

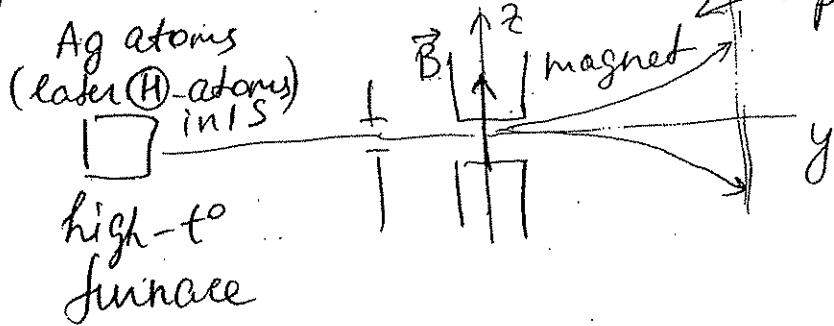
Spin

1925: Goudsmit & Uhlenbeck hypothesis:

Every electron has an intrinsic angular momentum (spin) of $\frac{\hbar}{2}$, which corresponds to a magnetic moment of one Bohr magneton $\mu_B = \frac{e\hbar}{2me}$

This hypothesis was based on several experimental facts:

1) Stern - Gerlach experiment



provides non-uniform magnetic field

(H)-atom in magnetic fields is described by (if the spin is not taken into account)

$$H = H_0 + H_1 + H_2 = \frac{q^2 \vec{B}^2}{8me} (x^2 + y^2) \leftarrow \text{diamagnetic term}$$

\vec{B}^2 \uparrow

$\frac{P^2}{2me} - \frac{e^2}{r} \uparrow$

$- \vec{M} \cdot \vec{B} \leftarrow \text{paramagnetic term}$

$\vec{M} = \frac{q}{2me} \vec{L} \uparrow$

typically much smaller than paramagnetic orbital angular momentum

The force acting on an atom in a non-uniform magnetic field is $\vec{F} = -\vec{B}(-\vec{M}, \vec{B}) = M_z \vec{B}$

Experimental result: beam splits into two components
 M_z can assume two values

If H_1 -atoms are in 1s state $\Rightarrow l=0 \Rightarrow L_z=0 \Rightarrow M_z$
 where from?
 must be something else (no splitting expected)

$$\text{Spin } \vec{S} \Rightarrow \vec{M}_s = \frac{e}{m_e} \vec{S}$$

if $S_z |4\rangle = \hbar m_s |4\rangle$ (in general, $\vec{M} = g \left(\frac{e}{2m_e} \right) \vec{T}$)
 $m_s = \pm \frac{1}{2} \Rightarrow S_z = \pm \frac{\hbar}{2}$ gyromagnetic ratio

2) Anomalous Zeeman effect

Consider H_1 -atom in a magnetic field (with no spin) \Rightarrow

$$(H_0 + H_1) |n, l, m\rangle = ? \quad \text{Since } H_1 = -\vec{M} \cdot \vec{B} =$$

$$[H_0, H_1] = 0 \quad = -\frac{\mu_B}{\hbar} \vec{L} \cdot \vec{B} =$$

$$\text{(because } [H_0, L_z] = 0\text{)} \quad = -\frac{\mu_B}{\hbar} L_z B$$

then H_0 & H_1 share the same eigenstates \Rightarrow

$$(H_0 - \frac{\mu_B}{\hbar} B L_z) |n, l, m\rangle = (E_n - \frac{\mu_B}{\hbar} B \cdot \hbar m) |n, l, m\rangle$$

Energy in a B -field is $E_n - \mu_B B m$ \leftarrow degeneracy with respect to m is removed

This means that the n th level which is normally n^2 -degenerate splits into $(2l+1)$ -sublevels

$$E_n = -\frac{E_1}{n^2} \quad \begin{array}{c} m=\pm l \\ m=0 \\ m=\pm l \end{array} \quad m=\pm l \quad E = E_n - \mu_B B_m \quad (3)$$

Since l is an integer \Rightarrow supposed to get an odd number of levels

Experiment: even number \rightarrow "anomalous" Zeeman effect in H atom

introduce spin degrees of freedom

The system is described by a set $\{\vec{H}, \vec{L}^2, L_z, \vec{S}^2, S_z\}$

Properties of spin operators

1) \vec{S} is an angular momentum

general rules apply, e.g. $[S_i, S_j] = i\hbar \epsilon_{ijk} S_k$

2) Similar to the orbital angular momentum

$$\vec{L}^2 |\ell, m\rangle = \hbar^2 \ell(\ell+1) |\ell, m\rangle$$

$$L_z |\ell, m\rangle = \hbar m |\ell, m\rangle$$

$$|m| \leq \ell$$

external spin
(orbital) degrees of freedom

spin degrees of freedom

$$\vec{S}^2 |S, m_s\rangle = \hbar^2 S(S+1) |S, m_s\rangle$$

$$S_z |S, m_s\rangle = \hbar m_s |S, m_s\rangle$$

$$|m_s| \leq S$$

A given particle is characterized by a unique value of S

3) All spin observables commute with all orbital observables, e.g. $[\vec{L}^2, \vec{S}^2] = 0$, etc. (4)

4) In the case of the electron $s = \frac{1}{2} \Rightarrow$ there are $2s+1 = 2$ spin degrees of freedom \Rightarrow the spin states are $|+\rangle \equiv |\frac{1}{2}, \frac{1}{2}\rangle$

$$|-\rangle \equiv |\frac{1}{2}, -\frac{1}{2}\rangle$$

Then, $\vec{S}^2 | \pm \rangle = \hbar^2 \cdot \frac{3}{4} | \pm \rangle$ $\stackrel{s}{\downarrow} \stackrel{m_s}{\downarrow}$
 $| \pm \rangle = \pm \frac{\hbar}{2} | \pm \rangle$

- Orthonormality,

$$\langle +|-\rangle = \langle -|+\rangle = 0; \quad \langle +|+\rangle = \langle -|- \rangle = 1$$

- Closure:

$$|+\rangle\langle +| + |-\rangle\langle -| = 1$$

- Projection operators $P_+ = |+\rangle\langle +|$

$$P_- = |-\rangle\langle -|$$

- Raising & lowering operators

$$S_{\pm} = S_x \pm i S_y \Rightarrow S_{\pm} | \pm \rangle = 0$$

Recall $\Rightarrow \begin{cases} S_- |+\rangle = \hbar |-\rangle \\ S_+ |-\rangle = \hbar |+\rangle \end{cases}$

$$J_{\pm} |j, m\rangle = \hbar \sqrt{j(j+1) - m(m \pm 1)} |j, m \pm 1\rangle$$

($j = \frac{1}{2}$, $m = m_s = \pm \frac{1}{2}$ for $| \pm \rangle$ in this case)

(3)

• Matrix representations

$$|+\rangle \doteq \begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathcal{X}_+, \quad |-\rangle \doteq \begin{pmatrix} 0 \\ 1 \end{pmatrix} \in \mathcal{X}_-$$

Then, an arbitrary spin state would be described as $|z\rangle = P_+ |z\rangle + P_- |z\rangle = |+\rangle \langle +|z\rangle + |-\rangle \langle -|z\rangle \doteq \begin{pmatrix} \langle +|z\rangle \\ \langle -|z\rangle \end{pmatrix} \equiv \begin{pmatrix} C_+ \\ C_- \end{pmatrix}$

← 2-component spinor
= $C_+ X_+ + C_- X_-$

Similarly, $\langle z| \doteq (\langle z|+), \langle z|-\rangle) = (C_+^*, C_-^*)$

Any operator in the $\{|+\rangle, |-\rangle\}$ basis can be represented by 2×2 matrix \Rightarrow

Ex. $S_z |z\rangle = \hbar \cdot \left(\pm \frac{1}{2}\right) |z\rangle$

$$S_z = \begin{pmatrix} \hbar/2 & 0 \\ 0 & -\hbar/2 \end{pmatrix} \begin{matrix} |+\rangle \\ |-\rangle \end{matrix} \begin{matrix} \langle +| \\ \langle -| \end{matrix}$$

$$\textcircled{=} \underbrace{\frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}}_{\text{operator}}$$

$$\begin{aligned} \langle +|S_z|+\rangle &= \frac{\hbar}{2} \\ \langle -|S_z|-\rangle &= -\frac{\hbar}{2} \\ \langle \pm|S_z|\mp\rangle &= 0 \end{aligned}$$

Similarly, $S_+ \doteq \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}; \quad S_- \doteq \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

It is customary to introduce Pauli matrices

(6)

$$\hat{S} = \frac{\hbar}{2} \begin{matrix} \hat{\sigma}_z \\ \uparrow \end{matrix}, \quad \hat{\sigma}_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \hat{\sigma}_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

Pauli
matrices

$$\hat{\sigma}_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Properties of the Pauli matrices

- $\hat{\sigma}_x^2 = \hat{\sigma}_y^2 = \hat{\sigma}_z^2 = 1$ ↑ identity matrix
- $\hat{\sigma}_i \hat{\sigma}_j + \hat{\sigma}_j \hat{\sigma}_i = 0 \quad (i \neq j)$
- $[\hat{\sigma}_i, \hat{\sigma}_j] = 2i \epsilon_{ijk} \hat{\sigma}_k$
- $\hat{\sigma}_i^\dagger = \hat{\sigma}_i \quad \leftarrow \text{Hermitian}$
- $\det \hat{\sigma}_i = -1$
- $\text{Tr } \hat{\sigma}_i = 0$

HW: prove that $(\hat{\sigma} \cdot \vec{a})(\hat{\sigma} \cdot \vec{b}) = \vec{a} \cdot \vec{b} + i \hat{\sigma} \cdot (\vec{a} \times \vec{b})$

Sakurai:

Reading: pp. 163 - 165

pp. 26 - 29

Examples of operations with Pauli matrices ⑦

1) Express $(2I + \tilde{\sigma}_x)^{-1}$ as a linear combination of the Pauli matrices and I .

Solution :

$$(2I + \tilde{\sigma}_x)^{-1} = \frac{1}{2} \left(I + \frac{\tilde{\sigma}_x}{2} \right)^{-1} = \frac{1}{2} \left\{ I - \frac{\tilde{\sigma}_x}{2} + \left(\frac{\tilde{\sigma}_x}{2} \right)^2 - \right.$$

$$\frac{1}{1+x} = 1 - x + x^2 - x^3 + \dots$$

$$- \left(\frac{\tilde{\sigma}_x}{2} \right)^3 + \dots \} = \frac{1}{2} \left\{ I - \frac{\tilde{\sigma}_x}{2} + \frac{I}{2^2} - \frac{1}{8} \tilde{\sigma}_x + \dots \right\} =$$

$$\tilde{\sigma}_x^2 = I$$

$$= \frac{1}{2} \left\{ I \left(\underbrace{1 + \frac{1}{2^2} + \frac{1}{2^4} + \dots}_{\frac{1}{1-\frac{1}{4}} = \frac{4}{3}} \right) - \frac{\tilde{\sigma}_x}{2} \left(\underbrace{1 + \frac{1}{2^2} + \frac{1}{2^4} + \dots}_{\frac{4}{3}} \right) \right\} =$$

$$= \frac{1}{2} \cdot \frac{4}{3} \left(I - \frac{\tilde{\sigma}_x}{2} \right) = \boxed{\frac{2}{3} I - \frac{1}{3} \tilde{\sigma}_x}$$

geometric progression $\sum_{n=0}^{\infty} q^n = \frac{1}{1-q}$
for $|q| < 1$

2) Expand $\tilde{\sigma}_x^{-1}$ in terms of the Pauli matrices (8)

Consider $\vec{n} = (1, 0, 0) \Rightarrow$

$$\underbrace{e^{-i\frac{\varphi}{2}\vec{\sigma} \cdot \vec{n}}}_{= e^{-i\frac{\varphi}{2}\tilde{\sigma}_x}} = \cos \frac{\varphi}{2} - i \tilde{\sigma}_x \sin \frac{\varphi}{2}$$

Then, if $\varphi = \pi \Rightarrow e^{-i\frac{\pi}{2}\tilde{\sigma}_x} = 0 - i \tilde{\sigma}_x = -i \tilde{\sigma}_x$

so $\tilde{\sigma}_x = i e^{-i\frac{\pi}{2}\tilde{\sigma}_x} \Rightarrow$

$$\tilde{\sigma}_x^{-1} = -i e^{i\frac{\pi}{2}\tilde{\sigma}_x} = -i \left(\underbrace{\cos \frac{\pi}{2}}_0 + i \underbrace{(\sin \frac{\pi}{2})\tilde{\sigma}_x}_1 \right) \quad \text{④}$$

$\text{④ } \tilde{\sigma}_x \Rightarrow \boxed{\tilde{\sigma}_x^{-1} = \tilde{\sigma}_x}$

aka Direct products $\xrightarrow{\text{Tensor products}}$

As we show, each quantum state of a particle is characterized by a state vector $|\Psi\rangle \in \mathcal{E}$

If $|\Psi\rangle$ describes

a state of one particle

in a 1D space $\Rightarrow |\Psi\rangle \in \mathcal{E}_x$

If same in a 3D space $\Rightarrow |\Psi\rangle \in \mathcal{E}_{\vec{r}}$

Recall \Rightarrow

Phys 657

abstract
space

(sub-space of
a Hilbert space)

called "state
space"

What is the relationship between \mathcal{E}_x and $\mathcal{E}_{\vec{r}}$?

Consider $\Psi(\vec{r}) = \underbrace{\Psi_x(x)}_{\in \mathcal{E}_x} \underbrace{\Psi_y(y)}_{\in \mathcal{E}_y} \underbrace{\Psi_z(z)}_{\in \mathcal{E}_z} \in \mathcal{E}_{\vec{r}}$

present
this in terms of state vectors \Rightarrow

$$|\Psi\rangle = |\Psi_x\rangle \otimes |\Psi_y\rangle \otimes |\Psi_z\rangle$$

$$\in \mathcal{E}_{\vec{r}}, \text{ where } \mathcal{E}_{\vec{r}} = \mathcal{E}_x \otimes \mathcal{E}_y \otimes \mathcal{E}_z$$

\uparrow
tensor product

The vector space \mathcal{E} is called the tensor product of E_1 and E_2 , i.e. $\mathcal{E} = E_1 \otimes E_2$, if there is a vector $|Y_1\rangle \otimes |X_2\rangle \in \mathcal{E}$ associated with each pair of vectors $|Y_1\rangle \in E_1$ and $|X_2\rangle \in E_2$ satisfying the following conditions:

- It is linear with respect to multiplication by complex numbers.

$$(\lambda|Y_1\rangle) \otimes |X_2\rangle = \lambda(|Y_1\rangle \otimes |X_2\rangle)$$

$$|Y_1\rangle \otimes (\mu|X_2\rangle) = \mu(|Y_1\rangle \otimes |X_2\rangle)$$

- It is distributive with respect to vector addition.

$$|Y_1\rangle \otimes (|X_2\rangle + |Z_2\rangle) = |Y_1\rangle \otimes |X_2\rangle + |Y_1\rangle \otimes |Z_2\rangle$$

from space E_1 another
 vector
 from space E_2

$$(|Y_1\rangle + |Z_1\rangle) \otimes |X_2\rangle = |Y_1\rangle \otimes |X_2\rangle + |Z_1\rangle \otimes |X_2\rangle$$

- When a basis has been chosen in each of the spaces E_1 and E_2 (e.g. $\{|U_{i,1}\rangle\}$ in E_1 and

$\{|V_{j,2}\rangle\}$ in E_2), the set of vectors

$\{|U_{i,1}\rangle \otimes |V_{j,2}\rangle\}$ constitutes a basis in \mathcal{E}

If N_1 and N_2 are dimensions of E_1 and E_2 , the dimension of $E = E_1 \otimes E_2$ is $N = N_1 N_2$ (11)

Note: The indexes 1 & 2 in E_1, E_2 may be referred to x and y, particles 1 and 2, etc.

Example Before we introduced spin, we described the (11)-atom states as $|n, l, m\rangle \in E_p$.

Introduction of spin requires spin variable ∞^{\uparrow} -dimensional

$|s, m_s\rangle \in E_s \leftarrow$ for a given $(2s+1)$ -dimensional particle

Altogether $\Rightarrow |n, l, m; s, m_s\rangle = |n, l, m\rangle \otimes |s, m_s\rangle \in E$

$$\therefore E = E_p \otimes E_s$$

Theorem Operators acting in different spaces commute

Proof Consider arbitrary operators A_1 and B_2 acting in the spaces E_1 and E_2 , respectively.

Let's act on a state vector $|\Psi\rangle = |u_1\rangle \otimes |v_2\rangle$

To ensure proper dimensionality $E = E_p \otimes E_s = E_1 \otimes E_2$

present A_1 and B_2 as $A_1 \otimes I_2$ and $I_1 \otimes B_2$

Then, identity in space E_2 \rightarrow in space E_1

$$[A_1 \otimes I_2, I_1 \otimes B_2] |\Psi\rangle = A_1 \otimes I_2 I_1 \otimes B_2 |\Psi\rangle -$$

- $I_1 \otimes B_2 A_1 \otimes I_2 |4\rangle = A_1 \otimes I_2 I_1 |4\rangle \otimes B_2 |v_2\rangle$ (2)
- $I_1 \otimes B_2 A_1 |u_1\rangle \otimes \underbrace{I_2 |v_2\rangle}_{|v_2\rangle} = A_1 \otimes I_2 |u_1\rangle \otimes B_2 |v_2\rangle$ $|u_1\rangle$
- $I_1 \otimes B_2 A_1 |u_1\rangle \otimes |v_2\rangle \leq A_1 |u_1\rangle \otimes B_2 |v_2\rangle$ -
- $A_1 |u_1\rangle \otimes B_2 |v_2\rangle = 0 \Rightarrow [A_1 \otimes I_2, I_1 \otimes B_2] = 0$

Examples of tensor product calculation

Consider basis vectors $|u_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|u_2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
 of the basis $\{|u_i\rangle\} \in \mathcal{E}_u \Leftrightarrow N_u = 2 \leftarrow$ dimensionality
 $\text{of the } \mathcal{E}$
 and $|v_1\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$; $|v_2\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$; $|v_3\rangle = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$
 of the basis $\{|v_j\rangle\} \in \mathcal{E}_v$ with $N_v = 3$
 What is $|u_i\rangle \otimes |v_j\rangle \in \mathcal{E} = \mathcal{E}_u \otimes \mathcal{E}_v$?

$N = N_u N_v = 2 \cdot 3 = 6 \leftarrow$ dimensionality of \mathcal{E}
 The new basis vectors are $|u_1\rangle \otimes |v_1\rangle$, $|u_1\rangle \otimes |v_2\rangle$,
 $|u_1\rangle \otimes |v_3\rangle$, $|u_2\rangle \otimes |v_1\rangle$, $|u_2\rangle \otimes |v_2\rangle$, $|u_2\rangle \otimes |v_3\rangle$.
 Let's write them out in terms of six-component vectors $\xrightarrow{\text{column}}$

$$(|u_1\rangle \otimes |v_1\rangle) = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}; \quad (|u_1\rangle \otimes |v_2\rangle) = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix};$$

$$(|u_1\rangle \otimes |v_3\rangle) = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}; \quad (|u_2\rangle \otimes |v_1\rangle) = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix},$$

$$(|u_2\rangle \otimes |v_2\rangle) = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}; \quad (|u_2\rangle \otimes |v_3\rangle) = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

Note: for arbitrary 2D vectors $|u\rangle$ and 3D $|v\rangle \Rightarrow$

$$|u\rangle \otimes |v\rangle = \begin{pmatrix} u_1 v_1 \\ u_1 v_2 \\ u_1 v_3 \\ u_2 v_1 \\ u_2 v_2 \\ u_2 v_3 \end{pmatrix}$$

What if we take matrices instead of the vectors?

Consider $A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$; $I = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$(N_1=2)$ $(N_2=3)$

$$A \otimes I = \left(\begin{array}{cc|cc|cc} a_{11} & 0 & 0 & a_{12} & 0 & 0 \\ 0 & a_{11} & 0 & 0 & a_{12} & 0 \\ 0 & 0 & a_{11} & 0 & 0 & a_{12} \\ \hline a_{21} & 0 & 0 & a_{22} & 0 & 0 \\ 0 & a_{21} & 0 & 0 & a_{22} & 0 \\ 0 & 0 & a_{21} & 0 & 0 & a_{22} \end{array} \right) \Leftarrow 6 \times 6$$

In a general case of 2×2 matrix A & 3×3 matrix B (14)

$$A \otimes B = \begin{pmatrix} a_{11}b_{11} & a_{11}b_{12} & a_{11}b_{13} & a_{12}b_{11} & a_{12}b_{12} & a_{12}b_{13} \\ a_{11}b_{21} & a_{11}b_{22} & a_{11}b_{23} & a_{12}b_{21} & a_{12}b_{22} & a_{12}b_{23} \\ a_{11}b_{31} & a_{11}b_{32} & a_{11}b_{33} & a_{12}b_{31} & a_{12}b_{32} & a_{12}b_{33} \\ a_{21}b_{11} & a_{21}b_{12} & a_{21}b_{13} & a_{22}b_{11} & a_{22}b_{12} & a_{22}b_{13} \\ a_{21}b_{21} & a_{21}b_{22} & a_{21}b_{23} & a_{22}b_{21} & a_{22}b_{22} & a_{22}b_{23} \\ a_{21}b_{31} & a_{21}b_{32} & a_{21}b_{33} & a_{22}b_{31} & a_{22}b_{32} & a_{22}b_{33} \end{pmatrix}$$

Useful relations

$$\det(A \otimes B) = (\det A)^{N_2} (\det B)^{N_1}$$

(N_1 - dimensionality of A)

N_2 - - - of B)

$$T_2(A \otimes B) = (T_2 A)(T_2 B)$$