1} w^{(\nu_s + \nu_r)p + d_s + d_r} \bar{z}^{c_s + c_r - 1} \bar{w}^{d_s + d_r}.\end{aligned}$$

Since we are looking for monomials m_s and m_r such that

$$\frac{\partial m_s}{\partial z} \cdot \frac{\partial m_r}{\partial \bar{z}} = w^{g-1} \cdot \bar{w}^{g-1},$$

we need that

$$\begin{aligned}(\mu_s + \mu_r) p + c_s + c_r - 1 &= 0 \\ c_s + c_r - 1 &= 0 \\ (\nu_s + \nu_r) p + d_s + d_r &= g - 1 \\ d_s + d_r &= g - 1.\end{aligned}$$

These equations directly imply that $(\mu_s + \mu_r) p = 0$ and $(\nu_s + \nu_r) p = 0$. Since $p \neq 0$, we obtain

$$\begin{aligned}\mu_s &= -\mu_r \\ \nu_s &= -\nu_r \\ c_s + c_r &= 1 \\ d_s + d_r &= g - 1.\end{aligned}$$

Since c_s and c_r are non-negative integers, the only solutions of $c_s + c_r = 1$ are

$$(4.5.1) \quad c_s = 0 \quad \text{and} \quad c_r = 1$$

$$(4.5.2) \quad \text{or} \quad c_s = 1 \quad \text{and} \quad c_r = 0.$$

But the case (4.5.2) is not possible since we assumed that $c_r > 0$. The case (4.5.1) is also not possible, since with condition (4.5.0) it implies that $\mu_s > 0$. But, then $\mu_r p + c_r = -\mu_s p + 1 < 1$. As $\mu_r p + c_r \geq 0$ this implies that $-\mu_s p + 1 = 0$ which is not possible, as $p > 1$.

For case (2) of Lemma 4.4.1, i.e. g even, repeat the same argument replacing p by $\frac{p}{2}$. \square

Conclusion. *There do not exist monomials $m_s, m_r \in M_G^g \cap T(M_G^g)$ such that*

$$\frac{\partial m_s}{\partial z} \cdot \frac{\partial m_r}{\partial \bar{z}} = w^{g-1} \cdot \bar{w}^{g-1}.$$

Thus equation (4.1.3) is not solvable. Therefore it is not possible to solve the isometry equation for a minimal immersion of degree g of $L(p, q)$ into a sphere if $g < \mathfrak{A}(p, q)$.

This concludes the proof of Theorem 2.

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